

# FLOW WAY COMMUNITY DEVELOPMENT DISTRICT

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## AGENDA

SEPTEMBER 16, 2021

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PREPARED BY:

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# FLOW WAY COMMUNITY DEVELOPMENT DISTRICT

September 9, 2021

Board of Supervisors

Flow Way Community Development District

Dear Board Members:

This Regular Meeting of the Board of Supervisors of the Flow Way Community Development District (the "District") will be held on **Thursday, September 16, 2021, at 1:00 P.M.** in Conference room of the offices of **Woods, Weidenmiller, Michetti, & Rudnick, 9045 Strada Stell Court, Suite 400, Naples, Florida 34109.**

The following WebEx link and telephone number are provided to join/watch the meeting.

<https://districts.webex.com/districts/onstage/g.php?MTID=e9e5cd65ad6b39188ca399c9c566b2048>

Access Code: **179 134 8075**, Event password: **Jpward**

Phone: **408-418-9388** and enter the access code **179 134 8075** to join the meeting.

## *Agenda*

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1. Call to Order & Roll Call.
2. Public Comments for non-Agenda items (limited to three (3) minutes).  
Individuals are permitted to speak on items on the Agenda during that item and will be announced by the Chairperson.
3. Consideration of Minutes:
  - I. July 15, 2021 – Regular Meeting. (page 6)
4. Consideration of Replacement Member for Seat 1.
  - I. Consideration of Replacement Member for Seat 1. (page 30)
  - II. Oath of Office. (page 31)
  - III. Form 1 – Statement of Financial Interest. (page 32)
  - IV. Guide to the Sunshine Amendment and Code of Ethics for Public Officers and Employees. (page 38)
5. Consideration of **Resolution 2021-8**, a Resolution of Board of Supervisors of the District Re-Designating the Officers of the District. (page 67)

6. Staff Reports.

- I. District Attorney – Woods, Weidenmiller, Michetti, & Rudnick.
  - a. Notice to TM on Forge Report –There are two letters, one for the MRI report item and one for the lake banks/littoral shelves.
  - b. Arbitration Hearing: January 18, 2022, at 9:30 a.m.
- II. District Engineer – Calvin, Giordano & Associates. (page 69)
  - a. Preserve Tree Removal Process.
  - b. Stormwater System Repairs
    - (i) Broad Crested Weir
    - (ii) Sediment and Debris Removal
- III. District Manager – JPWard & Associates, LLC
  - a. Financial Statements for period ending July 31, 2021 (unaudited). (page 82)
  - b. Financial Statements for period ending August 31, 2021 (unaudited). (page 99)

7. Old Business.

- I. Agreement with Master Homeowner’s Association.
- II. Future Funding of Preserve Mitigation and Maintenance

8. Audience Comments: Public comment period is for items NOT listed on the Agenda, comments are limited to three (3) minutes per person, assignment of speaking time is not permitted, however the Presiding Officer may extend or reduce the time for the public comment period consistent with Section 286.0114, Florida Statutes.

9. Announcement of Next Meeting – October 21, 2021, at the Esplanade Golf and Country Club, 9810 Torre Vista Lane, Naples, Florida 34119.

10. Quorum Call for October 21, 2021

- Zack Stamp,
- Ronald Miller
- Tom Kleck
- Martinn Winters

11. Adjournment.

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The first order of business is the call to order and roll call.

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The second order of business is public comments for non-Agenda items (limited to three (3) minutes). Individuals are permitted to speak on items on the Agenda during that item and will be announced by the Chairperson.

The third order of business is the consideration of the July 15, 2021, Regular Meeting Minutes.

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The fourth item deals with the replacement of the member who has resigned from the Board. The District's Charter, Chapter 190 F.S. provides the mechanism to replace a member who has resigned. The remaining members, by majority vote of the Board, have the sole responsibility to fill the seat for the remaining unexpired term of office of the resigning member.

The requirements for the member to fill this seat, are that he/she must be a U.S. Citizen and a resident of the State of Florida.

If you recall, this seat will transition to a qualified elector seat with the November 2022 election, with the added requirement then, that the individual will also need to be a resident within the District, and registered to vote in Collier County, with their primary address within the District.

There is no requirement that the seat must be filled at this meeting, however, if the Board chooses to do so, and the individual is at the meeting, I will take the opportunity to swear that individual into office.

The newly appointed Board Member must file a Form 1 – Statement of Financial Interests, which must be filed with the Supervisor of Elections in the County in which he/she resides within thirty (30) days of being seated on this Board (**filing deadline is October 16, 2021**).

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The fifth order of business is consideration of **Resolution 2021-8**, a resolution of the Board of Supervisors of the District which re-designates the officer of the Board of Supervisors. Generally, we add the newly appointed member as an Assistant Secretary; however, the resolution notates all members positions.

Member positions are as follows:

Zack Stamp – Chairman  
Ronald Miller – Vice Chairman  
Tom Kleck – Assistant Secretary  
Martinn Winters – Assistant Secretary  
VACANT – Assistant Secretary  
James P. Ward – Secretary, Treasurer

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The sixth order of business is the consideration of Staff Reports by the District Attorney, District Engineer, and the District Manager.

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The seventh order of business is consideration of Old Business, including the Agreement with Master Homeowner's Association and Future Funding of Preserve Mitigation and Maintenance.

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The balance of the agenda is standard in nature, and I look forward to seeing you at the meeting, if you have any questions and/or comments before the meeting, please do not hesitate to contact me directly at (954) 658-4900.

Yours sincerely,  
Flow Way Community Development District



**James P. Ward**  
District Manager

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**MINUTES OF MEETING  
FLOW WAY  
COMMUNITY DEVELOPMENT DISTRICT**

10 The Regular Meeting of the Board of Directors of the Flow Way Community Development District was  
11 held on Thursday, July 15, 2021, at 1:00 P.M. in the conference room of the offices of Woods,  
12 Weidenmiller, Michetti, & Rudnick, 9045 Strada Stell Court, Suite 400, Naples, Florida 34109.  
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**Present and constituting a quorum:**

Zack Stamp	Chairperson
Ron Miller	Vice Chairperson
Tom Kleck	Assistant Secretary
Martinn Winters	Assistant Secretary

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**Also present were:**

James P. Ward	District Manager
Greg Woods	District Counsel
James Messick	District Engineer
Jessica Tolin	District Counsel
Mike Conner	Calvin, Giordano & Associates

31  
32  
33  
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**Audience:**

Dave Boguslawski	HOA
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35 All resident's names were not included with the minutes. If a resident did not identify  
36 themselves or the audio file did not pick up the name, the name was not recorded in these  
37 minutes.  
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**PORTIONS OF THIS MEETING WERE TRANSCRIBED VERBATIM. ALL VERBATIM PORTIONS WERE  
TRANSCRIBED IN *ITALICS*.**

**FIRST ORDER OF BUSINESS**

**Call to Order/Roll Call**

Chairperson Zack Stamp called the meeting to order at approximately 1:00 p.m. Roll call was conducted, and all Members of the Board were present, constituting a quorum. He stated there would be a period of public comment at the end of the meeting for non-agenda items.

**SECOND ORDER OF BUSINESS**

**Public Comments for NON-Agenda items**

**Individuals are permitted to speak on items on the Agenda during that item and will be announced by the Chairperson; comments limited to three minutes**

47 Chairperson Stamp indicated there would be a time for public comments regarding non-agenda items at  
48 the end of the meeting; however, he would be happy to recognize speakers for brief comments during  
49 regular Agenda Items.

50  
51 Chairperson Stamp noted Mr. Drew Miller resigned from the Board.  
52

53

54 **NEW BUSINESS**

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56 **THIRD ORDER OF BUSINESS**

**Consideration of Minutes**

57

58 **June 17, 2021, Regular Meeting Minutes**

59

60 Chairperson Stamp asked if there were any additions or corrections to the Minutes; hearing none, he  
61 called for a motion.  
62

63

**On MOTION made by Mr. Tom Kleck, seconded by Mr. Martinn Winters, and with all in favor, the June 17, 2021, Regular Meeting Minutes were approved.**

66

67

68 **FOURTH ORDER OF BUSINESS**

**PUBLIC HEARING**

69

70 Mr. Ward explained the public hearing process including public comment, Board discussion and vote.

71

72 **a. PUBLIC HEARING – FISCAL YEAR 2022 BUDGET**

73

74 **I. Public Comment and Testimony**

75

76 Mr. Ward called for a motion to open the Public Hearing.  
77

78

**On MOTION made by Mr. Martinn Winters, seconded by Mr. Tom Kleck, and with all in favor, the Public Hearing was opened.**

80

81 Mr. Ward asked if there were any members of the public present by audio or video with  
82 any comments or questions respecting the Fiscal Year 2022 Budget; there were none.  
83 He noted there were no members of the public present in person. He called for a  
84 motion to close the public hearing.  
85

86

**On MOTION made by Mr. Tom Kleck, seconded by Mr. Martinn Winters, and with all in favor, the Public Hearing was closed.**

88

89 **II. Board Comment**

90

91 Mr. Ward asked if there were any Board comments or questions.  
92

92

93 *Chairperson Stamp: Next year we are going to have to raise the budget. The assessments are*  
94 *going to go up unless we wrap up the lawsuit in a very favorable way. We are going to hear*  
95 *reports today on the fencing, border fencing, the lakes, and some stuff that needs to get done*  
96 *right away. Even if Taylor Morrison ends up paying all or a substantial portion of this, it's going*  
97 *to be way down the road, and next year's budget is going to have to go up. I don't see any way*  
98 *around it with the expenses that Taylor Morrison is frankly dumping on us of things that were*  
99 *left undone. Obviously, the lawsuit could change that. If we could somehow access the*  
100 *performance bonds, that could change that. I just want to be on the record that we are looking*  
101 *at an increase next year.*

102  
103 Mr. Ward asked if there were any other questions; there were none.

104  
105 **III. Consideration of Resolution 2021-5 adopting the annual appropriation and Budget for Fiscal**  
106 **Year 2022**

107  
108 Mr. Ward called for a motion for Resolution 2021-5.

109  
110 **On MOTION made by Mr. Ron Miller, seconded by Mr. Tom Kleck, and**  
111 **with all in favor, Resolution 2021-5 was adopted, and the Chair was**  
112 **authorized to sign.**

113  
114 **b. FISCAL YEAR 2022 IMPOSING SPECIAL ASSESSMENTS; ADOPTING AN ASSESSMENT ROLL AND**  
115 **APPROVING THE GENERAL FUND SPECIAL ASSESSMENT METHODOLOGY**

116  
117 Mr. Ward indicated this public hearing was related to the imposition of the special assessments for  
118 the general fund, adoption of an assessment roll, and approval of the special assessment  
119 methodology for the District.

120  
121 **I. Public Comment and Testimony**

122  
123 Mr. Ward called for a motion to open the Public Hearing.

124  
125 **On MOTION made by Mr. Tom Kleck, seconded by Mr. Martinn**  
126 **Winters, and with all in favor, the Public Hearing was opened.**

127  
128 Mr. Ward asked if there were any members of the public present by audio or video with  
129 any comments or questions; there were none. He noted there were no members of the  
130 public present in person. He called for a motion to close the public hearing.

131  
132 **On MOTION made by Mr. Tom Kleck, seconded by Mr. Martinn**  
133 **Winters, and with all in favor, the Public Hearing was closed.**

134  
135 **II. Board Comment**

136  
137 Mr. Ward asked if there were any questions; there were none.

138  
139 *Mr. Kleck: Do we have an idea of how much individual homeowners will pay?*



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*Mr. Ward: \$525.04 per unit; the same as the current year. He asked if there were any other questions; there were none.*

**III. Consideration of Resolution 2021-6 Imposing Special Assessments, adopting an Assessment Roll, and approving the General Fund Special Assessment Methodology**

Mr. Ward called for a motion.

**On MOTION made by Mr. Tom Kleck, seconded by Mr. Martinn Winters, and with all in favor, Resolution 2021-6 was adopted, and the Chair was authorized to sign.**

**FIFTH ORDER OF BUSINESS**

**Consideration of Resolution 2021-7**

**Consideration of Resolution 2021-7 designating dates, time, and location for regular meeting of the Board of Supervisor's for Fiscal Year 2022**

Chairperson Stamp indicated there was some discussion regarding moving the meeting location.

*Mr. Kleck: When I talked to Dave Kuptis, he indicated that 1:00 p.m. may interfere once in a while with something scheduled for that room. He's not so much concerned about the golfers or the restaurant, but the room that we are going to be using is the wine tasting room, and right now they are using it once a week, but it's only on Monday night, or Tuesday, so the Thursday date was good for him and as far as, I think Cori may have talked to Dave about setting up a firm date or firm meeting, and they mentioned that the time could change. I'm open to any time really. I think whatever Dave says.*

*Mr. Ward: Cori is my assistant, for the record. What I had asked was we set up a firm schedule simply because if for whatever reason, they change, we can't meet there, the date's wrong or they have an event and they cancel, we have to have notice at least 15 days ahead of the Board meeting date in order for me to readvertise it for a new date, time and location, so what we were going to do is put all of these dates on their schedule, and he said that was fine. He would do that, and they would not change it on us on a going forward basis, but if they have a wine party, I'm sure that might change things.*

Discussion ensued regarding meeting times and location; advertising the meeting for the clubhouse but not room specific to allow a meeting room shift if necessary. It was agreed to hold meetings at 1:00 p.m. at the clubhouse the third Thursday of the month.

Mr. Ward called for a motion to adopt the Resolution as presented.

**On MOTION made by Mr. Tom Kleck, seconded by Mr. Martinn Winters, and with all in favor, Resolution 2021-7 was adopted as presented, and the Chair was authorized to sign.**

187 **SIXTH ORDER OF BUSINESS** **Staff Items**

188

189 **I. District Attorney – Woods, Weidenmiller, Michetti, & Rudnick**

190

191 **a) Notice to TM on Forge Report.**

192

193

194 *Mr. Woods: The first item, we have the Forge Engineering Report on the stormwater drain, etc.*  
195 *They requested 30 days, but 30 days is about up. We are going to send a followup letter to them*  
196 *and it is our intent to probably put the County on notice of our issue. Maybe copy the County with*  
197 *my prior letter of our issues with what Taylor Morrison has done just so the County is aware as well*  
198 *and we will copy it to the South Florida Water Management District. They represented that they*  
199 *are undertaking efforts to clean it up, but we do not know the extent or what their efforts are*  
200 *going to include at this point. Any questions?*

201

202 *Mr. Martinn Winters: Have they had any communication with you besides this initial letter saying*  
203 *we will get back to you in 30 days?*

204

205 *Mr. Woods: No.*

206

207 *Mr. Winters: So, we don't know if they are planning to undertake cleaning out the pipes or*  
208 *anything?*

209

210 *Mr. Woods: The implication was they were planning on cleaning out some pipes, but we don't*  
211 *know the nature or extent or if they are going to comply with everything that we would like done.*

212

213 *Mr. Winters: This Board has to make a decision about whether we are going to spend resident*  
214 *money to clean the pipes out to make sure they are functioning. Before we do that, it would be*  
215 *nice to know if they plan to do anything, and if so, when. Do you anticipate any meaningful*  
216 *communication from them?*

217

218 *Mr. Woods: I will know more after my followup correspondence in response to that. I would say I*  
219 *will probably have something in a couple weeks.*

220

221 **b) Summary of Third Amended Complaint and Responses.**

222

223 *Mr. Woods: We prevailed on their motions to dismiss. We'll get our allegation or wherefor clause*  
224 *about damages in the declaratory judgment action asking the court to interpret contracts,*  
225 *documents, etc., so, we inadvertently had a damages thing that we removed. No big deal. We*  
226 *have got our amended pleading and the defense are all answering. The association has filed a*  
227 *motion to amend their pleadings, and basically, they are going to go from a nominal defendant to*  
228 *basically adopting our positions in their complaint against the other defendants. It is a two-step*  
229 *process for them, but they will ultimately be aligned with us in terms of the lawsuit. The Taylor*  
230 *Morrison Board Members filed an answer in affirmative defense, and they are trying to make a*  
231 *claim that the CDD should reimburse them for their attorney's fees in defending themselves*  
232 *against our actions. We have found case law, etc., and the statute stated as they exceeded the*  
233 *scope of their authority, they don't get the benefit of being reimbursed by the CDD, so we will*

234 *resist that on all counts. We hopefully will not have to reimburse them for attorney's fees. That*  
235 *will be set for a hearing somewhere up the road.*

236

237 *Mr. Ron Miller: Will we know something definitive about that before the process is finished so we*  
238 *are not surprised at the end?*

239

240 *Mr. Woods: Yes. I would probably like to have a deposition of one of the Board Members before*  
241 *that gets heard because I believe that some of the documents of things might be very helpful in*  
242 *regard to our position with the hearing. It's not something that has to wait until the end. We can*  
243 *get it teed up earlier.*

244

245 *Mr. Kleck: Is there any precedent for lawsuits by homeowner's associations against the builder?*

246

247 *Mr. Woods: Plenty. If the Associate gets its pleadings in line with us, obviously they will be suing*  
248 *Taylor Morrison as well. This could potentially add additional claims as things are discovered.*

249

250 *Mr. Kleck: Do they know when that suit is going to happen, the HOA?*

251

252 *Mr. Woods: They are in the lawsuit. It is a matter of when the court has a hearing and grants*  
253 *their leave to amend. That is yet to be set for a hearing. It is hard getting a hearing time.*  
254 *Unfortunately, litigation moves slowly. If you ask for a hearing now, it might be 6 or 8 weeks from*  
255 *now. I will followup with HOA counsel.*

256

257 *Mr. Winters: So, the HOA has decided to align with us in the lawsuit?*

258

259 *Mr. Woods: That is my understanding, yes.*

260

261 *Mr. Winters: Does that require a Board vote for the HOA to do that?*

262

263 *Mr. Woods: They are a party to the lawsuit. I don't think it would require a Board vote. I'm not*  
264 *going to tread into their operations, but I don't think it would.*

265

266 **c) Arbitration Hearing – January 18, 2022.**

267

268 *Mr. Woods: The arbitration lawsuit has been set for January 18, 2022. It's not in binding. Most of*  
269 *the bigger cases in Collier County get ordered in nonbinding arbitration. It's something the judges*  
270 *do. It kind of makes litigation more expensive. It reminds the parties they have to set aside a time*  
271 *for the lawsuit, and it's the judge's way of helping encourage cases to settle. Whatever the*  
272 *arbitrator, the one-day deal, it's fairly informal. You don't even have to put on witnesses. The*  
273 *attorneys are allowed to proffer what the positions are, what they think the evidence will show,*  
274 *etc. Basically, the whole process will take four or five hours. The arbitrator has ten days to issue a*  
275 *ruling. Either side has the ability to appeal or file for trial de novo from the arbitrators ruling*  
276 *typically when one side or the other is unhappy and the case just moves on. The judge doesn't*  
277 *know what happened in arbitration, you just move on theoretically through the trial. One thing*  
278 *about not nonbinding arbitration is if you do worse at trial by 25% then for what the arbitrator's*  
279 *award was, then that party could potentially be liable for the other side's attorney fees. It usually*  
280 *puts the last few months attorneys' fees in play.*

281

282 *Mr. Miller: So, there's a required arbitration hearing, but it's nonbinding, but we could agree at*  
283 *that point if we wanted to.*

284  
285 *Mr. Woods: Yes. I serve as an arbitrator and have done several arbitrations. I did one where I*  
286 *awarded one side several hundred thousand dollars and neither side appealed. They both agreed*  
287 *it was the correct ruling. In my experience that only happens about 10% of the time.*

288  
289 *Chairperson Stamp: January 18, and then 10 days for that, and then we will have a meeting to*  
290 *discuss the arbitrator's ruling.*

291  
292 *Mr. Winters: Is there any discovery going on between now and then?*

293  
294 *Mr. Woods: It's discovered already. We have received several thousands of documents. Ms. Tolin*  
295 *and I are just beginning to review these. Taylor Morrison has a rolling production, and we get new*  
296 *documents once a week. Discovery is ongoing. We want to have all the documents, get through*  
297 *them, and then we will start on depositions.*

298  
299 *Chairperson Stamp: I have been working with Jim on the website for Flow Way and we are going*  
300 *to start trying to get all the legal pleadings up there so we can see what pleadings have been filed,*  
301 *not the answers necessarily or thousands of pages.*

302  
303 Discussion continued regarding the discovery documents.

304  
305 *Mr. Miller: I believe you have sent the Corp an information letter to let them know the lawsuit is in*  
306 *process. Have we heard anything back from the Corp?*

307  
308 *Mr. Woods: The Corp has not responded. We may try another followup.*

309  
310 *Chairperson Stamp: Part of this, too, will be how they respond on the pipes and everything else.*  
311 *That would be a good excuse to update them.*

312  
313 Mr. Woods agreed.

314  
315 **II. District Engineer - Calvin, Giordano & Associates**

316  
317 **a. Engineering Report**

318  
319 **i. SFWMD Monitoring Well program and locations**

320  
321 *Mr. James Messick: South Florida Water Management District reached out to Flow Way in*  
322 *hopes that they could access the external preserves in attempts to install a surface water and*  
323 *ground monitoring system so that they could collect data from their overall basin for monitoring*  
324 *and modeling efforts. We met with them on the 12<sup>th</sup> and came up with potential onsite location*  
325 *on the east preserve line, and another offsite which has nothing to do with Flow Way, and they*  
326 *identified that the proposed monitoring well would be a 10 foot by 10-foot square area powered*  
327 *by solar panels and they wouldn't need to damage any trees or make any impacts to the*  
328 *wetlands. They would need to access it quarterly and if we were to agree they would provide us*  
329 *with a standard agreement form to review. They don't anticipate starting construction for*

330 *another year or two and there would be no financial or maintenance requirements from the*  
331 *CDD. It is a good opportunity to reach out and make friends and the Water Management District*  
332 *has a great reputation for doing hydrological work for south Florida and we recommend working*  
333 *with them on the monitoring well. With your approval I will continue working with them.*

334  
335 *Mr. Miller: What are we monitoring?*

336  
337 *Mr. Messick: We are monitoring nothing. SFWMD wants to monitor the surface water, ground*  
338 *water levels, just so they have an idea of different times of the year what the water level is at.*

339  
340 *Mr. Ward: Are these more regional in nature or local to Flow Way?*

341  
342 *Mr. Messick: Very regional. South Florida Water Management District covers all of south*  
343 *Florida and has a monitoring system and they are trying to get more and more monitoring wells*  
344 *so they can be more accurate with their model. From my understanding this is the first in this*  
345 *portion of Collier County, but it is a critical portion for their hydraulics.*

346  
347 *Chairperson Stamp: When do you anticipate getting the contract from them?*

348  
349 *Mr. Messick: I'm not sure. Hopefully in the next couple of months they will have their decision*  
350 *on which location and as soon as I get it, I will forward it to the CDD for review.*

351  
352 *Mr. Boguslawski: Will the well or solar panels be visible to homeowners?*

353  
354 *Mr. Messick: No. While we were walking around, they inquired whether or not there would be*  
355 *potential to have one on the west property line and I shut it down saying that the homeowners*  
356 *would be able to see that location, so they have honed-in on the eastern edge of the preserves*  
357 *and it is far enough out that no one will be able to see it unless actively looking in the preserves*  
358 *for it.*

359  
360 **ii. Pedestrian Bridge over CDD lake and Golf Cart Path**

361  
362 *Mr. Messick: There was concern that the golf cart path had an abrupt turn prior to the lake bank*  
363 *slope and there were concerns of golfers raining down into the lake. It was originally permitted*  
364 *and certified and completed, and since the construction the developer has moved the path of the*  
365 *cart path more centrally located. It does meet requirements for placement adjacent to a lake,*  
366 *but I would recommend that we require physical and visual barriers to be put up for curbs typical*  
367 *in a golf cart path. The second is a pedestrian bridge outside of the walkway of the community.*  
368 *It was originally permitted in 2016 and they have modified the permits in 2021 and built a*  
369 *relocated pedestrian bridge over a lake and there does not appear to be apparent certification*  
370 *for that permit. In my opinion it does not meet the Florida Building Code. It does say in the*  
371 *report for handrails, but I need to make a correction, that's guards or guard rails, and that's the*  
372 *Florida Building Code, Section 1015, but there is a drop condition into a waterway, so we need to*  
373 *protect pedestrians.*

374  
375 Discussion ensued regarding the dangerous nature of the pedestrian bridge; and the needed  
376 guard rails being 30 inches in height.

377

378 *Chairperson Stamp: You may want to work with Greg regarding the letter we will send, the*  
379 *notice we will put them on. Did you have a chance to look at the golf cart bridge, between 15*  
380 *and 16.*

381  
382 *Mr. Messick: I did look at that. It's the same bridge and I believe that when they modified the*  
383 *permit, it referred to that bridge and that's why it was built with the condition without –*  
384

385 *Mr. Kleck: It's the same thing. Only the one in the golf cart, between 15 and 16, is only for*  
386 *golfers. It's not for bicycle riders or walkers or anything else.*

387  
388 *Mr. Messick: I understand the uses are different, but in my opinion, you're right between*  
389 *residences that could easily cross it, whether they are supposed to or not, and we are here to*  
390 *protect those residents.*

391  
392 *Mr. Kleck: Is it too late to go back and get a guard rail for that one between 15 and 16?*  
393

394 *Mr. Messick: No.*  
395

396 *Mr. Kleck: Then I would do that as well.*  
397

398 *Mr. Messick: I think the "kick plate" for the golf carts is sufficient to build a handrail on top of.*  
399 *It's substantial in nature and we can get a structural engineer to come up with a design.*  
400

401 *Mr. Miller: How would we request it and to whom? To Taylor Morrison?*  
402

403 *Mr. Woods: Yes, our request would be to Taylor Morrison, especially if they are not permit*  
404 *compliant.*  
405

406 Discussion ensued regarding Taylor Morrison installing the bridges originally and needing to  
407 meet permit requirements.  
408

409 *Mr. Dave Boguslawski: We have sent Taylor Morrison basically an email about the bridge and*  
410 *the need for guard rails. They owe us a response on that. We are trying to get it addressed*  
411 *informally. Regarding the condo, the one with the picture with the golf cart path, I would hate*  
412 *to see a guard rail go there. I think that is entirely unnecessary, but we should do something,*  
413 *bushes, or something to have a visual barrier.*  
414

415 *Mr. Messick: The guard rail is not required. There is no fall hazard adjacent to the lake. My*  
416 *recommendation a physical barrier, such as a curb, and not a guard rail.*  
417

### 418 **iii. Preserve Tree Removal**

419  
420 Mr. Messick asked Mike Conner, landscape architect for CGA, to speak.  
421

422 *Mr. Mike Conner: In addition to being a landscape architect, I am also an ISA certified arborist as*  
423 *well. At the request of Mr. Ward, we put together services for your consideration. It is an*  
424 *estimated hourly, not to exceed, kind of cost for the implementation of this plan.*  
425

426 *Mr. Ward: The Board is not aware of the preserve tree removal process, so let's go through and*  
427 *explain what we are doing.*

428  
429 *Mr. Conner: There is concern that at the edges of the preserve area, adjacent to where people's*  
430 *homes back onto it, or along the roadway or sidewalk, people are walking next to the preserve,*  
431 *some of the trees might pose a hazard or an unsafe condition. We are proposing to do an*  
432 *assessment of all of those areas and identify any dead or unsafe trees that really pose a risk to*  
433 *falling and injuring someone or damaging property. That's what the plan is about. We would go*  
434 *in and identify those trees, develop a report, present it to the CDD Board and then present that*  
435 *to the South Florida Water Management District to get permission to fell those trees or remove*  
436 *them completely, whichever is easiest. A lot of times you just let them fall down and not*  
437 *physically remove them all. Sometimes they can be completely removed, but the pretty much*  
438 *want the reserve areas to be kept untouched, so this has to be a situation where the tree is dead*  
439 *and unsafe, and there is a target or fall area where it is impacting either CDD property or public*  
440 *use, a walkway, those kinds of things. We would then approach the Water Management District*  
441 *and get permission to go onto the preserve area and take care of those trees through a local tree*  
442 *vendor. We would do this initially, the first year, and after that we would plan an annual visit to*  
443 *see if there are additional trees posing a similar hazard. We will also have a process to come out*  
444 *in between annual visits, maybe after storm events, or if a resident had a specific concern about*  
445 *a specific tree.*

446  
447 *Mr. Winters: We periodically get asked by residents to remove dead trees and the question is,*  
448 *what if a tree does not present a hazard, but it is dead, and/or the tree has already fallen, and it*  
449 *is just an eyesore. Is there any opportunity to remove dead trees within a visible range of the*  
450 *homes?*

451  
452 *Mr. Conner: Our preliminary discussions with SFWMD is no. It has to be unsafe, or it has to be*  
453 *dead and close enough to a target that we could reach it. Our in the middle of the preserve area,*  
454 *or if it is adjacent a lake where there is no walkway, then really the policy is not to. Now, I think*  
455 *in the first go-round, when we approach them and go through the first assessment, we could ask*  
456 *them that question and probe a little bit further into what they would allow or not allow. But,*  
457 *generally speaking, policy is to leave everything the way it is.*

458  
459 *Mr. Winters: Is there an argument to be made that it helps exacerbate a fire hazard by leaving*  
460 *dead trees around?*

461  
462 *Mr. Conner: The idea is that a dead tree in the middle of the preserve is a snag in which a bird*  
463 *will nest. It becomes habitat, decays, increases the biodiversity of the area.*

464  
465 Discussion ensued regarding dead trees; how to determine if a dead tree posed a threat;  
466 whether a dead tree was a fire hazard if located near a home.

467  
468 *Mr. Conner: For the most part I think there is a good enough distance for most of the houses;*  
469 *the dead trees are not right up against them. I don't know what that buffer width would be.*

470  
471 *Mr. Kleck: My former neighborhood we had a bunch of coach homes that abutted a small*  
472 *preserve and there was a lot of dry wood in there. They called the fire department, and the fire*  
473 *department came out and ruled against the fact that they were not cleaning it up. They required*

474 *the association or the CDD to clean up that area because there was a concern, but it took the fire*  
475 *department and fire chief to get it done.*

476

477 *Mr. Conner: I'm not aware of that. Thank you for bringing that to my attention. We were*  
478 *thinking more in the terms of storms and falling trees causing damage. That would be a*  
479 *different kind of situation. It is definitely valid. I haven't really done my full first assessment. If*  
480 *you move forward with this, I will be able to assess the whole entire area and see how much of*  
481 *that kind of kindling or underbrush is there and whether it poses a threat or not.*

482

483 Discussion ensued regarding dead trees potentially being a fire hazard; and getting the fire  
484 department involved in the evaluation process to see if dead trees were a fire hazard.

485

486 *Mr. Conner: What we put together was more for dead trees falling and storm damage so*  
487 *perimeters closest to the public areas or private backyards were safe if a storm came.*

488

489 *Mr. Winters: Have you assessed what risk we have at the moment or is this prospective?*

490

491 *Mr. Conner: I did a brief visit already and I did see some trees which would qualify in my opinion*  
492 *without doing a full assessment. So, parts of the walking trail and parts of the golf course area*  
493 *and that sort of thing.*

494

495 *Mr. Miller: With respect to the fire hazard, if there are some trees which are really close to*  
496 *condos or houses and presented a fire hazard, I think it would make sense, but I would also*  
497 *suggest, be careful of what you ask for in engaging the fire department because they could give*  
498 *us a \$1 million- or \$2 million-dollar problem.*

499

500 *Mr. Ward: I'm with Ron on that one.*

501

502 Discussion ensued regarding the possibility of a controlled burn.

503

504 Mr. Ward indicated a motion was needed.

505

506 *Mr. Ward: Just based on what Mike said, in order to do this, the initial scope to prepare the*  
507 *initial plan is \$5,500 dollars. An annual basis after that would be \$2,100 dollars a year. If, as he*  
508 *mentioned, if anyone needs it done on an individual basis, it would be a \$490 dollar charge for*  
509 *that. It's a good price. It's reasonable in terms of what I've seen. This does not include the work;*  
510 *this is for the report only.*

511

512 *Mr. Conner: It would also help you to establish for your budgeting how much you want to spend*  
513 *each year.*

514

515 *Mr. Ward: Conceptually, the idea was to put a plan in place, so we would have available to the*  
516 *community when we would do this on a regular basis and if we needed to do it on an individual*  
517 *basis we could authorize it, but here's how it would be done. Then we could publish that for the*  
518 *community so everybody knew what we were going to do on a regular basis.*

519

520 *Mr. Kleck: Is that something we need to do every year? At the other community we did it every*  
521 *three years.*



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*Mr. Conner: We are doing it for another community now and we are doing it once a year. I think with nature and storm events and that sort of thing, it only takes one storm, and we are going to have more unsafe trees. You may only have four or five trees in the next year, but at least you are checking it and you have a system in place to look at it regularly.*

*Mr. Messick: And you may be able to stay on top of the dead trees before they fall and help prevent fire kindling.*

*Mr. Conner: Since some of the preserve areas were not yet released by the District, they wanted to see our plan and see a process.*

*Mr. Winters: So, the \$5,000 dollars includes the initial assessment of what the clean-up would be?*

*Mr. Conner: It includes preparing a report based on that assessment, presenting that to the drainage district, getting their approval, soliciting some prices from at least 3 different contractors, and then having them do the work. The cost of the actual work would be from the contractor.*

*Mr. Ward called for a motion.*

*Mr. Miller: I hope Counsel has his ears open because that's just another matter in the need for preserve funding in the lawsuits.*

*Mr. Woods: Understood.*

**On MOTION made by Mr. Martinn Winters, seconded by Mr. Tom Kleck, and with all in favor, the Preserve Tree Removal proposal was approved.**

#### **iv. Stormwater System Maintenance**

*Mr. Messick: I had a chance to listen to the Forge Report presentation last month at the Board Meeting. The purpose of the Forge Report was to document existing conditions and identify that there is outstanding maintenance and improvements required. After review of his report, the plethora of pictures, and I did obtain some field notes from their office to give a little more insight of how they put that together, I'm in agreement about the condition of the existing system as being considered poor. Having said that, I did go through their recommendations and outlined a schedule for the restoration of the stormwater management system. I did that in four phases. The first two being items that should be addressed immediately and those being the broad crested weir on the south side; both ends are starting to erode. Their estimate of \$8,000 dollars is in line with what it should cost. The second being the sediment and debris removal for the structures that have over 25% blockage. Following those immediate items that I would recommend adjusting is phases III and IV which could be done in consecutive years. I broke those into both lake bank restoration and littoral shelf aquatic plant restoration of the same lakes, so you could do one during the dry season and one during the wet season. I haven't in detail*

569 identified which one would line up exactly with half of the costs here and half the costs there, but  
570 based on their field notes they did note minimal, moderate, and extensive erosion for the lake  
571 bank maintenance and I've kind of developed a map that we can get into more detail if we are  
572 approved to do this work, but it is pretty much in line with the year one, year two schedule which  
573 I have prepared. Again, the exotics will need to be removed by a qualified environmental  
574 specialist and the littoral shelves restored prior to the next dry season. It's typical that the  
575 stormwater management system is evaluated at least every 5 years. Because the immediate  
576 action of removing the sediment and debris in the stormwater management system structures  
577 and pipes of just the structures that have more than 25% debris, we may want to push that 5-  
578 year reevaluation up to 3 years just to make sure that we are not getting worse on the remaining  
579 structures that aren't cleaned immediately. This is my review of the Forge Report and my  
580 schedule recommendation.

581  
582 *Mr. Miller: All these are ponds are interconnected, and I think the development has a retention*  
583 *pond with a berm that goes around it. I'm thinking that when it rains hard, that the system is*  
584 *designed so that the ponds fill up, overflow into the golf course, and then water comes up on the*  
585 *streets, but before it floods the houses it goes over some dams designed for that and goes out*  
586 *into the flow way and the canal. Is that kind of the way this thing is designed?*

587  
588 *Mr. Messick: Yes, your surface water management permit, environmental resource permit,*  
589 *through the District, establishes a finished floor based on a 100-year storm event, and you are*  
590 *correct, it would discharge assuming the tailwater conditions don't restrict that discharge, but*  
591 *that would have nothing to do with the sediment in your stormwater management system, it*  
592 *would have to do with the tailwater conditions outside of your development.*

593  
594 *Mr. Miller: If the stormwater system is clogged, would that create the possibility of a flood*  
595 *because it could not drain out?*

596  
597 *Mr. Messick: Yes. There is definitely potential of what's called subbasin or specific lake areas*  
598 *that if they are not draining and connected with adequate drainage because of sediment*  
599 *buildup, those specific areas would have a delay in allowing release of the stormwater rainfall*  
600 *from the high intensity event. It is critical to have your stormwater system functioning properly*  
601 *as it was originally designed and that's where Forge stepped in and did a report to identify those*  
602 *items that need to be addressed.*

603  
604 *Mr. Miller: My concern is we do need to get these things functioning, so we don't flood some of*  
605 *our neighbors in a hurricane.*

606  
607 *Chairperson Stamp: Would you recommend we start at the 90% and work down?*

608  
609 *Mr. Messick: You could ask the contractor to start in that manner, but it may be more of his*  
610 *needs and methods for how to tackle it to get it done in a timely manner and let him get in and*  
611 *address what needs to be finished and letting him take care of how he is going to set up and go*  
612 *through the whole system. It is probably better to let him decide than us.*

613  
614 *Chairperson Stamp: How do they clean them?*

615

616 *Mr. Messick: Usually a vac truck. They pull the grate, stick the vac truck down in the structure*  
617 *and clean it until the water is clear. If they realize that sediment is all the way down in the pipe,*  
618 *they will take a jet and pull it through the pipe and bring the sediment onto the structure and*  
619 *suck it again from that structure.*

620  
621 *Mr. Kleck: Are there any cracked or broken pipes?*

622  
623 *Mr. Messick: That hasn't been determined, but you would notice sediment buildup quicker in*  
624 *those areas.*

625  
626 *Mr. Kleck: Would that be something we would add to our Taylor Morrison want list?*

627  
628 *Mr. Woods: I thought our team that went through the pipes would have noted something like*  
629 *that.*

630  
631 *Mr. Winters: My understanding is that the pipes were so clogged up that they couldn't do an*  
632 *evaluation of the condition of the pipes. That will take place after the pipes are cleaned out.*  
633 *Could we look at them while we are doing the cleanout as one process?*

634  
635 *Mr. Messick: They would have to come back out and look at them when there is room for a*  
636 *camera.*

637  
638 *Mr. Winters: I would propose we take another look once we get them cleaned up and see if they*  
639 *are built right, look right, and holding up properly. Another question: Does the sediment get*  
640 *physically removed when they pull it out of the pipe? Does it get dumped into the ponds?*

641  
642 *Mr. Messick: Removed. They do not dump back into the ponds.*

643  
644 *Chairperson Stamp: The weir, are they just going to put rip rap on both sides?*

645  
646 *Mr. Messick: There are several options that we could look at, one being a structural wing wall to*  
647 *prevent erosion, or you could have a revetment or rip rap. It's very expensive, with design costs*  
648 *and construction costs if you are going to increase the structure from a weir to more of a control*  
649 *structure; \$8,000 is in line with rip rap and I think both are fine from an erosion standpoint.*

650  
651 *Chairperson Stamp: When you talked about the littoral plantings and you said restore, does that*  
652 *mean plant and remove both or does that mean just do more plantings?*

653  
654 *Mr. Conner: It generally would be removing exotics and planting new littorals.*

655  
656 Discussion ensued regarding littoral restoration with removal of exotics and planting new  
657 littorals; and the plant shelf preventing wave action during high winds.

658  
659 *Chairperson Stamp: Earth Balance, I don't know if this is Taylor Morrison or not, it isn't us, was*  
660 *in there two days ago moving some plants, but they also looked like they were getting ready to*  
661 *plant more somewhere. The guy was out there selectively taking out plants, so they are doing*  
662 *something. That may be Taylor Morrison's response by saying, oh yeah, we are out there*  
663 *actively working.*

664  
665 *Mr. Winters: This phase III AB, phase IV AB, is there a list of lakes to go along with these phases?*  
666

667 *Mr. Messick: I do include one in my report. I was looking at their field notes and drafted which*  
668 *would be included in III and which would be included in IV.*

669  
670 *Mr. Winters: So, is this something we want to budget in for succeeding periods and deal with*  
671 *over a period of time?*

672  
673 *Chairperson Stamp: I think we definitely have to deal with this over a period of time.*  
674

675 *Mr. Ward: We should do the phase I and phase II in the current year, now, and then when we*  
676 *get into 2022, although we just adopted that budget, we will phase in phase III A, and phase IV A*  
677 *and B in 2023 and 2024 depending upon what we decide at that point. That will be a future*  
678 *year's item, as will be MRI coming in and looking at the storm drainage system on a yearly basis.*  
679

680 *Mr. Winters: I see these permits on the next page, and I may be jumping the gun here, but we*  
681 *have some performance bonds that go with some of these permits. Is there any opportunity to*  
682 *use some of that money rather than waiting for 2022/2023 and spending the residents' money?*  
683

684 *Chairperson Stamp: Rick and I have been talking about that, if there is some way we can trigger*  
685 *those because if you remember, Taylor Morrison claimed they transferred the bonds to the*  
686 *homeowner's associations. Maybe the homeowner's associations are the owners of the bonds. I*  
687 *am not sure they legally could do what they did.*  
688

689 *Mr. Woods: I think we are going to want to put the bond companies on notice; whether or not*  
690 *they will step up to the plate is another issue.*  
691

692 *Mr. Winters: Is that something the County can help us with?*  
693

694 *Mr. Woods: The County's determination would be helpful to us yes. (Indecipherable) basically*  
695 *somebody has got to repair them.*  
696

697 *Mr. Ward: It would not help you in the phase I/phase II process. It is a separate matter.*  
698

699 Discussion ensued regarding the necessary motion.  
700

701 Mr. Miller asked about Phase I and Phase II timeframes.  
702

703 *Mr. Ward: I believe that was in the letter that was sent to Taylor Morrison almost a month ago*  
704 *at this point with a response which basically said we are on it and will get back to you in 30 days.*  
705 *This will continue to wait until such time as Taylor Morrison responds to that letter.*  
706

707 *Chairperson Stamp: We can authorize it today and wait until we get the response on the letter*  
708 *which should be in the next ten days. I don't know that you could even get started in ten days.*  
709

710 *Mr. Winters: I question whether we should just table it until next month. I think next month we*  
711 *should have a pretty good feel.*

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*Chairperson Stamp: The problem with that, at least with the weir is, are we in danger? If that starts washing out, we go from an \$8,000 dollar problem to a huge problem.*

Discussion ensued regarding the weir; it was decided the weir was too urgent a problem to wait.

*Mr. Boguslawski: I don't want to undervalue cleaning out the silt, the pipes, and the stormwater management system. We escaped a disaster with the tropical storm, and you get a big storm with a lot of rain, and you are going to have localized flooding on the streets. With that said, yes, let's give Taylor Morrison a chance to respond, but please don't put it off until the next board meeting.*

*Chairperson Stamp: There may be a special board meeting in a couple weeks. We can take it up at that point because we will hopefully have a response by then.*

*Mr. Ward: Authorize it, and I will just put it on hold for two or three weeks. We will get started, we will do the weir, we can authorize the 25%, I will just put it on hold as the manager until we are ready to get started on that.*

*Mr. Miller: I agree we need to take some action soon but give Taylor Morrison an opportunity to respond and then have Greg do something, if we don't like their response, to at least let them know that this compels us to take at least this minimal action in order to prevent the flooding so that we don't get out there too far without Taylor Morrison's involvement or responsibility.*

*Mr. Woods: Correct Ron; that would be the strategy.*

*Chairperson Stamp: If that is acceptable, I will take a motion with that understanding for the Phase I and Phase II.*

**On MOTION made by Mr. Tom Kleck, seconded by Mr. Martinn Winters, and with all in favor, the Phase I/Phase II work as identified by Calvin, Giordano & Associates was authorized.**

**b. Preserve Perimeter Investigation**

*Mr. Messick: Preserve Perimeter Investigation was done to determine what improvements are necessary to protect the external preserves from trespassers. There have been a few noted trespassers that were not supposed to be back there; specifically, fencing and access gates. We completed a review on the same day that we met with the SFWMD about the monitoring wells, and walked the entire perimeter, or rode a buggy around the entire perimeter, and prepared this report. The fencing is in disrepair or in bad condition and needs to be replaced. There are several areas where there is open access where anybody can drive back to the external preserves and there are several gates, metal gates, which have been broken and are just on the ground being run over by whoever is driving back there or walking back there. The proposed improvements I have identified in my report which would bring the external preserves to a satisfactory level with regard to the perimeter, ingress, egress, and points of access, and security for keeping out trespassers. I have prepared an exhibit that identifies the existing conditions, and in my summary, I go through*

759 *the four different locations, being the northern limits in which there is one point of access from*  
760 *Logan Blvd, there was no gate at that locations. I recommend installing a metal gate at this*  
761 *location. The Parklands preserve gate would prevent anyone from driving off Logan Blvd directly*  
762 *into our preserves, but anybody who can get into Parklands can also get into Flow Way. Other*  
763 *notes: to the northern property limits there are other residential neighborhoods; however, there is*  
764 *existing fence on their property and there are existing stormwater management systems which*  
765 *would prevent them from just walking into our preserves, so I did not include those areas in my*  
766 *recommendation for improvements for fencing. The next area was Collier Blvd access; at the end*  
767 *of the paved road, you can go right down the dirt path and continue on in and have access right*  
768 *into the middle of the preserves. While can't put a gate up at the end of Collier Blvd, we could*  
769 *propose two gates just west and north of that four-point intersection which would prevent people*  
770 *from entering the preserves from Collier Blvd. Along with those two gates I would recommend*  
771 *reinstalling and replacing the existing field fence. The next area is the southern entrance and*  
772 *outparcels. The existing fence, existing security and guardhouse are doing their jobs and I don't*  
773 *have any concerns with trespassers from Immokalee Road. The last section would be the western*  
774 *property limits where the existing fence is in bad condition and proposed to be replaced and the*  
775 *two gates that connect an access from Logan, more centrally located between Parkland and*  
776 *Terafina, those two gates are broken, and I would recommend replacing them. The cost of metal*  
777 *gates is only \$500 dollars. The total cost would be \$186,320 dollars.*

778

779 *Mr. Kleck: Isn't it usually a developer's problem to protect the development?*

780

781 *Mr. Wood: That's one of those fringe ones, that could be a Taylor Morrison responsibility. It*  
782 *doesn't have to be in pristine shape, probably not. What you look at more is, ordinary wear and*  
783 *tear is okay, but maybe they have let it go so dilapidated, or it has been so long it should have been*  
784 *replaced. Could you tell how old the fencing was?*

785

786 *Mr. Ward: Some of this is original fencing from the 50s.*

787

788 *Mr. Messick: Some of it is very old. I would expect that that fencing, if there were any remnants of*  
789 *it, I do not note it as being an existing fence. It was the new fence from this development that I*  
790 *have noted in my investigations.*

791

792 *Mr. Winters: By virtue of the fact that Taylor Morrison dumped this responsibility on the CDD and*  
793 *the CDD now finds it mandatory to secure the fence, it becomes our responsibility because Taylor*  
794 *Morrison dumped it on us. I would say from that standpoint, it's a Taylor Morrison claim.*  
795 *Regardless of the condition of the fence, we have got security issues, and we have an obligation to*  
796 *maintain the preserves to make sure people aren't using them for rodeos and four-wheel events,*  
797 *and that sort of thing. I would say it's part of the claim personally.*

798

799 *Mr. Miller: This exacerbates my frustration about the preserves in total, and Taylor Morrison's*  
800 *obligation to do the funding. I think the Army Corps of Engineers permit even specifies fencing.*  
801 *We ultimately have to do something about this, I can accept that, but this is just another facet of*  
802 *the lawsuit.*

803

804 *Chairperson Stamp: Short term, we are going to have to do this stuff, and it may come out of our*  
805 *pocket, and we may ultimately recover some, all, or none of this.*

806

807 *Mr. Wood: My letter is getting longer.*

808

809 *Mr. Winters: Is there a fence around what was notated as private property?*

810

811 *Mr. Messick: I don't know. I did note this was a non-accessible area. I did not notice fence on the*  
812 *immediate adjacent paths. Whether it picks back up behind the private property is not known.*

813

814 *Mr. Winters: So, where these red hash marks are, around the private property, and then going*  
815 *back up north to where it says open access, and then back around, we don't know if there is fence*  
816 *there now?*

817

818 *Mr. Messick: Between the private property and where it says open access to the north, that is*  
819 *noted as barbed wire fence. But all barbed wire fence shown in this exhibit is not in good*  
820 *condition.*

821

822 Discussion continued regarding the fencing and access points.

823

824 *Mr. Messick: My evaluation does not include fencing around the entire perimeter. It is more*  
825 *focused on the access points and the fencing to restrict those from the main areas from being able*  
826 *to access the preserve.*

827

828 *Mr. Winters: So, we could have another bill later on, right?*

829

830 *Chairperson Stamp: There could be a maintenance bill as fences do need to be maintained.*

831

832 *Mr. Messick: there are some portions where the wire had just unstapled from the wood post, and*  
833 *they said they could come out and reattach it and there will be some savings if they can salvage*  
834 *the existing posts and attach to that.*

835

836 *Mr. Winters: Anyone who wants in there bad enough is going to take some wire cutters and get*  
837 *through it anyway, but we can at least not make it easier for them.*

838

839 *Mr. Messick: I did note in my report observing an existing trailer that was just outside of our limits,*  
840 *but they had to have gone through our preserve to get to that location. The horses were riding*  
841 *along the western property lines. It felt ironic I was going out there to investigate the perimeter*  
842 *for trespassers and there were trespassers in the preserves.*

843

844 *Mr. Winters: This is marked as a non-accessible area, and yet you were able to determine there*  
845 *was a trailer there?*

846

847 *Mr. Messick: On the northern property line, from Logan Blvd, you can access all the way to the*  
848 *very western limits, but the portion where there is an "x" fence along the panhandle portion, that's*  
849 *where it is inaccessible. The trailer was up on the northern property line.*

850

851 Discussion ensued regarding how the trailer accessed the preserves; the locations of the other  
852 gates; trespassers accessing the preserves via the Immokalee gate to fish in the canal; and the  
853 possibility of increasing the security of the Immokalee area to prevent trespassers.

854

855 *Mr. Messick: We can include increased Immokalee security. I will note that, but I wouldn't expect*  
856 *that to substantially increase the cost of the project.*

857  
858 Discussion ensued regarding defensive landscaping such as cacti.

859  
860 *Mr. Miller: If we are compelled to take some action with some fencing, then so be it, but I think*  
861 *this goes more down the line that Greg should put Taylor Morrison on notice that we are*  
862 *compelled to do something on the preserves that the CDD is not in the business to do. The CDD is*  
863 *not in the business of an environmental agency, nor security for property which lies outside of the*  
864 *CDD boundaries and make sure Taylor Morrison is aware and the arbitrator becomes keenly aware*  
865 *that we are being compelled to take action due to Taylor Morrison's failure.*

866  
867 *Mr. Woods: Thank you Ron.*

868  
869 *Chairperson Stamp: You said it was the 5-strand barbed wire. Can we go to the 3-strand and save*  
870 *a little money?*

871  
872 *Mr. Messick: We can.*

873  
874 *Mr. Winters: Are we being asked to fund \$187,000 dollars today or is this something we would*  
875 *phase in?*

876  
877 *Mr. Ward: We are going to have to phase this in. Obviously prioritize it in some way. We wanted*  
878 *to get this report to see what needed to be done. Obviously in the next couple of months I will ask*  
879 *Jimmy to phase this in and come up with a plan to do the work.*

880  
881 *Chairperson Stamp: We need to take a look at our budget and see what we can carry. At a*  
882 *subsequent meeting we can do this.*

883  
884 *Mr. Winters: Do we have any contingency funds or reserve funds that we can tap?*

885  
886 *Mr. Ward: No.*

887  
888 *Mr. Winters: Sounds like we should, going forward.*

889  
890 *Chairperson Stamp: Next year the assessment is going to have to go up.*

891  
892 *Mr. Winters: Could it be a one-time special assessment?*

893  
894 *Chairperson Stamp: Depends on how the lawsuit comes out, where we are with Taylor Morrison,*  
895 *etc.*

896  
897 *Mr. Ward: We've got time. We are just starting 2022. All of this work is great for us to get done*  
898 *now because we will be able to plan what little bit we can do in 2022 and then moving on to 2023*  
899 *what our budget is going to be.*

900  
901 *Chairperson Stamp: Do we need a motion today?*

902



903            *Mr. Ward: No. This will be an ongoing item. We will add it to a planned list of things we need to*  
904            *do.*

905

906    **III. District Manager – JPWard & Associates, LLC**

907

908            **a. Financial Statements for period ending June 30, 2021 (unaudited)**

909

910            No report.

911

912    **OLD BUSINESS**

---

913

914    **SEVENTH ORDER OF BUSINESS**

**Continued Item**

915

916    **Agreement with Master Homeowner’s Association and District (*Continuing Item*)**

917

918    *Chairperson Stamp: Dave Boguslawski and I have talked and the HOA’s feeling is they want to leave it in*  
919    *place at this point.*

920

921

922    **EIGHTH ORDER OF BUSINESS**

**Continued Item**

923

924    **Discussion of Future Funding of Preserve Mitigation and Maintenance (*Continuing Item*)**

925

926    *Chairperson Stamp: We have talked a lot about this and whether we can trigger those bonds, and*  
927    *otherwise we are pretty much waiting on the lawsuit to see what happens.*

928

929

930    **NINTH ORDER OF BUSINESS**

**Staff Items**

931

932            **I. District Attorney**

933

934            No report.

935

936            **II. District Manager**

937

938            No report.

939

940

941    **TENTH ORDER OF BUSINESS**

**Board Items**

942

943    *Chairperson Stamp: Andrew Miller has resigned, so we are down to four members. I want to have a*  
944    *general discussion about how people want to proceed. Do we want to advertise this, take resumes, do*  
945    *interviews, fill the seat today? How do the Members want to proceed with this? Let me also say, we are*  
946    *looking to try to have another meeting late in the first week of August because we are going to be in a*  
947    *situation where I will be in Europe, Ron will be in the Ozarks, and you two will be down to two members,*  
948    *so there won’t be three people here to have a meeting. We probably want to move expeditiously, but*  
949    *maybe not. If we don’t need a meeting in August, maybe we say this becomes a September issue.*

950

951 Discussion ensued regarding the possibility of an extra meeting the first week in August to discuss a  
952 Board Member; the possibility of hearing from Taylor Morrison before the August meeting; when to  
953 hold the next meeting; whether to continue with an unfilled seat for the remainder of the term or  
954 appoint someone to fill the seat for the remainder of the term; and waiting until September for the next  
955 meeting.

956  
957 Mr. Ward indicated he would keep abreast of the Taylor Morrison situation and if the Board needed to  
958 meet to discuss a response from Taylor Morrison, he would call a meeting.

959  
960 Discussion ensued regarding the selection of an individual to fill the seat; how to select an individual;  
961 and interviewing individuals as a Board.

962  
963 *Chairperson Stamp: The only reason we would need a fifth meeting, other than filling this seat, would be*  
964 *if we need some kind of response for Taylor Morrison. I think with the authority the Board has given me,*  
965 *I can respond accordingly, and we can just cancel the August meeting as there are only two members*  
966 *who could physically be here.*

967  
968 *Mr. Woods: If Taylor Morrison were to tell us to go pound sand regarding the sand in the pipes, is Jim*  
969 *authorized to have them begin on the pipe cleaning?*

970  
971 *Chairperson Stamp: Yes.*

972  
973 *Mr. Ward: Yes.*

974  
975 *Chairperson Stamp: That brings us back to how do you want to proceed?*

976  
977 *Mr. Kleck: What's Jim's experience in replacing Board Members?*

978  
979 *Mr. Ward: My experience has been that the Board would come up with one or two or three people and*  
980 *talk about it. The interview process, not so much. And come to a consensus in a public meeting on what*  
981 *you want to do. You bring your experiences to the table, and you make a decision as a group and be*  
982 *done with it and then a motion and a second and an affirmative vote on one of those people. I would not*  
983 *recommend the interview process.*

984  
985 *Mr. Winters: Could we go into a closed session and have a discussion?*

986  
987 *Mr. Ward: No, you have to do this in open session.*

988  
989 *Mr. Winters: Could each of us bring a name to the next meeting as a recommendation and then discuss?*

990  
991 *Mr. Ward: The bad part about this is, it is a public and open process, so just whoever you think is a good*  
992 *candidate, just use your best judgment, bring them here. There is no disparaging anybody's reputation,*  
993 *it's just this is someone I think would be a good fit and you have a simple easy discussion about it and*  
994 *make a decision.*

995  
996 Discussion ensued regarding choosing an individual with good qualifications and someone not a  
997 snowbird.

998

999 *Mr. Miller: I have taken the liberty of contacting Van Mantussa (ph) who is a fulltime resident, and in my*  
1000 *opinion, would make an excellent Board Member. He has not given me a yes. He wants to think about it*  
1001 *for a few days. In my conversation with him I made it clear there would be other names that are going*  
1002 *to be presented, so he shouldn't feel sensitive or have any hurt feelings in the event that he says yes, and*  
1003 *he is not selected. I don't think that's a problem.*

1004  
1005 *Mr. Boguslawski: I am going to be dropping off [the call] and I think your last minute or two of*  
1006 *discussion is where you ought to head. Don't go for names, go for qualifications as a first step.*

1007  
1008 *Chairperson Stamp: Agreed. By all means, if you know somebody who stands out, please communicate*  
1009 *with me or one of the other Members.*

1010  
1011 *Mr. Winters: I have had discussions with Manmohan "Bart" Bhatla who was on the transition committee*  
1012 *who is an environmental engineer with 30 years' experience. He has done environmental work for major*  
1013 *corporations around the world, and he has expressed an interest.*

1014  
1015 *Mr. Kleck: While we are throwing out names, John Coles (ph). I think John brings a lot to the table as*  
1016 *well.*

1017  
1018 *Chairperson Stamp: So, is the consensus at this point, that we will delay this decision until September?*

1019  
1020 Discussion ensued regarding a meeting in August or September. It was decided to hold the next  
1021 meeting in September.

1022  
1023 *Mr. Ward: If something comes up, and we don't have that meeting and we need it for some reason, I*  
1024 *will get in touch with you individually and set something up.*

1025

1026

1027 **FINAL BOARD ITEMS**

---

1028

1029 **ELEVENTH ORDER OF BUSINESS**

**Audience Comments**

1030

1031 Chairperson Stamp asked if there were any audience comments; there were no audience comments.

1032

1033 *Mr. Winters: I had one last thing. On lake 11, we have the elevation survey, and the elevation survey*  
1034 *that our surveyor came up with differs from that provided by Waldrop by one to two feet.*

1035

1036 *Chairperson Stamp: Lake level you mean?*

1037

1038 *Mr. Winters: The ground level. What they were supposed to have graded and made available for the*  
1039 *final permit is different from that which Waldrop provided, and he was going to do an inspection with*  
1040 *the County inspector to ask him does this comply or not, because apparently it doesn't. And, he has not*  
1041 *been able to get a meeting set up in the last two weeks.*

1042

1043 *Chairperson Stamp: Were you aware of that?*

1044

1045 *Mr. Messick: I was, but Tom said he was going to have some grading shots.*

1046

1047 *Mr. Winters: I will have more information about this later.*

1048

1049

1050 **TWELFTH ORDER OF BUSINESS**

**Announcement of Next Meeting**

1051

1052 No meeting in August unless necessary; next meeting September 16, 2021.

1053

1054

1055 **THIRTEENTH ORDER OF BUSINESS**

**Adjournment**

1056

1057 Chairperson Stamp adjourned the meeting at approximately 2:45 p.m.

1058

1059

**On MOTION made by Mr. Tom Kleck, seconded by Mr. Martinn Winters, and with all in favor, the Meeting was adjourned.**

1060

1061

1062

Flow Way Community Development District

1063

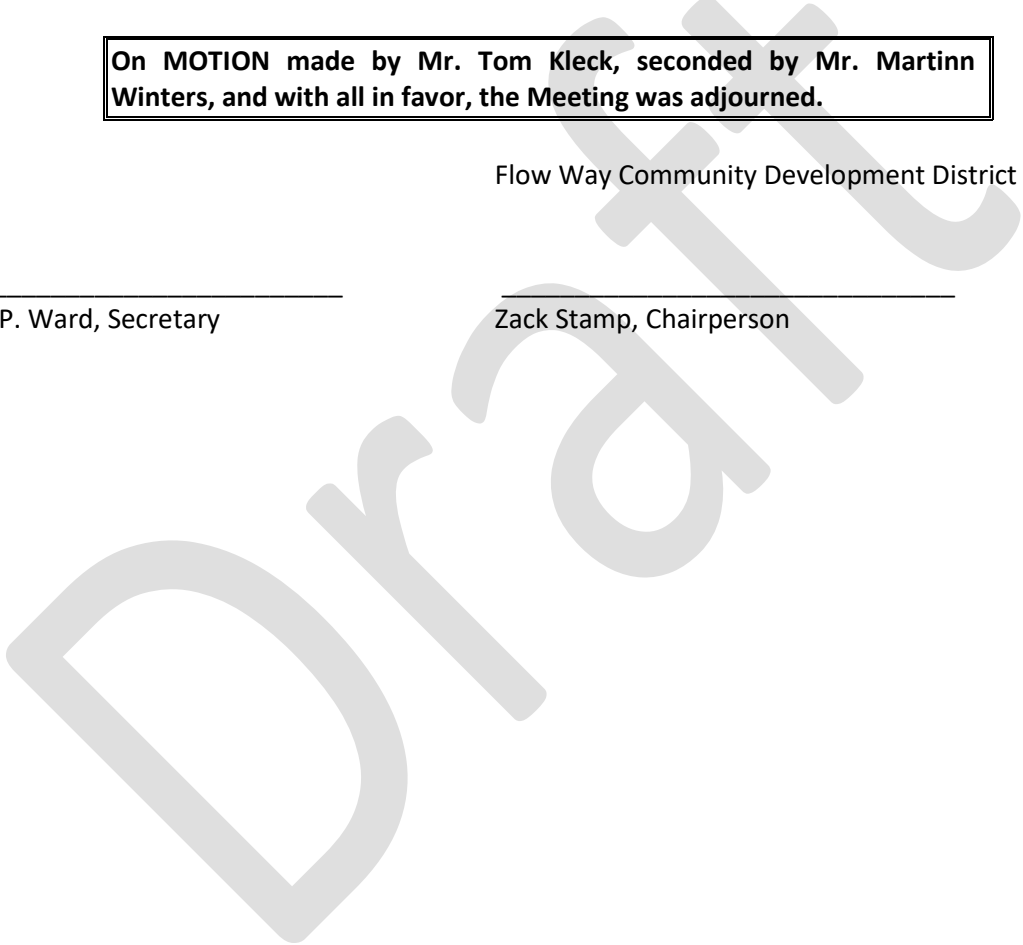
1064

1065

1066

\_\_\_\_\_  
James P. Ward, Secretary

\_\_\_\_\_  
Zack Stamp, Chairperson



## James P. Ward

---

**From:** Drew Miller CDD <amillerCDD@taylormorrison.com>  
**Sent:** Wednesday, July 14, 2021 10:59 AM  
**To:** James P. Ward  
**Subject:** Flow way resignation

Jim,

Please accept this email as my resignation from the board of the Flow Way Community Development district.

Thank you,

Get [Outlook for iOS](#)

# Resume

Manmohan (Bart) N. Bhatla

[Bhatlamail@gmail.com](mailto:Bhatlamail@gmail.com), ph [484-883-8521](tel:484-883-8521)

## Education

BS Civil Engineering, Punjab Engineering College, India

Masters ,Public Health Engineering ( in school of civil engineering), Roorkee University, India

PhD ,school of Civil And Sanitary engineering, OKlahoma State University, Stillwater, Oklahoma

## Field of Competency

Forty years of consulting and engineering in the Environmental field;

Held Professional Engineer certifications in nine states.

Business acquisition, proposal management, Contract negotiations, technical direction and P&L responsibility

## Experience

Managed and directed projects for waste minimization, waste water treatment solutions,remediation of contaminated sites, regulatory permitting,innovative technology development and demonstration, environmental support for M&A activities for numerous industrial Clients, Municipalities, commercial clients as well State regulatory bodies and US EPA.

Managed hazardous waste emergency response on behalf of Region 3 USEPA & Pennsylvania

Directed work on several superfund sites

Largest consulting project managed /directed involved direction of multi discipline teams defining PCB contamination for a gas pipeline Co at over fifty sites in 14 states and remediation of majority of these sites working with States and USEPA. Consulting fees on this multidisciplinary program exceeded over \$50 million. project lasted over 10 years.

## Positions held

Lab Director, Project Manager, Project Director, Industrial Practice Leader and Department Manager, & corporate VP for over 30 years at Roy F . Weston and Weston Solutions, Inc

Technical Manager and Board Member of Weston Europe spA Environmental Consulting co, Milan , Italy for 3 years.

President of a Civil and Structural design engineering co,Hoff-Weston,Inc for a 3year period.

Real estate agent and President of HOA

VP SB Design, inc, an interior design co

Gold medalist in Engineering College

Winner of 1976 National George Gascoigne medal , Water Pollution Control Federation

Author and coauthor of over 25 technical publications

**OATH OR AFFIRMATION OF OFFICE**

I, \_\_\_\_\_, a citizen of the State of Florida and of the United States of America, and being an officer of the **Flow Way Community Development District** and a recipient of public funds as such officer, do hereby solemnly swear or affirm that I will support the Constitution of the United States and of the State of Florida, and will faithfully, honestly and impartially discharge the duties devolving upon me as a member of the Board of Supervisors of the **Flow Way Community Development District**, Collier County, Florida.

\_\_\_\_\_  
Signature

Printed Name: \_\_\_\_\_

STATE OF FLORIDA  
COUNTY OF COLLIER

Sworn to (or affirmed) before me by means of ( ) Physical presence or ( ) online notarization this \_\_\_\_\_ day of \_\_\_\_\_, 2021, by \_\_\_\_\_, whose signature appears hereinabove, who is personally known to me or who produced \_\_\_\_\_ as identification.

\_\_\_\_\_  
NOTARY PUBLIC  
STATE OF FLORIDA

Print Name: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

# FORM 1

# STATEMENT OF FINANCIAL INTERESTS

# 2020

Please print or type your name, mailing address, agency name, and position below:

FOR OFFICE USE ONLY:

LAST NAME -- FIRST NAME -- MIDDLE NAME :

MAILING ADDRESS :

CITY : ZIP : COUNTY :

NAME OF AGENCY :

NAME OF OFFICE OR POSITION HELD OR SOUGHT :

CHECK ONLY IF  CANDIDATE OR  NEW EMPLOYEE OR APPOINTEE

**\*\*\*\* THIS SECTION MUST BE COMPLETED \*\*\*\***

**DISCLOSURE PERIOD:**

THIS STATEMENT REFLECTS YOUR FINANCIAL INTERESTS FOR CALENDAR YEAR ENDING DECEMBER 31, 2020.

**MANNER OF CALCULATING REPORTABLE INTERESTS:**

FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). CHECK THE ONE YOU ARE USING (**must check one**):

**COMPARATIVE (PERCENTAGE) THRESHOLDS** OR  **DOLLAR VALUE THRESHOLDS**

**PART A -- PRIMARY SOURCES OF INCOME** [Major sources of income to the reporting person - See instructions]  
(If you have nothing to report, write "none" or "n/a")

NAME OF SOURCE OF INCOME	SOURCE'S ADDRESS	DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY

**PART B -- SECONDARY SOURCES OF INCOME**  
[Major customers, clients, and other sources of income to businesses owned by the reporting person - See instructions]  
(If you have nothing to report, write "none" or "n/a")

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE

**PART C -- REAL PROPERTY** [Land, buildings owned by the reporting person - See instructions]  
(If you have nothing to report, write "none" or "n/a")


You are not limited to the space on the lines on this form. Attach additional sheets, if necessary.

**FILING INSTRUCTIONS** for when and where to file this form are located at the bottom of page 2.

**INSTRUCTIONS** on who must file this form and how to fill it out begin on page 3.



**PART D — INTANGIBLE PERSONAL PROPERTY** [Stocks, bonds, certificates of deposit, etc. - See instructions]  
 (If you have nothing to report, write "none" or "n/a")

TYPE OF INTANGIBLE	BUSINESS ENTITY TO WHICH THE PROPERTY RELATES

**PART E — LIABILITIES** [Major debts - See instructions]  
 (If you have nothing to report, write "none" or "n/a")

NAME OF CREDITOR	ADDRESS OF CREDITOR

**PART F — INTERESTS IN SPECIFIED BUSINESSES** [Ownership or positions in certain types of businesses - See instructions]  
 (If you have nothing to report, write "none" or "n/a")

	BUSINESS ENTITY # 1	BUSINESS ENTITY # 2
NAME OF BUSINESS ENTITY		
ADDRESS OF BUSINESS ENTITY		
PRINCIPAL BUSINESS ACTIVITY		
POSITION HELD WITH ENTITY		
I OWN MORE THAN A 5% INTEREST IN THE BUSINESS		
NATURE OF MY OWNERSHIP INTEREST		

**PART G — TRAINING** For elected municipal officers, appointed school superintendents, and commissioners of a community redevelopment agency created under Part III, Chapter 163 required to complete annual ethics training pursuant to section 112.3142, F.S.

**I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.**

**IF ANY OF PARTS A THROUGH G ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE**

**SIGNATURE OF FILER:**

**Signature:**

\_\_\_\_\_

**Date Signed:**

\_\_\_\_\_

**CPA or ATTORNEY SIGNATURE ONLY**

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, \_\_\_\_\_, prepared the CE Form 1 in accordance with Section 112.3145, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

CPA/Attorney Signature: \_\_\_\_\_

Date Signed: \_\_\_\_\_

**FILING INSTRUCTIONS:**

If you were mailed the form by the Commission on Ethics or a County Supervisor of Elections for your annual disclosure filing, return the form to that location. To determine what category your position falls under, see page 3 of instructions.

**Local officers/employees** file with the Supervisor of Elections of the county in which they permanently reside. (If you do not permanently reside in Florida, file with the Supervisor of the county where your agency has its headquarters.) Form 1 filers who file with the Supervisor of Elections may file by mail or email. Contact your Supervisor of Elections for the mailing address or email address to use. Do not email your form to the Commission on Ethics, it will be returned.

**State officers or specified state employees** who file with the Commission on Ethics may file by mail or email. To file by mail, send the completed form to P.O. Drawer 15709, Tallahassee, FL 32317-5709; physical address: 325 John Knox Rd, Bldg E, Ste 200, Tallahassee, FL 32303. To file with the Commission by email, scan your completed form and any attachments as a pdf (do not use any other format), send it to [CEForm1@leg.state.fl.us](mailto:CEForm1@leg.state.fl.us) and retain a copy for your records. Do not file by both mail and email. Choose only one filing method. Form 6s will not be accepted via email.

**Candidates** file this form together with their filing papers.

**MULTIPLE FILING UNNECESSARY:** A candidate who files a Form 1 with a qualifying officer is not required to file with the Commission or Supervisor of Elections.

**WHEN TO FILE: Initially,** each local officer/employee, state officer, and specified state employee must file **within 30 days** of the date of his or her appointment or of the beginning of employment. Appointees who must be confirmed by the Senate must file prior to confirmation, even if that is less than 30 days from the date of their appointment.

**Candidates** must file at the same time they file their qualifying papers.

**Thereafter,** file by July 1 following each calendar year in which they hold their positions.

**Finally,** file a final disclosure form (Form 1F) within 60 days of leaving office or employment. Filing a CE Form 1F (Final Statement of Financial Interests) does not relieve the filer of filing a CE Form 1 if the filer was in his or her position on December 31, 2020.

## NOTICE

**Annual Statements of Financial Interests are due July 1. If the annual form is not filed or postmarked by September 1, an automatic fine of \$25 for each day late will be imposed, up to a maximum penalty of \$1,500. Failure to file also can result in removal from public office or employment. [s. 112.3145, F.S.]**

**In addition, failure to make any required disclosure constitutes grounds for and may be punished by one or more of the following: disqualification from being on the ballot, impeachment, removal or suspension from office or employment, demotion, reduction in salary, reprimand, or a civil penalty not exceeding \$10,000. [s. 112.317, F.S.]**

## **WHO MUST FILE FORM 1:**

1) Elected public officials not serving in a political subdivision of the state and any person appointed to fill a vacancy in such office, unless required to file full disclosure on Form 6.

2) Appointed members of each board, commission, authority, or council having statewide jurisdiction, excluding members of solely advisory bodies, but including judicial nominating commission members; Directors of Enterprise Florida, Scripps Florida Funding Corporation, and Career Source Florida; and members of the Council on the Social Status of Black Men and Boys; the Executive Director, Governors, and senior managers of Citizens Property Insurance Corporation; Governors and senior managers of Florida Workers' Compensation Joint Underwriting Association; board members of the Northeast Fla. Regional Transportation Commission; board members of Triumph Gulf Coast, Inc; board members of Florida Is For Veterans, Inc.; and members of the Technology Advisory Council within the Agency for State Technology.

3) The Commissioner of Education, members of the State Board of Education, the Board of Governors, the local Boards of Trustees and Presidents of state universities, and the Florida Prepaid College Board.

4) Persons elected to office in any political subdivision (such as municipalities, counties, and special districts) and any person appointed to fill a vacancy in such office, unless required to file Form 6.

5) Appointed members of the following boards, councils, commissions, authorities, or other bodies of county, municipality, school district, independent special district, or other political subdivision: the governing body of the subdivision; community college or junior college district boards of trustees; boards having the power to enforce local code provisions; boards of adjustment; community redevelopment agencies; planning or zoning boards having the power to recommend, create, or modify land planning or zoning within a political subdivision, except for citizen advisory committees, technical coordinating committees, and similar groups who only have the power to make recommendations to planning or zoning boards, and except for representatives of a military installation acting on behalf of all military installations within that jurisdiction; pension or retirement boards empowered to invest pension or retirement funds or determine entitlement to or amount of pensions or other retirement benefits, and the Pinellas County Construction Licensing Board.

6) Any appointed member of a local government board who is required to file a statement of financial interests by the appointing authority or the enabling legislation, ordinance, or resolution creating the board.

7) Persons holding any of these positions in local government: mayor; county or city manager; chief administrative employee or finance

director of a county, municipality, or other political subdivision; county or municipal attorney; chief county or municipal building inspector; county or municipal water resources coordinator; county or municipal pollution control director; county or municipal environmental control director; county or municipal administrator with power to grant or deny a land development permit; chief of police; fire chief; municipal clerk; appointed district school superintendent; community college president; district medical examiner; purchasing agent (regardless of title) having the authority to make any purchase exceeding \$35,000 for the local governmental unit.

8) Officers and employees of entities serving as chief administrative officer of a political subdivision.

9) Members of governing boards of charter schools operated by a city or other public entity.

10) Employees in the office of the Governor or of a Cabinet member who are exempt from the Career Service System, excluding secretarial, clerical, and similar positions.

11) The following positions in each state department, commission, board, or council: Secretary, Assistant or Deputy Secretary, Executive Director, Assistant or Deputy Executive Director, and anyone having the power normally conferred upon such persons, regardless of title.

12) The following positions in each state department or division: Director, Assistant or Deputy Director, Bureau Chief, and any person having the power normally conferred upon such persons, regardless of title.

13) Assistant State Attorneys, Assistant Public Defenders, criminal conflict and civil regional counsel, and assistant criminal conflict and civil regional counsel, Public Counsel, full-time state employees serving as counsel or assistant counsel to a state agency, administrative law judges, and hearing officers.

14) The Superintendent or Director of a state mental health institute established for training and research in the mental health field, or any major state institution or facility established for corrections, training, treatment, or rehabilitation.

15) State agency Business Managers, Finance and Accounting Directors, Personnel Officers, Grant Coordinators, and purchasing agents (regardless of title) with power to make a purchase exceeding \$35,000.

16) The following positions in legislative branch agencies: each employee (other than those employed in maintenance, clerical, secretarial, or similar positions and legislative assistants exempted by the presiding officer of their house); and each employee of the Commission on Ethics.

## **INSTRUCTIONS FOR COMPLETING FORM 1:**

**INTRODUCTORY INFORMATION** (Top of Form): If your name, mailing address, public agency, and position are already printed on the form, you do not need to provide this information unless it should be changed. To change any of this information, write the correct information on the form, and contact your agency's financial disclosure coordinator. You can find your coordinator on the Commission on Ethics website: [www.ethics.state.fl.us](http://www.ethics.state.fl.us).

**NAME OF AGENCY:** The name of the governmental unit which you serve or served, by which you are or were employed, or for which you are a candidate.

**DISCLOSURE PERIOD:** The "disclosure period" for your report is the calendar year ending December 31, 2020.

**OFFICE OR POSITION HELD OR SOUGHT:** The title of the office or position you hold, are seeking, or held during the disclosure period even if you have since left that position. If you are a candidate for office or are a new employee or appointee, check the appropriate box.

**PUBLIC RECORD:** The disclosure form and everything attached to it is a public record. Your Social Security Number is not required and you should redact it from any documents you file. If you are an active or former officer or employee listed in Section 119.071, F.S., whose home address is exempt from disclosure, the Commission will maintain that confidentiality if you submit a written request.

## MANNER OF CALCULATING REPORTABLE INTEREST

Filers have the option of reporting based on either thresholds that are comparative (usually, based on percentage values) or thresholds that are based on absolute dollar values. The instructions on the following pages specifically describe the different thresholds. Check the box that reflects the choice you have made. You must use the type of threshold you have chosen for each part of the form. In other words, if you choose to report based on absolute dollar value thresholds, you cannot use a percentage threshold on any part of the form.

### **IF YOU HAVE CHOSEN DOLLAR VALUE THRESHOLDS THE FOLLOWING INSTRUCTIONS APPLY**

#### **PART A — PRIMARY SOURCES OF INCOME**

[Required by s. 112.3145(3)(b)1, F.S.]

Part A is intended to require the disclosure of your principal sources of income during the disclosure period. You do not have to disclose any public salary or public position(s). The income of your spouse need not be disclosed; however, if there is joint income to you and your spouse from property you own jointly (such as interest or dividends from a bank account or stocks), you should disclose the source of that income if it exceeded the threshold.

Please list in this part of the form the name, address, and principal business activity of each source of your income which exceeded \$2,500 of gross income received by you in your own name or by any other person for your use or benefit.

"Gross income" means the same as it does for income tax purposes, even if the income is not actually taxable, such as interest on tax-free bonds. Examples include: compensation for services, income from business, gains from property dealings, interest, rents, dividends, pensions, IRA distributions, social security, distributive share of partnership gross income, and alimony, but not child support.

Examples:

— If you were employed by a company that manufactures computers and received more than \$2,500, list the name of the company, its address, and its principal business activity (computer manufacturing).

— If you were a partner in a law firm and your distributive share of partnership gross income exceeded \$2,500, list the name of the firm, its address, and its principal business activity (practice of law).

— If you were the sole proprietor of a retail gift business and your gross income from the business exceeded \$2,500, list the name of the business, its address, and its principal business activity (retail gift sales).

— If you received income from investments in stocks and bonds, list each individual company from which you derived more than \$2,500. Do not aggregate all of your investment income.

— If more than \$2,500 of your gross income was gain from the sale of property (not just the selling price), list as a source of income the purchaser's name, address and principal business activity. If the purchaser's identity is unknown, such as where securities listed on an exchange are sold through a brokerage firm, the source of income should be listed as "sale of (name of company) stock," for example.

— If more than \$2,500 of your gross income was in the form of interest from one particular financial institution (aggregating interest from all CD's, accounts, etc., at that institution), list the name of the institution, its address, and its principal business activity.

#### **PART B — SECONDARY SOURCES OF INCOME**

[Required by s. 112.3145(3)(b)2, F.S.]

This part is intended to require the disclosure of major customers, clients, and other sources of income to businesses in which you own an interest. It is not for reporting income from second jobs. That kind of income should be reported in Part A "Primary Sources of Income," if it meets the reporting threshold. You will not have anything to report unless, during the disclosure period:

(1) You owned (either directly or indirectly in the form of an equitable or beneficial interest) more than 5% of the total assets or capital

stock of a business entity (a corporation, partnership, LLC, limited partnership, proprietorship, joint venture, trust, firm, etc., doing business in Florida); **and,**

(2) You received more than \$5,000 of your gross income during the disclosure period from that business entity.

If your interests and gross income exceeded these thresholds, then for that business entity you must list every source of income to the business entity which exceeded 10% of the business entity's gross income (computed on the basis of the business entity's most recently completed fiscal year), the source's address, and the source's principal business activity.

Examples:

— You are the sole proprietor of a dry cleaning business, from which you received more than \$5,000. If only one customer, a uniform rental company, provided more than 10% of your dry cleaning business, you must list the name of the uniform rental company, its address, and its principal business activity (uniform rentals).

— You are a 20% partner in a partnership that owns a shopping mall and your partnership income exceeded the above thresholds. List each tenant of the mall that provided more than 10% of the partnership's gross income and the tenant's address and principal business activity.

#### **PART C — REAL PROPERTY**

[Required by s. 112.3145(3)(b)3, F.S.]

In this part, list the location or description of all real property in Florida in which you owned directly or indirectly at any time during the disclosure period in excess of 5% of the property's value. You are not required to list your residences. You should list any vacation homes if you derive income from them.

Indirect ownership includes situations where you are a beneficiary of a trust that owns the property, as well as situations where you own more than 5% of a partnership or corporation that owns the property. The value of the property may be determined by the most recently assessed value for tax purposes, in the absence of a more accurate fair market value.

The location or description of the property should be sufficient to enable anyone who looks at the form to identify the property. A street address should be used, if one exists.

#### **PART D — INTANGIBLE PERSONAL PROPERTY**

[Required by s. 112.3145(3)(b)3, F.S.]

Describe any intangible personal property that, at any time during the disclosure period, was worth more than \$10,000 and state the business entity to which the property related. Intangible personal property includes things such as cash on hand, stocks, bonds, certificates of deposit, vehicle leases, interests in businesses, beneficial interests in trusts, money owed you (including, but not limited to, loans made as a candidate to your own campaign), Deferred Retirement Option Program (DROP) accounts, the Florida Prepaid College Plan, and bank accounts in which you have an ownership interest. Intangible personal property also includes investment products held in IRAs, brokerage accounts, and the Florida College Investment Plan. Note that the product contained in a brokerage account, IRA, or the Florida College Investment Plan is your asset—not the account or plan itself. Things like automobiles and houses you own, jewelry, and paintings are not intangible property. Intangibles relating to the same business entity may be aggregated; for example, CDs and savings accounts with the same bank. Property owned as tenants by the entirety or as joint tenants with right of survivorship, including bank accounts owned in such a manner, should be valued at 100%. The value of a leased vehicle is the vehicle's present value minus the lease residual (a number found on the lease document).

## PART E — LIABILITIES

[Required by s. 112.3145(3)(b)4, F.S.]

List the name and address of each creditor to whom you owed more than \$10,000 at any time during the disclosure period. The amount of the liability of a vehicle lease is the sum of any past-due payments and all unpaid prospective lease payments. You are not required to list the amount of any debt. You do not have to disclose credit card and retail installment accounts, taxes owed (unless reduced to a judgment), indebtedness on a life insurance policy owed to the company of issuance, or contingent liabilities. A "contingent liability" is one that will become an actual liability only when one or more future events occur or fail to occur, such as where you are liable only as a guarantor, surety, or endorser on a promissory note. If you are a "co-maker" and are jointly liable or jointly and severally liable, then it is not a contingent liability.

## PART F — INTERESTS IN SPECIFIED BUSINESSES

[Required by s. 112.3145(6), F.S.]

The types of businesses covered in this disclosure include: state and federally chartered banks; state and federal savings and loan associations; cemetery companies; insurance companies; mortgage companies; credit unions; small loan companies; alcoholic beverage licensees; pari-mutuel wagering companies, utility companies, entities controlled by the Public Service Commission; and entities granted a franchise to operate by either a city or a county government.

Disclose in this part the fact that you owned during the disclosure

period an interest in, or held any of certain positions with the types of businesses listed above. You must make this disclosure if you own or owned (either directly or indirectly in the form of an equitable or beneficial interest) at any time during the disclosure period more than 5% of the total assets or capital stock of one of the types of business entities listed above. You also must complete this part of the form for each of these types of businesses for which you are, or were at any time during the disclosure period, an officer, director, partner, proprietor, or agent (other than a resident agent solely for service of process).

If you have or held such a position or ownership interest in one of these types of businesses, list the name of the business, its address and principal business activity, and the position held with the business (if any). If you own(ed) more than a 5% interest in the business, indicate that fact and describe the nature of your interest.

## PART G — TRAINING CERTIFICATION

[Required by s. 112.3142, F.S.]

If you are a Constitutional or elected municipal officer, appointed school superintendent, or a commissioner of a community redevelopment agency created under Part III, Chapter 163 whose service began before March 31 of the year for which you are filing, you are required to complete four hours of ethics training which addresses Article II, Section 8 of the Florida Constitution, the Code of Ethics for Public Officers and Employees, and the public records and open meetings laws of the state. You are required to certify on this form that you have taken such training.

# IF YOU HAVE CHOSEN COMPARATIVE (PERCENTAGE) THRESHOLDS THE FOLLOWING INSTRUCTIONS APPLY

## PART A — PRIMARY SOURCES OF INCOME

[Required by s. 112.3145(3)(a)1, F.S.]

Part A is intended to require the disclosure of your principal sources of income during the disclosure period. You do not have to disclose any public salary or public position(s), but income from these public sources should be included when calculating your gross income for the disclosure period. The income of your spouse need not be disclosed; however, if there is joint income to you and your spouse from property you own jointly (such as interest or dividends from a bank account or stocks), you should include all of that income when calculating your gross income and disclose the source of that income if it exceeded the threshold.

Please list in this part of the form the name, address, and principal business activity of each source of your income which exceeded 5% of the gross income received by you in your own name or by any other person for your benefit or use during the disclosure period.

"Gross income" means the same as it does for income tax purposes, even if the income is not actually taxable, such as interest on tax-free bonds. Examples include: compensation for services, income from business, gains from property dealings, interest, rents, dividends, pensions, IRA distributions, social security, distributive share of partnership gross income, and alimony, but not child support.

Examples:

— If you were employed by a company that manufactures computers and received more than 5% of your gross income from the company, list the name of the company, its address, and its principal business activity (computer manufacturing).

— If you were a partner in a law firm and your distributive share of partnership gross income exceeded 5% of your gross income, then list the name of the firm, its address, and its principal business activity (practice of law).

— If you were the sole proprietor of a retail gift business and your gross income from the business exceeded 5% of your total gross income, list the name of the business, its address, and its principal business activity (retail gift sales).

— If you received income from investments in stocks and bonds, list each individual company from which you derived

more than 5% of your gross income. Do not aggregate all of your investment income.

— If more than 5% of your gross income was gain from the sale of property (not just the selling price), list as a source of income the purchaser's name, address, and principal business activity. If the purchaser's identity is unknown, such as where securities listed on an exchange are sold through a brokerage firm, the source of income should be listed as "sale of (name of company) stock," for example.

— If more than 5% of your gross income was in the form of interest from one particular financial institution (aggregating interest from all CD's, accounts, etc., at that institution), list the name of the institution, its address, and its principal business activity.

## PART B — SECONDARY SOURCES OF INCOME

[Required by s. 112.3145(3)(a)2, F.S.]

This part is intended to require the disclosure of major customers, clients, and other sources of income to businesses in which you own an interest. It is not for reporting income from second jobs. That kind of income should be reported in Part A, "Primary Sources of Income," if it meets the reporting threshold. You will **not** have anything to report **unless** during the disclosure period:

(1) You owned (either directly or indirectly in the form of an equitable or beneficial interest) more than 5% of the total assets or capital stock of a business entity (a corporation, partnership, LLC, limited partnership, proprietorship, joint venture, trust, firm, etc., doing business in Florida); **and,**

(2) You received more than 10% of your gross income from that business entity; **and,**

(3) You received more than \$1,500 in gross income from that business entity.

If your interests and gross income exceeded these thresholds, then for that business entity you must list every source of income to the business entity which exceeded 10% of the business entity's gross income (computed on the basis of the business entity's most recently completed fiscal year), the source's address, and the source's principal business activity.

Examples:

— You are the sole proprietor of a dry cleaning business, from which you received more than 10% of your gross income—an amount that was more than \$1,500. If only one customer, a uniform rental company, provided more than 10% of your dry cleaning business, you must list the name of the uniform rental company, its address, and its principal business activity (uniform rentals).

— You are a 20% partner in a partnership that owns a shopping mall and your partnership income exceeded the thresholds listed above. You should list each tenant of the mall that provided more than 10% of the partnership's gross income, and the tenant's address and principal business activity.

## PART C — REAL PROPERTY

[Required by s. 112.3145(3)(a)3, F.S.]

In this part, list the location or description of all real property in Florida in which you owned directly or indirectly at any time during the disclosure period in excess of 5% of the property's value. You are not required to list your residences. You should list any vacation homes, if you derive income from them.

Indirect ownership includes situations where you are a beneficiary of a trust that owns the property, as well as situations where you own more than 5% of a partnership or corporation that owns the property. The value of the property may be determined by the most recently assessed value for tax purposes, in the absence of a more accurate fair market value.

The location or description of the property should be sufficient to enable anyone who looks at the form to identify the property. A street address should be used, if one exists.

## PART D — INTANGIBLE PERSONAL PROPERTY

[Required by s. 112.3145(3)(a)3, F.S.]

Describe any intangible personal property that, at any time during the disclosure period, was worth more than 10% of your total assets, and state the business entity to which the property related. Intangible personal property includes things such as cash on hand, stocks, bonds, certificates of deposit, vehicle leases, interests in businesses, beneficial interests in trusts, money owed you (including, but not limited to, loans made as a candidate to your own campaign), Deferred Retirement Option Program (DROP) accounts, the Florida Prepaid College Plan, and bank accounts in which you have an ownership interest. Intangible personal property also includes investment products held in IRAs, brokerage accounts, and the Florida College Investment Plan. Note that the product contained in a brokerage account, IRA, or the Florida College Investment Plan is your asset—not the account or plan itself. Things like automobiles and houses you own, jewelry, and paintings are not intangible property. Intangibles relating to the same business entity may be aggregated; for example, CD's and savings accounts with the same bank.

Calculations: To determine whether the intangible property exceeds 10% of your total assets, total the fair market value of all of your assets (including real property, intangible property, and tangible personal property such as jewelry, furniture, etc.). When making this calculation, do not subtract any liabilities (debts) that may relate to the property. Multiply the total figure by 10% to arrive at the disclosure threshold. List only the intangibles that exceed this threshold amount. The value of a leased vehicle is the vehicle's present value minus the lease residual (a number which can be found on the lease document). Property that is only jointly owned property should be valued according to the percentage of your joint ownership. Property owned as tenants by the entirety or as joint tenants with right of survivorship, including bank accounts owned in such a manner, should be valued at 100%. None of your calculations or the value of the property have to be disclosed on the form.

Example: You own 50% of the stock of a small corporation that is worth \$100,000, the estimated fair market value of your home and other property (bank accounts, automobile, furniture, etc.) is \$200,000. As your total assets are worth \$250,000, you must disclose intangibles worth over \$25,000. Since the value of the stock exceeds this threshold, you should list "stock" and the name of the corporation. If your accounts with a particular bank exceed \$25,000, you should list "bank accounts" and bank's name.

## PART E — LIABILITIES

[Required by s. 112.3145(3)(b)4, F.S.]

List the name and address of each creditor to whom you owed any amount that, at any time during the disclosure period, exceeded your net worth. You are not required to list the amount of any debt or your net worth. You do not have to disclose: credit card and retail installment accounts, taxes owed (unless reduced to a judgment), indebtedness on a life insurance policy owed to the company of issuance, or contingent liabilities. A "contingent liability" is one that will become an actual liability only when one or more future events occur or fail to occur, such as where you are liable only as a guarantor, surety, or endorser on a promissory note. If you are a "co-maker" and are jointly liable or jointly and severally liable, it is not a contingent liability.

Calculations: To determine whether the debt exceeds your net worth, total all of your liabilities (including promissory notes, mortgages, credit card debts, judgments against you, etc.). The amount of the liability of a vehicle lease is the sum of any past-due payments and all unpaid prospective lease payments. Subtract the sum total of your liabilities from the value of all your assets as calculated above for Part D. This is your "net worth." List each creditor to whom your debt exceeded this amount unless it is one of the types of indebtedness listed in the paragraph above (credit card and retail installment accounts, etc.). Joint liabilities with others for which you are "jointly and severally liable," meaning that you may be liable for either your part or the whole of the obligation, should be included in your calculations at 100% of the amount owed.

Example: You owe \$15,000 to a bank for student loans, \$5,000 for credit card debts, and \$60,000 (with spouse) to a savings and loan for a home mortgage. Your home (owned by you and your spouse) is worth \$80,000 and your other property is worth \$20,000. Since your net worth is \$20,000 (\$100,000 minus \$80,000), you must report only the name and address of the savings and loan.

## PART F — INTERESTS IN SPECIFIED BUSINESSES

[Required by s. 112.3145, F.S.]

The types of businesses covered in this disclosure include: state and federally chartered banks; state and federal savings and loan associations; cemetery companies; insurance companies; mortgage companies; credit unions; small loan companies; alcoholic beverage licensees; pari-mutuel wagering companies, utility companies, entities controlled by the Public Service Commission; and entities granted a franchise to operate by either a city or a county government.

Disclose in this part the fact that you owned during the disclosure period an interest in, or held any of certain positions with, the types of businesses listed above. You are required to make this disclosure if you own or owned (either directly or indirectly in the form of an equitable or beneficial interest) at any time during the disclosure period more than 5% of the total assets or capital stock of one of the types of business entities listed above. You also must complete this part of the form for each of these types of businesses for which you are, or were at any time during the disclosure period, an officer, director, partner, proprietor, or agent (other than a resident agent solely for service of process).

If you have or held such a position or ownership interest in one of these types of businesses, list the name of the business, its address and principal business activity, and the position held with the business (if any). If you own(ed) more than a 5% interest in the business, indicate that fact and describe the nature of your interest.

## PART G — TRAINING CERTIFICATION

[Required by s. 112.3142, F.S.]

If you are a Constitutional or elected municipal officer, appointed school superintendent, or a commissioner of a community redevelopment agency created under Part III, Chapter 163 whose service began before March 31 of the year for which you are filing, you are required to complete four hours of ethics training which addresses Article II, Section 8 of the Florida Constitution, the Code of Ethics for Public Officers and Employees, and the public records and open meetings laws of the state. You are required to certify on this form that you have taken such training.

# FLORIDA COMMISSION ON ETHICS



GUIDE  
to the  
SUNSHINE AMENDMENT  
and  
CODE of ETHICS  
for Public Officers and Employees

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**2020**

State of Florida  
COMMISSION ON ETHICS

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Cocoa

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Miami Shores

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\*Please direct all requests for information to this number.

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## I. HISTORY OF FLORIDA'S ETHICS LAWS

Florida has been a leader among the states in establishing ethics standards for public officials and recognizing the right of citizens to protect the public trust against abuse. Our state Constitution was revised in 1968 to require a code of ethics, prescribed by law, for all state employees and non-judicial officers prohibiting conflict between public duty and private interests.

Florida's first successful constitutional initiative resulted in the adoption of the Sunshine Amendment in 1976, providing additional constitutional guarantees concerning ethics in government. In the area of enforcement, the Sunshine Amendment requires that there be an independent commission (the Commission on Ethics) to investigate complaints concerning breaches of public trust by public officers and employees other than judges.

The Code of Ethics for Public Officers and Employees is found in Chapter 112 (Part III) of the Florida Statutes. Foremost among the goals of the Code is to promote the public interest and maintain the respect of the people for their government. The Code is also intended to ensure that public officials conduct themselves independently and impartially, not using their offices for private gain other than compensation provided by law. While seeking to protect the integrity of government, the Code also seeks to avoid the creation of unnecessary barriers to public service.

Criminal penalties, which initially applied to violations of the Code, were eliminated in 1974 in favor of administrative enforcement. The Legislature created the Commission on Ethics that year "to serve as guardian of the standards of conduct" for public officials, state and local. Five of the Commission's nine members are appointed by the Governor, and two each are appointed by the President of the Senate and Speaker of the House of Representatives. No more than five Commission members may be members of the same political party, and none may be lobbyists, or hold any public employment during their two-year terms of office. A chair is selected from among the members to serve a one-year term and may not succeed himself or herself.

In 2018, Florida's Constitutional Revision Commission proposed, and the voters adopted, changes to Article II, Section 8. The earliest of the changes will take effect December 31, 2020, and will prohibit officials from abusing their position to obtain a disproportionate benefit for themselves or their spouse, child, or employer, or for a business with which the official contracts or is an officer, partner, director, sole proprietor, or in which the official owns an interest. Other changes made to the Constitution place restrictions on lobbying by certain officeholders and employees, and put additional limits on lobbying by former public officers and employees. These changes will become effective December 31, 2022.

## **II. ROLE OF THE COMMISSION ON ETHICS**

In addition to its constitutional duties regarding the investigation of complaints, the Commission:

- Renders advisory opinions to public officials;
- Prescribes forms for public disclosure;
- Prepares mailing lists of public officials subject to financial disclosure for use by Supervisors of Elections and the Commission in distributing forms and notifying delinquent filers;
- Makes recommendations to disciplinary officials when appropriate for violations of ethics and disclosure laws, since it does not impose penalties;
- Administers the Executive Branch Lobbyist Registration and Reporting Law;
- Maintains financial disclosure filings of constitutional officers and state officers and employees; and,
- Administers automatic fines for public officers and employees who fail to timely file required annual financial disclosure.

## **III. THE ETHICS LAWS**

The ethics laws generally consist of two types of provisions, those prohibiting certain actions or conduct and those requiring that certain disclosures be made to the public. The following descriptions of these laws have been simplified in an effort to provide notice of their requirements. Therefore, we suggest that you also review the wording of the actual law. Citations to the appropriate laws are in brackets.

The laws summarized below apply generally to all public officers and employees, state and local, including members of advisory bodies. The principal exception to this broad coverage is the exclusion of judges, as they fall within the jurisdiction of the Judicial Qualifications Commission.

Public Service Commission (PSC) members and employees, as well as members of the PSC Nominating Council, are subject to additional ethics standards that are enforced by the Commission on Ethics under Chapter 350, Florida Statutes. Further, members of the governing boards of charter schools are subject to some of the provisions of the Code of Ethics [Sec. 1002.33(26), Fla. Stat.], as are the officers, directors, chief executive officers and some employees of business entities that serve as the chief administrative or executive officer or employee of a political subdivision. [Sec. 112.3136, Fla. Stat.].

## A. PROHIBITED ACTIONS OR CONDUCT

### 1. *Solicitation and Acceptance of Gifts*

Public officers, employees, local government attorneys, and candidates are prohibited from soliciting or accepting anything of value, such as a gift, loan, reward, promise of future employment, favor, or service, that is based on an understanding that their vote, official action, or judgment would be influenced by such gift. [Sec. 112.313(2), Fla. Stat.]

Persons required to file financial disclosure FORM 1 or FORM 6 (see Part III F of this brochure), and state procurement employees, are prohibited from **soliciting** any gift from a political committee, lobbyist who has lobbied the official or his or her agency within the past 12 months, or the partner, firm, employer, or principal of such a lobbyist or from a vendor doing business with the official's agency. [Sec. 112.3148, Fla. Stat.]

Persons required to file FORM 1 or FORM 6, and state procurement employees are prohibited from directly or indirectly **accepting** a gift worth more than \$100 from such a lobbyist, from a partner, firm, employer, or principal of the lobbyist, or from a political committee or vendor doing business with their agency. [Sec.112.3148, Fla. Stat.]

**However**, notwithstanding Sec. 112.3148, Fla. Stat., no Executive Branch lobbyist or principal shall make, directly or indirectly, and no Executive Branch agency official who files FORM 1 or FORM 6 shall knowingly accept, directly or indirectly, **any expenditure** made for the purpose of lobbying. [Sec. 112.3215, Fla. Stat.] Typically, this would include gifts valued at less than \$100 that formerly were permitted under Section 112.3148, Fla. Stat. Similar rules apply to members and employees of the Legislature. However, these laws are not administered by the Commission on Ethics. [Sec. 11.045, Fla. Stat.]

Also, persons required to file Form 1 or Form 6, and state procurement employees and members of their immediate families, are prohibited from accepting any gift from a political committee. [Sec. 112.31485, Fla. Stat.]

### 2. *Unauthorized Compensation*

Public officers or employees, local government attorneys, and their spouses and minor children are prohibited from accepting any compensation, payment, or thing of value when they know, or with the exercise of reasonable care should know, that it is given to influence a vote or other official action. [Sec. 112.313(4), Fla. Stat.]

### 3. *Misuse of Public Position*

Public officers and employees, and local government attorneys are prohibited from corruptly using or attempting to use their official positions or the resources thereof to obtain a special privilege or benefit for themselves or others. [Sec. 112.313(6), Fla. Stat.]

### 4. *Disclosure or Use of Certain Information*

Public officers and employees and local government attorneys are prohibited from disclosing or using information not available to the public and obtained by reason of their public position, for the personal benefit of themselves or others. [Sec. 112.313(8), Fla. Stat.]

### 5. *Solicitation or Acceptance of Honoraria*

Persons required to file financial disclosure FORM 1 or FORM 6 (see Part III F of this brochure), and state procurement employees, are prohibited from **soliciting** honoraria related to their public offices or duties. [Sec. 112.3149, Fla. Stat.]

Persons required to file FORM 1 or FORM 6, and state procurement employees, are prohibited from knowingly **accepting** an honorarium from a political committee, lobbyist who has lobbied the person's agency within the past 12 months, or the partner, firm, employer, or principal of such a lobbyist, or from a vendor doing business with the official's agency. However, they may accept the payment of expenses related to an honorarium event from such individuals or entities, provided that the expenses are disclosed. See Part III F of this brochure. [Sec. 112.3149, Fla. Stat.]

Lobbyists and their partners, firms, employers, and principals, as well as political committees and vendors, are prohibited from **giving** an honorarium to persons required to file FORM 1 or FORM 6 and to state procurement employees. Violations of this law may result in fines of up to \$5,000 and prohibitions against lobbying for up to two years. [Sec. 112.3149, Fla. Stat.]

However, notwithstanding Sec. 112.3149, Fla. Stat., no Executive Branch or legislative lobbyist or principal shall make, directly or indirectly, and no Executive Branch agency official who files FORM 1 or FORM 6 shall knowingly accept, directly or indirectly, **any expenditure** made for the purpose of lobbying. [Sec. 112.3215, Fla. Stat.] This may include honorarium event related expenses that formerly were permitted under Sec. 112.3149, Fla. Stat. Similar rules apply to members and employees of the Legislature. However, these laws are not administered by the Commission on Ethics. [Sec. 11.045, Fla. Stat.]

## **B. PROHIBITED EMPLOYMENT AND BUSINESS RELATIONSHIPS**

### *1. Doing Business With One's Agency*

(a) A public employee acting as a purchasing agent, or public officer acting in an official capacity, is prohibited from purchasing, renting, or leasing any realty, goods, or services for his or her agency from a business entity in which the officer or employee or his or her spouse or child owns more than a 5% interest. [Sec. 112.313(3), Fla. Stat.]

(b) A public officer or employee, acting in a private capacity, also is prohibited from renting, leasing, or selling any realty, goods, or services to his or her own agency if the officer or employee is a state officer or employee, or, if he or she is an officer or employee of a political subdivision, to that subdivision or any of its agencies. [Sec. 112.313(3), Fla. Stat.]

### *2. Conflicting Employment or Contractual Relationship*

(a) A public officer or employee is prohibited from holding any employment or contract with any business entity or agency regulated by or doing business with his or her public agency. [Sec. 112.313(7), Fla. Stat.]

(b) A public officer or employee also is prohibited from holding any employment or having a contractual relationship which will pose a frequently recurring conflict between the official's private interests and public duties or which will impede the full and faithful discharge of the official's public duties. [Sec. 112.313(7), Fla. Stat.]

(c) Limited exceptions to this prohibition have been created in the law for legislative bodies, certain special tax districts, drainage districts, and persons whose professions or occupations qualify them to hold their public positions. [Sec. 112.313(7)(a) and (b), Fla. Stat.]

*3. Exemptions—Pursuant to Sec. 112.313(12), Fla. Stat., the prohibitions against doing business with one's agency and having conflicting employment may not apply:*

(a) When the business is rotated among all qualified suppliers in a city or county.

(b) When the business is awarded by sealed, competitive bidding and neither the official nor his or her spouse or child have attempted to persuade agency personnel to enter the contract. NOTE: Disclosure of the interest of the official, spouse, or child and the nature of the business must be filed prior to or at the time of submission of the bid on Commission FORM 3A with the Commission on Ethics or Supervisor of Elections, depending on whether the official serves at the state or local level.

(c) When the purchase or sale is for legal advertising, utilities service, or for passage on a common carrier.

(d) When an emergency purchase must be made to protect the public health, safety, or welfare.

(e) When the business entity is the only source of supply within the political subdivision and there is full disclosure of the official's interest to the governing body on Commission FORM 4A.

(f) When the aggregate of any such transactions does not exceed \$500 in a calendar year.

(g) When the business transacted is the deposit of agency funds in a bank of which a county, city, or district official is an officer, director, or stockholder, so long as agency records show that the governing body has determined that the member did not favor his or her bank over other qualified banks.

(h) When the prohibitions are waived in the case of ADVISORY BOARD MEMBERS by the appointing person or by a two-thirds vote of the appointing body (after disclosure on Commission FORM 4A).

(i) When the public officer or employee purchases in a private capacity goods or services, at a price and upon terms available to similarly situated members of the general public, from a business entity which is doing business with his or her agency.

(j) When the public officer or employee in a private capacity purchases goods or services from a business entity which is subject to the regulation of his or her agency where the price and terms of the transaction are available to similarly situated members of the general public and the officer or employee makes full disclosure of the relationship to the agency head or governing body prior to the transaction.

#### *4. Additional Exemptions*

No elected public officer is in violation of the conflicting employment prohibition when employed by a tax exempt organization contracting with his or her agency so long as the officer is not directly or indirectly compensated as a result of the contract, does not participate in any way in the decision to enter into the contract, abstains from voting on any matter involving the employer, and makes certain disclosures. [Sec. 112.313(15), Fla. Stat.]

#### *5. Legislators Lobbying State Agencies*

A member of the Legislature is prohibited from representing another person or entity for compensation during his or her term of office before any state agency other than judicial tribunals. [Art. II, Sec. 8(e), Fla. Const., and Sec. 112.313(9), Fla. Stat.]

#### *6. Employees Holding Office*

A public employee is prohibited from being a member of the governing body which serves as his or her employer. [Sec. 112.313(10), Fla. Stat.]

#### *7. Professional and Occupational Licensing Board Members*

An officer, director, or administrator of a state, county, or regional professional or occupational organization or association, while holding such position, may not serve as a member of a state examining or licensing board for the profession or occupation. [Sec. 112.313(11), Fla. Stat.]

#### *8. Contractual Services: Prohibited Employment*

A state employee of the executive or judicial branch who participates in the decision-making process involving a purchase request, who influences the content of any specification or procurement standard, or who renders advice, investigation, or auditing, regarding his or her agency's contract for services, is prohibited from being employed with a person holding such a contract with his or her agency. [Sec. 112.3185(2), Fla. Stat.]

#### *9. Local Government Attorneys*

Local government attorneys, such as the city attorney or county attorney, and their law firms are prohibited from representing private individuals and entities before the unit of local government which they serve. A local government attorney cannot recommend or otherwise refer to his or her firm legal work involving the local government unit unless the attorney's contract authorizes or mandates the use of that firm. [Sec. 112.313(16), Fla. Stat.]

#### *10. Dual Public Employment*

Candidates and elected officers are prohibited from accepting public employment if they know or should know it is being offered for the purpose of influence. Further, public employment may not be accepted unless the position was already in existence or was created without the anticipation of the official's interest, was publicly advertised, and the officer had to meet the same qualifications and go through the same hiring process as other applicants. For elected public officers already holding public



employment, no promotion given for the purpose of influence may be accepted, nor may promotions that are inconsistent with those given other similarly situated employees. [Sec. 112.3125, Fla. Stat.]

### **C. RESTRICTIONS ON APPOINTING, EMPLOYING, AND CONTRACTING WITH RELATIVES**

#### *1. Anti-Nepotism Law*

A public official is prohibited from seeking for a relative any appointment, employment, promotion, or advancement in the agency in which he or she is serving or over which the official exercises jurisdiction or control. No person may be appointed, employed, promoted, or advanced in or to a position in an agency if such action has been advocated by a related public official who is serving in or exercising jurisdiction or control over the agency; this includes relatives of members of collegial government bodies. NOTE: This prohibition does not apply to school districts (except as provided in Sec. 1012.23, Fla. Stat.), community colleges and state universities, or to appointments of boards, other than those with land-planning or zoning responsibilities, in municipalities of fewer than 35,000 residents. Also, the approval of budgets does not constitute “jurisdiction or control” for the purposes of this prohibition. This provision does not apply to volunteer emergency medical, firefighting, or police service providers. [Sec. 112.3135, Fla. Stat.]

#### *2. Additional Restrictions*

A state employee of the executive or judicial branch or the PSC is prohibited from directly or indirectly procuring contractual services for his or her agency from a business entity of which a relative is an officer, partner, director, or proprietor, or in which the employee, or his or her spouse, or children own more than a 5% interest. [Sec. 112.3185(6), Fla. Stat.]

### **D. POST OFFICE HOLDING AND EMPLOYMENT (REVOLVING DOOR) RESTRICTIONS**

#### *1. Lobbying by Former Legislators, Statewide Elected Officers, and Appointed State Officers*

A member of the Legislature or a statewide elected or appointed state official is prohibited for two years following vacation of office from representing another person or entity for compensation before the government body or agency of which the individual was an officer or member. Former members of the Legislature are also prohibited for two years from lobbying the executive branch. [Art. II, Sec. 8(e), Fla. Const. and Sec. 112.313(9), Fla. Stat.]

#### *2. Lobbying by Former State Employees*

Certain employees of the executive and legislative branches of state government are prohibited from personally representing another person or entity for compensation before the agency with which

they were employed for a period of two years after leaving their positions, unless employed by another agency of state government. [Sec. 112.313(9), Fla. Stat.] These employees include the following:

(a) Executive and legislative branch employees serving in the Senior Management Service and Selected Exempt Service, as well as any person employed by the Department of the Lottery having authority over policy or procurement.

(b) Persons serving in the following position classifications: the Auditor General; the director of the Office of Program Policy Analysis and Government Accountability (OPPAGA); the Sergeant at Arms and Secretary of the Senate; the Sergeant at Arms and Clerk of the House of Representatives; the executive director and deputy executive director of the Commission on Ethics; an executive director, staff director, or deputy staff director of each joint committee, standing committee, or select committee of the Legislature; an executive director, staff director, executive assistant, legislative analyst, or attorney serving in the Office of the President of the Senate, the Office of the Speaker of the House of Representatives, the Senate Majority Party Office, the Senate Minority Party Office, the House Majority Party Office, or the House Minority Party Office; the Chancellor and Vice-Chancellors of the State University System; the general counsel to the Board of Regents; the president, vice presidents, and deans of each state university; any person hired on a contractual basis and having the power normally conferred upon such persons, by whatever title; and any person having the power normally conferred upon the above positions.

This prohibition does not apply to a person who was employed by the Legislature or other agency prior to July 1, 1989; who was a defined employee of the State University System or the Public Service Commission who held such employment on December 31, 1994; or who reached normal retirement age and retired by July 1, 1991. It does apply to OPS employees.

**PENALTIES:** Persons found in violation of this section are subject to the penalties contained in the Code (see PENALTIES, Part V) as well as a civil penalty in an amount equal to the compensation which the person received for the prohibited conduct. [Sec. 112.313(9)(a)5, Fla. Stat.]

### *3. Additional Restrictions on Former State Employees*

A former executive or judicial branch employee or PSC employee is prohibited from having employment or a contractual relationship, at any time after retirement or termination of employment, with any business entity (other than a public agency) in connection with a contract in which the employee participated personally and substantially by recommendation or decision while a public employee. [Sec. 112.3185(3), Fla. Stat.]

A former executive or judicial branch employee or PSC employee who has retired or terminated employment is prohibited from having any employment or contractual relationship for two years with

any business entity (other than a public agency) in connection with a contract for services which was within his or her responsibility while serving as a state employee. [Sec.112.3185(4), Fla. Stat.]

Unless waived by the agency head, a former executive or judicial branch employee or PSC employee may not be paid more for contractual services provided by him or her to the former agency during the first year after leaving the agency than his or her annual salary before leaving. [Sec. 112.3185(5), Fla. Stat.]

These prohibitions do not apply to PSC employees who were so employed on or before Dec. 31, 1994.

#### *4. Lobbying by Former Local Government Officers and Employees*

A person elected to county, municipal, school district, or special district office is prohibited from representing another person or entity for compensation before the government body or agency of which he or she was an officer for two years after leaving office. Appointed officers and employees of counties, municipalities, school districts, and special districts may be subject to a similar restriction by local ordinance or resolution. [Sec. 112.313(13) and (14), Fla. Stat.]

### **E. VOTING CONFLICTS OF INTEREST**

State public officers are prohibited from voting in an official capacity on any measure which they know would inure to their own special private gain or loss. A state public officer who abstains, or who votes on a measure which the officer knows would inure to the special private gain or loss of any principal by whom he or she is retained, of the parent organization or subsidiary or sibling of a corporate principal by which he or she is retained, of a relative, or of a business associate, must make every reasonable effort to file a memorandum of voting conflict with the recording secretary in advance of the vote. If that is not possible, it must be filed within 15 days after the vote occurs. The memorandum must disclose the nature of the officer's interest in the matter.

No county, municipal, or other local public officer shall vote in an official capacity upon any measure which would inure to his or her special private gain or loss, or which the officer knows would inure to the special private gain or loss of any principal by whom he or she is retained, of the parent organization or subsidiary or sibling of a corporate principal by which he or she is retained, of a relative, or of a business associate. The officer must publicly announce the nature of his or her interest before the vote and must file a memorandum of voting conflict on Commission Form 8B with the meeting's recording officer within 15 days after the vote occurs disclosing the nature of his or her interest in the matter. However, members of community redevelopment agencies and district officers elected on a one-acre, one-vote basis are not required to abstain when voting in that capacity.

No appointed state or local officer shall participate in any matter which would inure to the officer's special private gain or loss, the special private gain or loss of any principal by whom he or she is retained, of the parent organization or subsidiary or sibling of a corporate principal by which he or she is retained, of a relative, or of a business associate, without first disclosing the nature of his or her interest in the matter. The memorandum of voting conflict (Commission Form 8A or 8B) must be filed with the meeting's recording officer, be provided to the other members of the agency, and be read publicly at the next meeting.

If the conflict is unknown or not disclosed prior to the meeting, the appointed official must orally disclose the conflict at the meeting when the conflict becomes known. Also, a written memorandum of voting conflict must be filed with the meeting's recording officer within 15 days of the disclosure being made and must be provided to the other members of the agency, with the disclosure being read publicly at the next scheduled meeting. [Sec. 112.3143, Fla. Stat.]

## **F. DISCLOSURES**

Conflicts of interest may occur when public officials are in a position to make decisions that affect their personal financial interests. This is why public officers and employees, as well as candidates who run for public office, are required to publicly disclose their financial interests. The disclosure process serves to remind officials of their obligation to put the public interest above personal considerations. It also helps citizens to monitor the considerations of those who spend their tax dollars and participate in public policy decisions or administration.

All public officials and candidates do not file the same degree of disclosure; nor do they all file at the same time or place. Thus, care must be taken to determine which disclosure forms a particular official or candidate is required to file.

The following forms are described below to set forth the requirements of the various disclosures and the steps for correctly providing the information in a timely manner.

### **1. FORM 1 - Limited Financial Disclosure**

#### **Who Must File:**

Persons required to file FORM 1 include all state officers, local officers, candidates for local elective office, and specified state employees as defined below (other than those officers who are required by law to file FORM 6).

STATE OFFICERS include:

- 1) Elected public officials not serving in a political subdivision of the state and any person appointed to fill a vacancy in such office, unless required to file full disclosure on Form 6.
- 2) Appointed members of each board, commission, authority, or council having statewide jurisdiction, excluding members of solely advisory bodies; but including judicial nominating commission members; directors of Enterprise Florida, Scripps Florida Funding Corporation, and CareerSource Florida, and members of the Council on the Social Status of Black Men and Boys; the Executive Director, governors, and senior managers of Citizens Property Insurance Corporation; governors and senior managers of Florida Workers' Compensation Joint Underwriting Association, board members of the Northeast Florida Regional Transportation Commission, and members of the board of Triumph Gulf Coast, Inc.; members of the board of Florida is for Veterans, Inc.; and members of the Technology Advisory Council within the Agency for State Technology.
- 3) The Commissioner of Education, members of the State Board of Education, the Board of Governors, local boards of trustees and presidents of state universities, and members of the Florida Prepaid College Board.

LOCAL OFFICERS include:

- 1) Persons elected to office in any political subdivision (such as municipalities, counties, and special districts) and any person appointed to fill a vacancy in such office, unless required to file full disclosure on Form 6.
- 2) Appointed members of the following boards, councils, commissions, authorities, or other bodies of any county, municipality, school district, independent special district, or other political subdivision: the governing body of the subdivision; a community college or junior college district board of trustees; a board having the power to enforce local code provisions; a planning or zoning board, board of adjustments or appeals, community redevelopment agency board, or other board having the power to recommend, create, or modify land planning or zoning within the political subdivision, except for citizen advisory committees, technical coordinating committees, and similar groups who only have the power to make recommendations to planning or zoning boards, except for representatives of a military installation acting on behalf of all military installations within that jurisdiction; a pension board or retirement board empowered to invest pension or retirement funds or to determine entitlement to or amount of a pension or other retirement benefit.
- 3) Any other appointed member of a local government board who is required to file a statement of financial interests by the appointing authority or the enabling legislation, ordinance, or resolution creating the board.

4) Persons holding any of these positions in local government: mayor; county or city manager; chief administrative employee or finance director of a county, municipality, or other political subdivision; county or municipal attorney; chief county or municipal building inspector; county or municipal water resources coordinator; county or municipal pollution control director; county or municipal environmental control director; county or municipal administrator with power to grant or deny a land development permit; chief of police; fire chief; municipal clerk; appointed district school superintendent; community college president; district medical examiner; purchasing agent (regardless of title) having the authority to make any purchase exceeding \$35,000 for the local governmental unit.

5) Members of governing boards of charter schools operated by a city or other public entity.

6) The officers, directors, and chief executive officer of a corporation, partnership, or other business entity that is serving as the chief administrative or executive officer or employee of a political subdivision, and any business entity employee who is acting as the chief administrative or executive officer or employee of the political subdivision. [Sec. 112.3136, Fla. Stat.]

SPECIFIED STATE EMPLOYEE includes:

1) Employees in the Office of the Governor or of a Cabinet member who are exempt from the Career Service System, excluding secretarial, clerical, and similar positions.

2) The following positions in each state department, commission, board, or council: secretary or state surgeon general, assistant or deputy secretary, executive director, assistant or deputy executive director, and anyone having the power normally conferred upon such persons, regardless of title.

3) The following positions in each state department or division: director, assistant or deputy director, bureau chief, assistant bureau chief, and any person having the power normally conferred upon such persons, regardless of title.

4) Assistant state attorneys, assistant public defenders, criminal conflict and civil regional counsel, assistant criminal conflict and civil regional counsel, public counsel, full-time state employees serving as counsel or assistant counsel to a state agency, judges of compensation claims, administrative law judges, and hearing officers.

5) The superintendent or director of a state mental health institute established for training and research in the mental health field, or any major state institution or facility established for corrections, training, treatment, or rehabilitation.

6) State agency business managers, finance and accounting directors, personnel officers, grant coordinators, and purchasing agents (regardless of title) with power to make a purchase exceeding \$35,000.

7) The following positions in legislative branch agencies: each employee (other than those employed in maintenance, clerical, secretarial, or similar positions and legislative assistants exempted by the presiding officer of their house); and each employee of the Commission on Ethics.

#### What Must Be Disclosed:

FORM 1 requirements are set forth fully on the form. In general, this includes the reporting person's sources and types of financial interests, such as the names of employers and addresses of real property holdings. NO DOLLAR VALUES ARE REQUIRED TO BE LISTED. In addition, the form requires the disclosure of certain relationships with, and ownership interests in, specified types of businesses such as banks, savings and loans, insurance companies, and utility companies.

#### When to File:

CANDIDATES for elected local office must file FORM 1 together with and at the same time they file their qualifying papers.

STATE and LOCAL OFFICERS and SPECIFIED STATE EMPLOYEES are required to file disclosure by July 1 of each year. They also must file within thirty days from the date of appointment or the beginning of employment. Those appointees requiring Senate confirmation must file prior to confirmation.

#### Where to File:

Each LOCAL OFFICER files FORM 1 with the Supervisor of Elections in the county in which he or she permanently resides.

A STATE OFFICER or SPECIFIED STATE EMPLOYEE files with the Commission on Ethics. [Sec. 112.3145, Fla. Stat.]

## 2. *FORM 1F - Final Form 1 Limited Financial Disclosure*

FORM 1F is the disclosure form required to be filed within 60 days after a public officer or employee required to file FORM 1 leaves his or her public position. The form covers the disclosure period between January 1 and the last day of office or employment within that year.

### 3. *FORM 2 - Quarterly Client Disclosure*

The state officers, local officers, and specified state employees listed above, as well as elected constitutional officers, must file a FORM 2 if they or a partner or associate of their professional firm represent a client for compensation before an agency at their level of government.

A FORM 2 disclosure includes the names of clients represented by the reporting person or by any partner or associate of his or her professional firm for a fee or commission before agencies at the reporting person's level of government. Such representations do not include appearances in ministerial matters, appearances before judges of compensation claims, or representations on behalf of one's agency in one's official capacity. Nor does the term include the preparation and filing of forms and applications merely for the purpose of obtaining or transferring a license, so long as the issuance of the license does not require a variance, special consideration, or a certificate of public convenience and necessity.

#### When to File:

This disclosure should be filed quarterly, by the end of the calendar quarter following the calendar quarter during which a reportable representation was made. FORM 2 need not be filed merely to indicate that no reportable representations occurred during the preceding quarter; it should be filed ONLY when reportable representations were made during the quarter.

#### Where To File:

LOCAL OFFICERS file with the Supervisor of Elections of the county in which they permanently reside.

STATE OFFICERS and SPECIFIED STATE EMPLOYEES file with the Commission on Ethics. [Sec. 112.3145(4), Fla. Stat.]

### 4. *FORM 6 - Full and Public Disclosure*

#### Who Must File:

Persons required by law to file FORM 6 include all elected constitutional officers and candidates for such office; the mayor and members of the city council and candidates for these offices in Jacksonville; the Duval County Superintendent of Schools; judges of compensation claims (pursuant to Sec. 440.442, Fla. Stat.); members of the Florida Housing Finance Corporation Board and members of expressway authorities, transportation authorities (except the Jacksonville Transportation Authority), bridge authority, or toll authorities created pursuant to Ch. 348 or 343, or 349, or other general law.



## What Must be Disclosed:

FORM 6 is a detailed disclosure of assets, liabilities, and sources of income over \$1,000 and their values, as well as net worth. Officials may opt to file their most recent income tax return in lieu of listing sources of income but still must disclose their assets, liabilities, and net worth. In addition, the form requires the disclosure of certain relationships with, and ownership interests in, specified types of businesses such as banks, savings and loans, insurance companies, and utility companies.

## When and Where To File:

Incumbent officials must file FORM 6 annually by July 1 with the Commission on Ethics. CANDIDATES must file with the officer before whom they qualify at the time of qualifying. [Art. II, Sec. 8(a) and (i), Fla. Const., and Sec. 112.3144, Fla. Stat.]

### 5. *FORM 6F - Final Form 6 Full and Public Disclosure*

This is the disclosure form required to be filed within 60 days after a public officer or employee required to file FORM 6 leaves his or her public position. The form covers the disclosure period between January 1 and the last day of office or employment within that year.

### 6. *FORM 9 - Quarterly Gift Disclosure*

Each person required to file FORM 1 or FORM 6, and each state procurement employee, must file a FORM 9, Quarterly Gift Disclosure, with the Commission on Ethics on the last day of any calendar quarter following the calendar quarter in which he or she received a gift worth more than \$100, other than gifts from relatives, gifts prohibited from being accepted, gifts primarily associated with his or her business or employment, and gifts otherwise required to be disclosed. FORM 9 NEED NOT BE FILED if no such gift was received during the calendar quarter.

Information to be disclosed includes a description of the gift and its value, the name and address of the donor, the date of the gift, and a copy of any receipt for the gift provided by the donor. [Sec. 112.3148, Fla. Stat.]

### 7. *FORM 10 - Annual Disclosure of Gifts from Government Agencies and Direct-Support Organizations and Honorarium Event Related Expenses*

State government entities, airport authorities, counties, municipalities, school boards, water management districts, and the South Florida Regional Transportation Authority, may give a gift worth more than \$100 to a person required to file FORM 1 or FORM 6, and to state procurement employees, if a public purpose can be shown for the gift. Also, a direct-support organization for a governmental entity

may give such a gift to a person who is an officer or employee of that entity. These gifts are to be reported on FORM 10, to be filed by July 1.

The governmental entity or direct-support organization giving the gift must provide the officer or employee with a statement about the gift no later than March 1 of the following year. The officer or employee then must disclose this information by filing a statement by July 1 with his or her annual financial disclosure that describes the gift and lists the donor, the date of the gift, and the value of the total gifts provided during the calendar year. State procurement employees file their statements with the Commission on Ethics. [Sec. 112.3148, Fla. Stat.]

In addition, a person required to file FORM 1 or FORM 6, or a state procurement employee, who receives expenses or payment of expenses related to an honorarium event from someone who is prohibited from giving him or her an honorarium, must disclose annually the name, address, and affiliation of the donor, the amount of the expenses, the date of the event, a description of the expenses paid or provided, and the total value of the expenses on FORM 10. The donor paying the expenses must provide the officer or employee with a statement about the expenses within 60 days of the honorarium event.

The disclosure must be filed by July 1, for expenses received during the previous calendar year, with the officer's or employee's FORM 1 or FORM 6. State procurement employees file their statements with the Commission on Ethics. [Sec. 112.3149, Fla. Stat.]

However, notwithstanding Sec. 112.3149, Fla. Stat., no executive branch or legislative lobbyist or principal shall make, directly or indirectly, and no executive branch agency official or employee who files FORM 1 or FORM 6 shall knowingly accept, directly or indirectly, **any expenditure** made for the purpose of lobbying. This may include gifts or honorarium event related expenses that formerly were permitted under Sections 112.3148 and 112.3149. [Sec. 112.3215, Fla. Stat.] Similar prohibitions apply to legislative officials and employees. However, these laws are not administered by the Commission on Ethics. [Sec. 11.045, Fla. Stat.] In addition, gifts, which include anything not primarily related to political activities authorized under ch. 106, are prohibited from political committees. [Sec. 112.31485 Fla. Stat.]

#### 8. *FORM 30 - Donor's Quarterly Gift Disclosure*

As mentioned above, the following persons and entities generally are prohibited from giving a gift worth more than \$100 to a reporting individual (a person required to file FORM 1 or FORM 6) or to a state procurement employee: a political committee; a lobbyist who lobbies the reporting individual's or procurement employee's agency, and the partner, firm, employer, or principal of such a lobbyist; and vendors. If such person or entity makes a gift worth between \$25 and \$100 to a reporting individual or state procurement employee (that is not accepted in behalf of a governmental entity or charitable

organization), the gift should be reported on FORM 30. The donor also must notify the recipient at the time the gift is made that it will be reported.

The FORM 30 should be filed by the last day of the calendar quarter following the calendar quarter in which the gift was made. If the gift was made to an individual in the legislative branch, FORM 30 should be filed with the Lobbyist Registrar. [See page 35 for address.] If the gift was to any other reporting individual or state procurement employee, FORM 30 should be filed with the Commission on Ethics.

However, notwithstanding Section 112.3148, Fla. Stat., no executive branch lobbyist or principal shall make, directly or indirectly, and no executive branch agency official or employee who files FORM 1 or FORM 6 shall knowingly accept, directly or indirectly, **any expenditure** made for the purpose of lobbying. This may include gifts that formerly were permitted under Section 112.3148. [Sec. 112.3215, Fla. Stat.] Similar prohibitions apply to legislative officials and employees. However, these laws are not administered by the Commission on Ethics. [Sec. 11.045, Fla. Stat.] In addition, gifts from political committees are prohibited. [Sec. 112.31485, Fla. Stat.]

9. *FORM 1X AND FORM 6X - Amendments to Form 1 and Form 6*

These forms are provided for officers or employees to amend their previously filed Form 1 or Form 6.

#### **IV. AVAILABILITY OF FORMS**

LOCAL OFFICERS and EMPLOYEES who must file FORM 1 annually will be sent the form by mail from the Supervisor of Elections in the county in which they permanently reside not later than JUNE 1 of each year. Newly elected and appointed officials or employees should contact the heads of their agencies for copies of the form or download it from [www.ethics.state.fl.us](http://www.ethics.state.fl.us), as should those persons who are required to file their final disclosure statements within 60 days of leaving office or employment.

ELECTED CONSTITUTIONAL OFFICERS, OTHER STATE OFFICERS, and SPECIFIED STATE EMPLOYEES who must file annually FORM 1 or 6 will be sent these forms by mail from the Commission on Ethics by JUNE 1 of each year. Newly elected and appointed officers and employees should contact the heads of their agencies or the Commission on Ethics for copies of the form or download it from [www.ethics.state.fl.us](http://www.ethics.state.fl.us), as should those persons who are required to file their final disclosure statements within 60 days of leaving office or employment.

Any person needing one or more of the other forms described here may also obtain them from a Supervisor of Elections or from the Commission on Ethics, P.O. Drawer 15709, Tallahassee, Florida 32317-5709. They are also available on the Commission's website: [www.ethics.state.fl.us](http://www.ethics.state.fl.us).

## **V. PENALTIES**

### *A. Non-criminal Penalties for Violation of the Sunshine Amendment and the Code of Ethics*

There are no criminal penalties for violation of the Sunshine Amendment and the Code of Ethics. Penalties for violation of these laws may include: impeachment, removal from office or employment, suspension, public censure, reprimand, demotion, reduction in salary level, forfeiture of no more than one-third salary per month for no more than twelve months, a civil penalty not to exceed \$10,000, and restitution of any pecuniary benefits received, and triple the value of a gift from a political committee.

### *B. Penalties for Candidates*

CANDIDATES for public office who are found in violation of the Sunshine Amendment or the Code of Ethics may be subject to one or more of the following penalties: disqualification from being on the ballot, public censure, reprimand, or a civil penalty not to exceed \$10,000, and triple the value of a gift received from a political committee.

### *C. Penalties for Former Officers and Employees*

FORMER PUBLIC OFFICERS or EMPLOYEES who are found in violation of a provision applicable to former officers or employees or whose violation occurred prior to such officer's or employee's leaving public office or employment may be subject to one or more of the following penalties: public censure and reprimand, a civil penalty not to exceed \$10,000, and restitution of any pecuniary benefits received, and triple the value of a gift received from a political committee.

### *D. Penalties for Lobbyists and Others*

An executive branch lobbyist who has failed to comply with the Executive Branch Lobbying Registration law (see Part VIII) may be fined up to \$5,000, reprimanded, censured, or prohibited from lobbying executive branch agencies for up to two years. Lobbyists, their employers, principals, partners, and firms, and political committees and committees of continuous existence who give a prohibited gift or honorarium or fail to comply with the gift reporting requirements for gifts worth between \$25 and \$100, may be penalized by a fine of not more than \$5,000 and a prohibition on lobbying, or employing a lobbyist to lobby, before the agency of the public officer or employee to whom the gift was given for up to two years. Any agent or person

acting on behalf of a political committee giving a prohibited gift is personally liable for a civil penalty of up to triple the value of the gift.

Executive Branch lobbying firms that fail to timely file their quarterly compensation reports may be fined \$50 per day per report for each day the report is late, up to a maximum fine of \$5,000 per report.

*E. Felony Convictions: Forfeiture of Retirement Benefits*

Public officers and employees are subject to forfeiture of all rights and benefits under the retirement system to which they belong if convicted of certain offenses. The offenses include embezzlement or theft of public funds; bribery; felonies specified in Chapter 838, Florida Statutes; impeachable offenses; and felonies committed with intent to defraud the public or their public agency. [Sec. 112.3173, Fla. Stat.]

*F. Automatic Penalties for Failure to File Annual Disclosure*

Public officers and employees required to file either Form 1 or Form 6 annual financial disclosure are subject to automatic fines of \$25 for each day late the form is filed after September 1, up to a maximum penalty of \$1,500. [Sec. 112.3144 and 112.3145, Fla. Stat.]

## **VI. ADVISORY OPINIONS**

Conflicts of interest may be avoided by greater awareness of the ethics laws on the part of public officials and employees through advisory assistance from the Commission on Ethics.

*A. Who Can Request an Opinion*

Any public officer, candidate for public office, or public employee in Florida who is in doubt about the applicability of the standards of conduct or disclosure laws to himself or herself, or anyone who has the power to hire or terminate another public employee, may seek an advisory opinion from the Commission about himself or herself or that employee.

*B. How to Request an Opinion*

Opinions may be requested by letter presenting a question based on a real situation and including a detailed description of the situation. Opinions are issued by the Commission and are binding on the conduct of the person who is the subject of the opinion, unless material facts were omitted or misstated in the request for the opinion. Published opinions will not bear the name of the persons involved unless they consent to the use of their names; however, the request and all information pertaining to it is a

public record, made available to the Commission and to members of the public in advance of the Commission's consideration of the question.

### *C. How to Obtain Published Opinions*

All of the Commission's opinions are available for viewing or download at its website:  
[www.ethics.state.fl.us](http://www.ethics.state.fl.us).

## **VII. COMPLAINTS**

### *A. Citizen Involvement*

The Commission on Ethics cannot conduct investigations of alleged violations of the Sunshine Amendment or the Code of Ethics unless a person files a sworn complaint with the Commission alleging such violation has occurred, or a referral is received, as discussed below.

If you have knowledge that a person in government has violated the standards of conduct or disclosure laws described above, you may report these violations to the Commission by filing a sworn complaint on the form prescribed by the Commission and available for download at [www.ethics.state.fl.us](http://www.ethics.state.fl.us). The Commission is unable to take action based on learning of such misdeeds through newspaper reports, telephone calls, or letters.

You can obtain a complaint form (FORM 50), by contacting the Commission office at the address or phone number shown on the inside front cover of this booklet, or you can download it from the Commission's website:  
[www.ethics.state.fl.us](http://www.ethics.state.fl.us).

### *B. Referrals*

The Commission may accept referrals from: the Governor, the Florida Department of Law Enforcement, a State Attorney, or a U.S. Attorney. A vote of six of the Commission's nine members is required to proceed on such a referral.

### *C. Confidentiality*

The complaint or referral, as well as all proceedings and records relating thereto, is confidential until the accused requests that such records be made public or until the matter reaches a stage in the Commission's proceedings where it becomes public. This means that unless the Commission receives a written waiver of confidentiality from the accused, the Commission is not free to release any

documents or to comment on a complaint or referral to members of the public or press, so long as the complaint or referral remains in a confidential stage.

A COMPLAINT OR REFERRAL MAY NOT BE FILED WITH RESPECT TO A CANDIDATE ON THE DAY OF THE ELECTION, OR WITHIN THE 30 CALENDAR DAYS PRECEDING THE ELECTION DATE, UNLESS IT IS BASED ON PERSONAL INFORMATION OR INFORMATION OTHER THAN HEARSAY.

*D. How the Complaint Process Works*

Complaints which allege a matter within the Commission's jurisdiction are assigned a tracking number and Commission staff forwards a copy of the original sworn complaint to the accused within five working days of its receipt. Any subsequent sworn amendments to the complaint also are transmitted within five working days of their receipt.

Once a complaint is filed, it goes through three procedural stages under the Commission's rules. The first stage is a determination of whether the allegations of the complaint are legally sufficient: that is, whether they indicate a possible violation of any law over which the Commission has jurisdiction. If the complaint is found not to be legally sufficient, the Commission will order that the complaint be dismissed without investigation, and all records relating to the complaint will become public at that time.

In cases of very minor financial disclosure violations, the official will be allowed an opportunity to correct or amend his or her disclosure form. Otherwise, if the complaint is found to be legally sufficient, a preliminary investigation will be undertaken by the investigative staff of the Commission. The second stage of the Commission's proceedings involves this preliminary investigation and a decision by the Commission as to whether there is probable cause to believe that there has been a violation of any of the ethics laws. If the Commission finds no probable cause to believe there has been a violation of the ethics laws, the complaint will be dismissed and will become a matter of public record. If the Commission finds probable cause to believe there has been a violation of the ethics laws, the complaint becomes public and usually enters the third stage of proceedings. This stage requires the Commission to decide whether the law was actually violated and, if so, whether a penalty should be recommended. At this stage, the accused has the right to request a public hearing (trial) at which evidence is presented, or the Commission may order that such a hearing be held. Public hearings usually are held in or near the area where the alleged violation occurred.

When the Commission concludes that a violation has been committed, it issues a public report of its findings and may recommend one or more penalties to the appropriate disciplinary body or official.

When the Commission determines that a person has filed a complaint with knowledge that the complaint contains one or more false allegations or with reckless disregard for whether the complaint contains false allegations, the complainant will be liable for costs plus reasonable attorney's fees

incurred by the person complained against. The Department of Legal Affairs may bring a civil action to recover such fees and costs, if they are not paid voluntarily within 30 days.

*E. Dismissal of Complaints At Any Stage of Disposition*

The Commission may, at its discretion, dismiss any complaint at any stage of disposition should it determine that the public interest would not be served by proceeding further, in which case the Commission will issue a public report stating with particularity its reasons for the dismissal. [Sec. 112.324(12), Fla. Stat.]

*F. Statute of Limitations*

All sworn complaints alleging a violation of the Sunshine Amendment or the Code of Ethics must be filed with the Commission within five years of the alleged violation or other breach of the public trust. Time starts to run on the day AFTER the violation or breach of public trust is committed. The statute of limitations is tolled on the day a sworn complaint is filed with the Commission. If a complaint is filed and the statute of limitations has run, the complaint will be dismissed. [Sec. 112.3231, Fla. Stat.]

## **VIII. EXECUTIVE BRANCH LOBBYING**

Any person who, for compensation and on behalf of another, lobbies an agency of the executive branch of state government with respect to a decision in the area of policy or procurement may be required to register as an executive branch lobbyist. Registration is required before lobbying an agency and is renewable annually. In addition, each lobbying firm must file a compensation report with the Commission for each calendar quarter during any portion of which one or more of the firm's lobbyists were registered to represent a principal. As noted above, no executive branch lobbyist or principal can make, directly or indirectly, and no executive branch agency official or employee who files FORM 1 or FORM 6 can knowingly accept, directly or indirectly, **any expenditure** made for the purpose of lobbying. [Sec. 112.3215, Fla. Stat.]

Paying an executive branch lobbyist a contingency fee based upon the outcome of any specific executive branch action, and receiving such a fee, is prohibited. A violation of this prohibition is a first degree misdemeanor, and the amount received is subject to forfeiture. This does not prohibit sales people from receiving a commission. [Sec. 112.3217, Fla. Stat.]

Executive branch departments, state universities, community colleges, and water management districts are prohibited from using public funds to retain an executive branch (or legislative branch) lobbyist, although these agencies may use full-time employees as lobbyists. [Sec. 11.062, Fla. Stat.]



Online registration and filing is available at [www.floridalobbyist.gov](http://www.floridalobbyist.gov). Additional information about the executive branch lobbyist registration system may be obtained by contacting the Lobbyist Registrar at the following address:

Executive Branch Lobbyist Registration  
Room G-68, Claude Pepper Building  
111 W. Madison Street  
Tallahassee, FL 32399-1425  
Phone: 850/922-4987

## **IX. WHISTLE-BLOWER'S ACT**

In 1986, the Legislature enacted a "Whistle-blower's Act" to protect employees of agencies and government contractors from adverse personnel actions in retaliation for disclosing information in a sworn complaint alleging certain types of improper activities. Since then, the Legislature has revised this law to afford greater protection to these employees.

While this language is contained within the Code of Ethics, the Commission has no jurisdiction or authority to proceed against persons who violate this Act. Therefore, a person who has disclosed information alleging improper conduct governed by this law and who may suffer adverse consequences as a result should contact one or more of the following: the Office of the Chief Inspector General in the Executive Office of the Governor; the Department of Legal Affairs; the Florida Commission on Human Relations; or a private attorney. [Sec. 112.3187 - 112.31895, Fla. Stat.]

## **X. ADDITIONAL INFORMATION**

As mentioned above, we suggest that you review the language used in each law for a more detailed understanding of Florida's ethics laws. The "Sunshine Amendment" is Article II, Section 8, of the Florida Constitution. The Code of Ethics for Public Officers and Employees is contained in Part III of Chapter 112, Florida Statutes.

Additional information about the Commission's functions and interpretations of these laws may be found in Chapter 34 of the Florida Administrative Code, where the Commission's rules are published, and in The Florida Administrative Law Reports, which until 2005 published many of the Commission's final orders. The Commission's rules, orders, and opinions also are available at [www.ethics.state.fl.us](http://www.ethics.state.fl.us).

If you are a public officer or employee concerned about your obligations under these laws, the staff of the Commission will be happy to respond to oral and written inquiries by providing information about the law, the Commission's interpretations of the law, and the Commission's procedures.

## **XI. TRAINING**

Constitutional officers, elected municipal officers, and commissioners of community redevelopment agencies (CRAs) are required to receive a total of four hours training, per calendar year, in the area of ethics, public records, and open meetings. The Commission on Ethics does not track compliance or certify providers.

Visit the training page on the Commission's website for up-to-date rules, opinions, audio/video training, and opportunities for live training conducted by Commission staff. A comprehensive online training course addressing Florida's Code of Ethics, as well as Sunshine Law, and Public Records Act is available via a link on the Commission's homepage.

**RESOLUTION 2021-8**

**A RESOLUTION RE-DESIGNATING THE OFFICERS OF THE FLOW WAY COMMUNITY DEVELOPMENT DISTRICT; PROVIDING FOR SEVERABILITY AND INVALID PROVISIONS; PROVIDING FOR CONFLICT AND PROVIDING FOR AN EFFECTIVE DATE.**

**WHEREAS**, the Board of Supervisors of the Flow Way Community Development District desire to appoint the below recited person(s) to the offices specified.

**NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF SUPERVISORS OF THE FLOW WAY COMMUNITY DEVELOPMENT DISTRICT:**

**SECTION 1: DESIGNATION OF OFFICER'S OF THE DISTRICT.** The following persons are appointed to the offices shown:

Chairman	<u>Zack Stamp</u>
Vice Chairman	<u>Ron Miller</u>
Secretary	<u>James P. Ward</u>
Treasurer	<u>James P. Ward</u>
Assistant Secretary	<u>Martinn E. Winters</u>
Assistant Secretary	Tom Kleck
Assistant Secretary	VACANT

**SECTION 2: SEVERABILITY AND INVALID PROVISIONS.** If any one of the covenants, agreements or provisions herein contained shall be held contrary to any express provision of law or contract to the policy of express law, but not expressly prohibited or against public policy, or shall for any reason whatsoever be held invalid, then such covenants, agreements or provisions shall be null and void and shall be deemed separable from the remaining covenants, agreements or provisions and shall in no way effect the validity of the other provisions hereof.

**RESOLUTION 2021-8**

**A RESOLUTION RE-DESIGNATING THE OFFICERS OF THE FLOW WAY COMMUNITY DEVELOPMENT DISTRICT; PROVIDING FOR SEVERABILITY AND INVALID PROVISIONS; PROVIDING FOR CONFLICT AND PROVIDING FOR AN EFFECTIVE DATE.**

**SECTION 3: CONFLICT.** That all Sections or parts of Sections of any Resolutions, Agreements, or actions of the Board of Supervisor’s in conflict are hereby repealed to the extent of such conflict.

**SECTION 4: PROVIDING FOR AN EFFECTIVE DATE.** This Resolution shall become effective immediately upon passage.

**PASSED AND ADOPTED** this 16<sup>th</sup> day of September 2021.

**ATTEST:**

**FLOW WAY  
COMMUNITY DEVELOPMENT DISTRICT**

\_\_\_\_\_  
James P. Ward, Secretary

\_\_\_\_\_  
Zack Stamp, Chairman

# **FLOW WAY COMMUNITY DEVELOPMENT DISTRICT**

**ENGINEER'S REPORT  
September 2021**

Prepared For:

**Board of Supervisors  
Flow Way Community Development District**

Prepared By:



**Calvin, Giordano & Associates, Inc.**

**EXCEPTIONAL SOLUTIONS™**

1800 Eller Drive, Suite 600 · Fort Lauderdale, FL 33316

(phone) 954.921.7781 · (fax) 954.266.6487

Certificate of Authorization #514

CGA Project No. 21-4271  
September 16<sup>th</sup>, 2021

**FLOW WAY  
COMMUNITY DEVELOPMENT DISTRICT**

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**PEMBROKE HARBOR  
COMMUNITY DEVELOPMENT DISTRICT**

**LIST OF EXHIBITS**

- EXHIBIT 1 LOCATION MAP
- EXHIBIT 2 LEGAL DESCRIPTION
- EXHIBIT 3 PASS-THROUGH WEIR #2

## **I. PURPOSE**

The purpose of this report is to provide the Board of Supervisors an update of recent engineering related activities. We will continue to provide updated monthly reports on the status of ongoing activities.

## **II. CURRENT ITEMS**

The following items are currently outstanding:

1. Preserve Tree Removal – Long Term Management Plan currently being prepared by District Engineer. Initial Inspections commenced on 8/31/21.
2. Stormwater System Maintenance Plan – Phases 1 & 2



### **1. Preserve Tree Removal**

CGA's Senior Landscape Architect and ISA Certified Arborist, Michael Conner, conducted a site visit to inspect the dead and hazardous trees along the perimeters of the Preserve Areas. The intent was to identify and document any trees that could potentially fall onto any private rear yard areas, pool decks, or screen enclosures, as well as public use areas, such as sidewalks, golf cart paths, and the perimeter walking/biking trail. The size, species, and locations of the trees that are identified for possible removal shall be located using a GPS Mapping & Tree Inventory software. Mr. Conner will be preparing a full report on these trees for the next CDD Board meeting. Then, coordinating with the SFWMD Staff to obtain approvals to remove, or fell, these dead and hazardous trees.

Some examples of the types of trees and conditions that were inventoried are shown below:



Inventory of Landscape Trees



Inventory of Landscape Trees

## **2. Stormwater System Maintenance Plan**

FORGE Report was presented to Board of Supervisors on June 17<sup>th</sup>, 2021, by Thomas Conrecode of Forge Engineering, Inc. Phases 1 and 2 are currently under contract and sediment removal from system has commenced on 8/30/21. Weir proposal come in over budget due to the extra costs associated with remote location and additional equipment (barge or special carrier) to access southern weir.

CGA will be inspecting both phases and will oversee the contractor to make sure they stay on schedule and follow outlined details and standard maintenance requirements. This item includes the contract amount and anticipated schedule for the restoration of the stormwater management system:

- Phase 1 – Board Crested Weir (South) Erosion on both ends (Forge cost estimate of \$8,000; **Contract \$15,000**) – See attached Exhibit 3 Pass-Through Weir #2 for Construction Details. Work anticipated to commence on 09/20/21, length of construction of 2-3 days.
- Phase 2 – Sediment & Debris Removal (structures with 25% or more blockage) in Storm Structures and Pipe (MRI Cost Estimate of \$84,000; **Contract \$80,000**) – Work scheduled to commence on 09/07/21, length of construction of 4-6 weeks.
- ~~Phase 3A – Lake Embankment Restoration, 1<sup>st</sup> group of Lakes (Forge, \$264,000) & Rip Rap erosion at Lake 12 AB (Forge, \$12,000) (Phase Total \$276,000)~~
- ~~Phase 3B – Littoral Shelf Aquatic Plant Restoration and exotics removal, Lake littoral shelves on Phase 3A lakes (Forge \$80,000)~~
- ~~Phase 4A – Lake Embankment Restoration, 2<sup>nd</sup> group of Lakes (Forge, \$264,000)~~
- ~~Phase 4B – Littoral Shelf Aquatic Plant Restoration and exotics removal, Lake littoral shelves on Phase 3B (Forge \$80,000)~~

## PERMITTING

We are currently in the process of identifying permits that have been obtained for the development of the District's infrastructure. The below list is not complete, but will be updated periodically:

Permit Agency / Project Name	Permit Number	Date Received	Date Expires	Permitee-Constructed by	Current Status
Collier County Latest Flow Way CDD County PUD Modification	Ordinance 20-30	10/13/21	Current	Flow Way CDD	Operation Phase
South Florida Water Management District (SFWMD) ERP Permit Modification	11-02031-P	9/13/07	9/13/12	I. M. Collier Joint Venture (Mirasol)	Operation Phase
SFWMD Water Use	11-02032-W	5/13/20	5/15/25	Taylor Morrison Esplanade Naples, LLC	Operation Phase
SFWMD ROW Permit	12-1113-2m	6/13/13	6/30/14	Taylor Morrison Esplanade Naples, LLC	Closed
Army Corps of Engineers (ACOE)	SAJ-2000-01926 (IP-HWB)	12/7/12	11/5/17	IM Collier Joint Venture	Operation Phase
Esplanade at Naples Golf & Country Club (G&CC) -- Excavation	PL20120001253	2/20/13	2/20/14	IM Collier Joint Venture	Under Construction
Esplanade G&CC of Naples -- Plans & Plat	PL20120001261	5/14/13	5/14/16	IM Collier Joint Venture	Under Construction
Esplanade G&CC of Naples, Phase 2 -- Plans & Plat	PL20120002897	10/28/19	10/21/21	IM Collier Joint Venture % Robert G Claussen	Under Construction
Esplanade G&CC of Naples, Parcels E & G2 -- Plans & Plat	PL20140002187	12/16/19	12/09/21	Waldrop Engineering, P.A.	Under Construction
Esplanade G&CC of Naples, Blocks D, F & H -- Plans & Plat	PL20150001102	9/9/20	9/8/22	Taylor Morrison Esplanade Naples LLC	Under Construction
Esplanade G&CC of Naples - Benevenuto Court -- Plans & Plat	PL20150002533	3/9/21	3/22/23	Taylor Morrison Esplanade Naples LLC	Under Construction
Esplanade G&CC of Naples - DiLillo Parcel -- Plans & Plat	PL20160000536	10/28/19	9/13/21	Waldrop Engineering, P.A.	Under Construction
Esplanade G&CC of Naples, Ph3, Blk K1, K2 & H3 -- Plans & Plat	PL20160003679	5/21/20	5/9/22	Taylor Morrison Esplanade Naples LLC	Under Construction

<b>Permit Agency / Project Name</b>	<b>Permit Number</b>	<b>Date Received</b>	<b>Date Expires</b>	<b>Permitee-Constructed by</b>	<b>Current Status</b>
Esplanade G&CC of Naples, Phase 4-- Plans & Plat	PL20170001594	7/14/20	6/27/22	Taylor Morrison Esplanade Naples LLC	Under Construction
Esplanade G&CC of Naples, Phase 5 (Parcels: I, J, K1, K2, K3, & K4) -- Plans & Plat	PL20180002201	10/14/14	-	Taylor Morrison Esplanade Naples LLC	Under Construction
Esplanade G&CC of Naples - Hatcher Parcel -- Plans & Plat	PL20190001680	4/28/20	4/28/23	Hatcher, Maxine I	Under Construction
Esplanade G&CC of Naples Ph 1 Amenity Center -- Utility Acceptance	PL20140000736	11/28/17	-	Taylor Morrison Esplanade Naples LLC	Final Acceptance Scheduled for 7/13/2021
Esplanade G&CC of Naples Amenity Center Phase 2B -- Utility Acceptance	PL20160000757	11/02/16	11/02/17	Taylor Morrison Esplanade Naples LLC	Final Acceptance Scheduled for 7/13/2021
Esplanade G&CC of Naples SDP #2 Maintenance Facility -- Utility Acceptance	PL20160000600	04/15/16	04/15/17	Waldrop Engineering, P.A.	Final Acceptance Scheduled for 7/13/2022

\*Additional Collier County permits completed, available upon request.

### **III. ENGINEER'S REPORT COMPLETE**

By: \_\_\_\_\_  
 By: James Messick, P.E.  
 District Engineer

State of Florida Registration No. 70870

# EXHIBIT 1

## LOCATION MAP



**Calvin, Giordano & Associates, Inc.**

EXCEPTIONAL SOLUTIONS™

1800 Eller Drive, Suite 600 · Fort Lauderdale, FL 33316

(phone) 954.921.7781 · (fax) 954.266.6487

Certificate of Authorization #514

## **EXHIBIT 2**

### **LEGAL DESCRIPTION**

All of ESPLANADE GOLF AND COUNTRY CLUB OF NAPLES PLAT, according to the plat thereof, as recorded in Plat Book 53, Pages 1 through 64, include all subsequent plat revisions and amendments in the Public Records of Collier County, Florida.

**EXHIBIT 3**

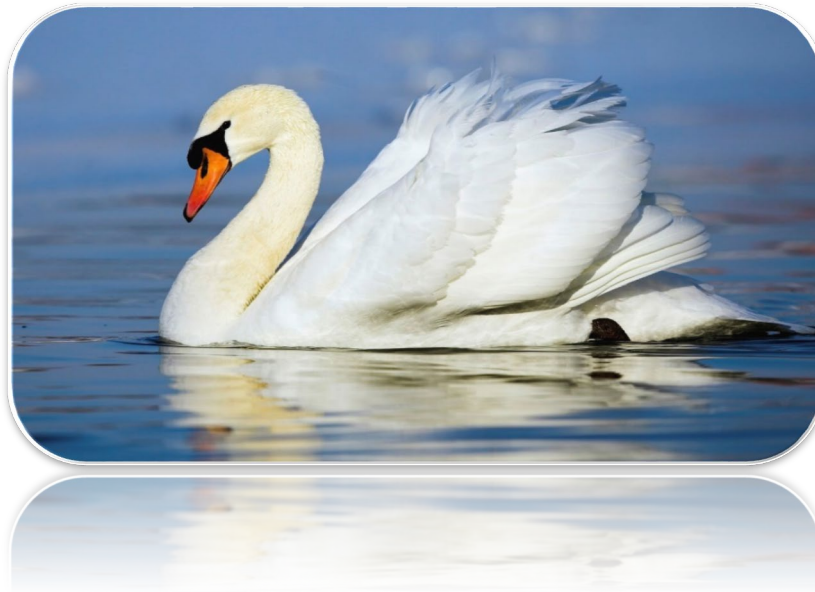
**PASS-THROUGH WEIR #2**





# **FLOW WAY COMMUNITY DEVELOPMENT DISTRICT**

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## **FINANCIAL STATEMENTS - JULY 2021**

FISCAL YEAR 2021

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PREPARED BY:

JPWARD & ASSOCIATES, LLC, 2301 NORTHEAST 37<sup>TH</sup> STREET, FORT LAUDERDALE, FL 33308

T: 954-658-4900 E: [JimWard@JPWardAssociates.com](mailto:JimWard@JPWardAssociates.com)

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*Flow Way Community Development District*

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*JPWard & Associates, LLC*  
*2301 Northeast 37th Street*  
*Fort Lauderdale, Florida 33308*

**Flowway Community Development District  
Balance Sheet  
for the Period Ending July 31, 2021**

	Governmental Funds			Debt Service Funds				Capital Projects Funds			Account Groups	Totals
	General Fund	Series 2013	Series 2015 (Phase 3)	Series 2015 (Phase 4)	Series 2016 (Phase 5)	Series 2017 (Phase 6)	Series 2019 (Phase 7 8 Hatcher)	Series 2016 (Phase 5)	Series 2017 (Phase 6)	Series 2019 (Phase 7 8 Hatcher)	General Long Term Debt	(Memorandum Only)
<b>Assets</b>												
<b>Cash and Investments</b>												
General Fund - Invested Cash	\$ 631,435	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 631,435
Debt Service Fund												
Interest Account	-	-	-	67	-	-	-	-	-	-	-	67
Sinking Account	-	-	-	-	-	-	-	-	-	-	-	-
Reserve Account	-	539,000	245,306	160,913	174,589	118,375	256,422	-	-	-	-	1,494,604
Revenue	-	429,097	254,079	159,816	258,031	163,241	388,984	-	-	-	-	1,653,248
Prepayment Account	-	-	881	5,000	-	-	5	-	-	-	-	5,886
General Redemption Account	-	-	-	2,471	-	-	-	-	-	-	-	2,471
Construction	-	-	-	-	-	-	-	21,810	14,236	34,278	-	70,325
Cost of Issuance	-	-	-	-	-	-	-	-	-	-	-	-
Retainage Account	-	-	-	-	-	-	-	-	-	-	-	-
<b>Due from Other Funds</b>												
General Fund	-	2,712	1,286	1,088	1,760	1,186	2,910	-	-	-	-	10,943
Debt Service Fund(s)	-	-	-	-	-	-	-	-	-	-	-	-
Capital Projects Fund(s)	-	-	-	-	-	-	-	-	-	-	-	-
<b>Market Valuation Adjustments</b>												
Accrued Interest Receivable	-	-	-	-	-	-	-	-	-	-	-	-
Assessments Receivable/Deposits	-	-	-	-	-	-	-	-	-	-	-	-
Amount Available in Debt Service Funds	-	-	-	-	-	-	-	-	-	-	2,884,417	2,884,417
Amount to be Provided by Debt Service Funds	-	-	-	-	-	-	-	-	-	-	26,775,583	26,775,583
Investment in General Fixed Assets (net of depreciation)	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Assets</b>	<b>\$ 631,435</b>	<b>\$ 970,810</b>	<b>\$ 501,552</b>	<b>\$ 329,355</b>	<b>\$ 434,380</b>	<b>\$ 282,803</b>	<b>\$ 648,321</b>	<b>\$ 21,810</b>	<b>\$ 14,236</b>	<b>\$ 34,278</b>	<b>\$ 29,660,000</b>	<b>\$ 33,528,980</b>

**Flowway Community Development District  
Balance Sheet  
for the Period Ending July 31, 2021**

	Governmental Funds		Debt Service Funds					Capital Projects Funds			Account Groups		Totals (Memorandum Only)
	General Fund	Series 2013	Series 2015 (Phase 3)	Series 2015 (Phase 4)	Series 2016 (Phase 5)	Series 2017 (Phase 6)	Series 2019 (Phase 7 8 Hatcher)	Series 2016 (Phase 5)	Series 2017 (Phase 6)	Series 2019 (Phase 7 8 Hatcher)	General Long Term Debt		
<b>Liabilities</b>													
Accounts Payable & Payroll Liabilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Due to Other Funds</b>													
General Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Debt Service Fund(s)	10,943	-	-	-	-	-	-	-	-	-	-	-	10,943
Capital Projects Fund(s)	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Bonds Payable</b>													
Current Portion	-	-	-	-	-	-	-	-	-	-	-	-	-
Long Term	-	-	-	-	-	-	-	-	-	-	-	29,660,000	29,660,000
Unamortized Prem/Disc on Bds Pybl	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Liabilities</b>	<b>\$ 10,943</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 29,660,000</b>	<b>\$ 29,670,943</b>
<b>Fund Equity and Other Credits</b>													
Investment in General Fixed Assets	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Fund Balance</b>													
<b>Restricted</b>													
Beginning: October 1, 2020 (Audited)	-	960,943	486,992	346,002	434,323	282,803	671,391	18,059	11,693	1,070,321	-	-	4,282,527
Results from Current Operations	-	9,866	14,560	(16,648)	57	-	(23,070)	3,751	2,543	(1,036,042)	-	-	(1,044,983)
<b>Unassigned</b>													
Beginning: October 1, 2020 (Audited)	366,305	-	-	-	-	-	-	-	-	-	-	-	366,305
Results from Current Operations	254,188	-	-	-	-	-	-	-	-	-	-	-	254,188
<b>Total Fund Equity and Other Credits</b>	<b>\$ 620,493</b>	<b>\$ 970,810</b>	<b>\$ 501,552</b>	<b>\$ 329,355</b>	<b>\$ 434,380</b>	<b>\$ 282,803</b>	<b>\$ 648,321</b>	<b>\$ 21,810</b>	<b>\$ 14,236</b>	<b>\$ 34,278</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 3,858,037</b>
<b>Total Liabilities, Fund Equity and Other Credits</b>	<b>\$ 631,435</b>	<b>\$ 970,810</b>	<b>\$ 501,552</b>	<b>\$ 329,355</b>	<b>\$ 434,380</b>	<b>\$ 282,803</b>	<b>\$ 648,321</b>	<b>\$ 21,810</b>	<b>\$ 14,236</b>	<b>\$ 34,278</b>	<b>\$ 29,660,000</b>	<b>\$ -</b>	<b>\$ 33,528,980</b>

**Flowway Community Development District**  
**General Fund**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through July 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	Year to Date	Total Annual Budget	% of Budget
<b>Revenue and Other Sources</b>													
<b>Carryforward</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ 100,000	0%
<b>Interest</b>													
Interest - General Checking	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Special Assessment Revenue</b>													
Special Assessments - On-Roll	3,858	250,441	222,779	24,914	44,948	9,977	9,139	2,591	4,167	3	572,816	579,690	99%
Special Assessments - Off-Roll	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Contributions Private Sources</b>	-											-	N/A
<b>Miscellaneous Revenue</b>					944						944	-	N/A
<b>Intragovernmental Transfer In</b>	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Total Revenue and Other Sources:</b>	<b>\$ 3,858</b>	<b>\$ 250,441</b>	<b>\$ 222,779</b>	<b>\$ 24,914</b>	<b>\$ 45,892</b>	<b>\$ 9,977</b>	<b>\$ 9,139</b>	<b>\$ 2,591</b>	<b>\$ 4,167</b>	<b>\$ 3</b>	<b>573,760</b>	<b>\$ 679,690</b>	<b>84%</b>
<b>Expenditures and Other Uses</b>													
<b>Legislative</b>													
Board of Supervisor's Fees	-	-	1,600	1,600	-	1,000	-	-	1,800	800	6,800	2,400	283%
<b>Executive</b>													
Professional Management	3,333	3,333	3,333	3,333	3,333	3,333	3,333	3,333	3,333	3,333	33,333	40,000	83%
<b>Financial and Administrative</b>													
Audit Services	-	-	-	-	-	4,400	13,800	-	-	-	18,200	4,400	414%
Accounting Services	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	13,333	16,000	83%
Assessment Roll Services	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	13,333	16,000	83%
Arbitrage Rebate Services	500	-	-	-	500	500	-	500	-	-	2,000	3,000	67%
<b>Other Contractual Services</b>													
Recording and Transcription	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Legal Advertising	-	1,166	-	-	315	630	252	-	287	-	2,650	3,500	76%
Trustee Services	-	-	-	7,159	4,327	-	8,654	-	-	-	20,139.39	25,450	79%
Dissemination Agent Services	5,600	-	-	-	500	-	-	-	-	100	6,200	5,500	113%
Property Appraiser Fees	9,966	-	-	-	-	-	-	-	(7,869)	-	2,097	16,000	13%
Bank Services	-	-	-	-	-	-	-	-	-	-	-	400	0%
<b>Travel and Per Diem</b>	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Communications &amp; Freight Services</b>													
Postage, Freight & Messenger	19	-	30	7	222	75	-	14	-	-	367	600	61%
<b>Rentals &amp; Leases</b>													
Meeting Room Rental	-	-	200	-	-	-	-	-	-	-	200	-	N/A
<b>Computer Services - Website Development</b>	50	50	-	-	-	-	-	-	-	-	100	2,000	5%

**Flowway Community Development District**  
**General Fund**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through July 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	Year to Date	Total Annual Budget	% of Budget
<b>Insurance</b>	6,503	-	-	-	-	-	-	-	-	-	6,503	6,300	103%
<b>Printing &amp; Binding</b>	-	-	152	-	-	295	-	-	-	-	447	750	60%
<b>Office Supplies</b>	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Subscription &amp; Memberships</b>	175	-	-	-	-	-	-	-	-	-	175	175	100%
<b>Legal Services</b>													
Legal - General Counsel	2,135	-	613	683	7,503	2,152	2,671	-	1,066	2,622	19,443	10,000	194%
Boundary Expansion	-	-	-	-	-	-	-	-	-	-	-	-	N/A
SFWMD - Permit Objection	-	-	185	-	5,615	-	-	-	598	-	6,397	-	N/A
Special Counsel - Litigation	-	-	-	-	14,720	6,492	25,056	-	22,818	32,302	101,387	100,000	101%
<b>Other General Government Services</b>													
Engineering Services - General Fund	150	-	-	1,468	-	-	14,226	4,815	7,534	4,523	32,715	5,000	654%
Miscellaneous Services	-	613	-	-	-	-	-	-	-	-	613	-	N/A
Boardwalk & Golf Cart Review	-	-	-	-	-	-	-	1,125	375	1,538	3,037.50	-	N/A
Asset Evaluation	-	-	-	-	-	-	-	6,183	1,426	-	7,608.75	-	N/A
Capital Outlay	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Stormwater Management Services</b>													
<b>Preserve Area Maintenance</b>													
<b>Environmental Engineering Consultant</b>													
Task 1 - Bid Documents	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Task 2 - Monthly site visits	-	-	-	-	-	-	-	-	-	-	-	13,350	0%
Task 3 - Reporting to Regulatory Agencies	-	-	-	-	-	-	-	-	-	-	-	8,000	0%
Task 4 - Fish Sampling to US Fish & Wildlife	-	-	-	-	-	-	-	-	-	-	-	10,350	0%
Task 5 - Attendance at Board Meeting	-	-	-	-	-	-	-	-	-	-	-	1,000	N/A
Clearing Downed Trees/Cleanup	-	-	-	-	-	-	-	-	-	-	-	1,000	0%
Code Enforcement for Incursion into Preserve	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Contingencies	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Repairs and Maintenance</b>													
Wading Bird Foraging Areas	-	-	-	-	-	-	-	-	-	-	-	1,522	0%
Internal Preserves	-	-	-	-	-	-	-	-	-	-	-	6,598	0%
Western Preserve	-	-	-	-	-	-	-	-	-	-	-	33,215	0%
Northern Preserve Area 1	-	-	-	-	-	-	-	-	-	-	-	64,560	0%
Northern Preserve Area 2	-	-	-	-	-	-	-	-	-	21,875	21,875	113,120	19%
Clearing Downed Trees/Cleanup	-	-	-	-	-	-	-	-	-	-	-	5,000	0%
Code Enforcement for Incursion into Preserve	-	-	-	-	-	-	-	-	-	-	-	2,500	0%
No Trespassing Signs	-	-	-	618	-	-	-	-	-	-	618	-	N/A
<b>Lake, Lake Bank and Littoral Shelf Maintenance</b>													

**Flowway Community Development District**  
**General Fund**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through July 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	Year to Date	Total Annual Budget	% of Budget
Professional Services													
Asset Management	-	-	-	-	-	-	-	-	-	-	-	15,000	0%
Repairs & Maintenance													
Aquatic Weed Control	-	-	-	-	-	-	-	-	-	-	-	35,000	0%
Lake Bank Maintenance	-	-	-	-	-	-	-	-	-	-	-	15,000	0%
Water Quality Testing	-	-	-	-	-	-	-	-	-	-	-	5,000	0%
Littortal Shelf Planting	-	-	-	-	-	-	-	-	-	-	-	10,000	0%
Aeration System	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Capital Outlay													
Aeration Systems	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Littortal Shelf Replanting	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Lake Bank Restoration	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Erosion Restoration	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Contingencies	-	-	-	-	-	-	-	-	-	-	-	1,600	0%
<b>Landscaping Services</b>													
Professional Services													
Asset Management	-	-	-	-	-	-	-	-	-	-	-	5,000	0%
Utility Services													
Electric	-	-	-	-	-	-	-	-	-	-	-	2,400	0%
Irrigation Water	-	-	-	-	-	-	-	-	-	-	-	3,000	0%
Repairs & Maintenance													
Public Area Landscaping	-	-	-	-	-	-	-	-	-	-	-	30,000	0%
Irrigation System	-	-	-	-	-	-	-	-	-	-	-	25,000	0%
Well System	-	-	-	-	-	-	-	-	-	-	-	10,000	0%
Plant Replacement	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Operating Supplies													
Mulch	-	-	-	-	-	-	-	-	-	-	-	5,000	0%
Capital Outlay	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Lake Bank Restoration	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Reserves for Future Operations</b>													
Future Operations/Restorations	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Intragovernmental Transfer Out</b>	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Sub-Total:</b>	<b>31,098</b>	<b>7,829</b>	<b>8,780</b>	<b>17,533</b>	<b>39,701</b>	<b>21,543</b>	<b>70,660</b>	<b>18,636</b>	<b>34,033</b>	<b>69,758</b>	<b>319,572</b>	<b>679,690</b>	<b>47%</b>
<b>Total Expenditures and Other Uses:</b>	<b>\$ 31,098</b>	<b>\$ 7,829</b>	<b>\$ 8,780</b>	<b>\$ 17,533</b>	<b>\$ 39,701</b>	<b>\$ 21,543</b>	<b>\$ 70,660</b>	<b>\$ 18,636</b>	<b>\$ 34,033</b>	<b>\$ 69,758</b>	<b>\$ 319,572</b>	<b>\$ 679,690</b>	<b>47%</b>



**Flowway Community Development District**  
**General Fund**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through July 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	Year to Date	Total Annual Budget	% of Budget
Net Increase/ (Decrease) in Fund Balance	(27,240)	242,612	213,998	7,381	6,191	(11,566)	(61,520)	(16,046)	(29,866)	(69,756)	254,188	-	
Fund Balance - Beginning	366,305	339,065	581,677	795,675	803,056	809,247	797,681	736,161	720,115	690,249	366,305	-	
<b>Fund Balance - Ending</b>	<b>\$ 339,065</b>	<b>\$ 581,677</b>	<b>\$ 795,675</b>	<b>\$ 803,056</b>	<b>\$ 809,247</b>	<b>\$ 797,681</b>	<b>\$ 736,161</b>	<b>\$ 720,115</b>	<b>\$ 690,249</b>	<b>\$ 620,493</b>	<b>620,493</b>	<b>\$</b>	<b>-</b>

Flowway Community Development District  
Debt Service Fund - Series 2013  
Statement of Revenues, Expenditures and Changes in Fund Balance  
Through July 31, 2021

Description	October	November	December	January	February	March	April	May	June	July	Year to Date	Total Annual Budget	% of Budget
<b>Revenue and Other Sources</b>													
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -	N/A
<b>Interest Income</b>													
Interest Account	-	0	0	-	-	-	-	0	0	-	0	8	2%
Sinking Fund	-	0	0	-	-	-	-	-	-	-	0	-	N/A
Reserve Account	1	5,830	0	0	0	0	0	5,744	0	0	11,578	1,600	724%
Prepayment Account	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Revenue Account	2	2	0	1	2	2	2	3	2	2	18	975	2%
<b>Special Assessment Revenue</b>													
Special Assessments - On-Roll	3,589	232,943	207,214	23,174	41,808	9,280	8,501	2,410	3,876	2	532,796	539,344	99%
Special Assessments - Off-Roll	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Intragovernmental Transfer In</b>													
	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Total Revenue and Other Sources:</b>	<b>\$ 3,591</b>	<b>\$ 238,774</b>	<b>\$ 207,215</b>	<b>\$ 23,176</b>	<b>\$ 41,811</b>	<b>\$ 9,282</b>	<b>\$ 8,504</b>	<b>\$ 8,156</b>	<b>\$ 3,878</b>	<b>\$ 5</b>	<b>544,392</b>	<b>\$ 541,927</b>	<b>N/A</b>
<b>Expenditures and Other Uses</b>													
Property Appraiser & Tax Collection Fees	-	-	-	-	-	-	-	-	1,950.42	-	1,950	\$ -	N/A
<b>Debt Service</b>													
<b>Principal Debt Service - Mandatory</b>													
Series 2013 Bonds	-	115,000	-	-	-	-	-	-	-	-	115,000	\$ 115,000	100%
<b>Principal Debt Service - Early Redemptions</b>													
Series 2013 Bonds	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Interest Expense</b>													
Series 2013 Bonds	-	210,513	-	-	-	-	-	207,063	-	-	417,575	417,575	100%
<b>Operating Transfers Out (To Other Funds)</b>													
	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Total Expenditures and Other Uses:</b>	<b>\$0</b>	<b>\$325,513</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$207,063</b>	<b>\$1,950</b>	<b>\$0</b>	<b>\$534,525</b>	<b>\$532,575</b>	<b>N/A</b>
Net Increase/ (Decrease) in Fund Balance	3,591	(86,738)	207,215	23,176	41,811	9,282	8,504	(198,906)	1,928	5	9,866	9,352	
Fund Balance - Beginning	960,943	964,535	877,797	1,085,011	1,108,187	1,149,997	1,159,280	1,167,783	968,877	970,805	960,943		
<b>Fund Balance - Ending</b>	<b>\$ 964,535</b>	<b>\$ 877,797</b>	<b>\$ 1,085,011</b>	<b>\$ 1,108,187</b>	<b>\$ 1,149,997</b>	<b>\$ 1,159,280</b>	<b>\$ 1,167,783</b>	<b>\$ 968,877</b>	<b>\$ 970,805</b>	<b>\$ 970,810</b>	<b>970,810</b>	<b>\$ 9,352</b>	

**Flowway Community Development District**  
**Debt Service Fund - Series 2015 (Phase 3)**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through July 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	Year to Date	Total Annual Budget	% of Budget
<b>Revenue and Other Sources</b>													
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -	N/A
<b>Interest Income</b>													
Interest Account	-	0	0	-	-	-	-	0	0	-	0	-	N/A
Sinking Fund	-	0	0	-	-	-	-	-	-	-	0	-	N/A
Reserve Account	0	2,663	0	0	0	0	0	2,624	0	0	5,288	550	961%
Prepayment Account	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Revenue Account	1	1	0	1	1	1	1	1	1	1	10	300	3%
<b>Special Assessment Revenue</b>													
Special Assessments - On-Roll	1,702	110,460	98,260	10,989	19,825	4,400	4,031	1,143	1,838	1	252,649	255,873	99%
Special Assessments - Off-Roll	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Special Assessments - Prepayment	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Intragovernmental Transfers In</b>													
Debt Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Total Revenue and Other Sources:</b>	<b>\$ 1,703</b>	<b>\$ 113,124</b>	<b>\$ 98,260</b>	<b>\$ 10,990</b>	<b>\$ 19,827</b>	<b>\$ 4,402</b>	<b>\$ 4,033</b>	<b>\$ 3,768</b>	<b>\$ 1,839</b>	<b>\$ 2</b>	<b>257,948</b>	<b>\$ 256,723</b>	<b>N/A</b>
<b>Expenditures and Other Uses</b>													
Property Appraiser & Tax Collection Fees	-	-	-	-	-	-	-	-	924.88	-	925	\$ -	N/A
<b>Debt Service</b>													
<b>Principal Debt Service - Mandatory</b>													
Series 2015 Bonds (Phase 3)	-	70,000	-	-	-	-	-	-	-	-	70,000	\$ 70,000	100%
<b>Principal Debt Service - Early Redemptions</b>													
Series 2015 Bonds (Phase 3)	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Interest Expense</b>													
Series 2015 Bonds (Phase 3)	-	86,975	-	-	-	-	-	85,488	-	-	172,463	172,463	100%
<b>Operating Transfers Out (To Other Funds)</b>													
<b>Total Expenditures and Other Uses:</b>	<b>\$0</b>	<b>\$156,975</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$85,488</b>	<b>\$925</b>	<b>\$0</b>	<b>\$243,387</b>	<b>\$242,463</b>	<b>N/A</b>
Net Increase/ (Decrease) in Fund Balance	1,703	(43,851)	98,260	10,990	19,827	4,402	4,033	(81,720)	914	2	14,560	14,260	
Fund Balance - Beginning	486,992	488,695	444,844	543,105	554,095	573,921	578,323	582,356	500,636	501,550	486,992	-	
<b>Fund Balance - Ending</b>	<b>\$ 488,695</b>	<b>\$ 444,844</b>	<b>\$ 543,105</b>	<b>\$ 554,095</b>	<b>\$ 573,921</b>	<b>\$ 578,323</b>	<b>\$ 582,356</b>	<b>\$ 500,636</b>	<b>\$ 501,550</b>	<b>\$ 501,552</b>	<b>501,552</b>	<b>\$ 14,260</b>	

**Flowway Community Development District**  
**Debt Service Fund - Series 2015 (Phase 4)**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through July 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	Year to Date	Total Annual Budget	% of Budget
<b>Revenue and Other Sources</b>													
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -	N/A
<b>Interest Income</b>													
Interest Account	-	0	0	-	-	-	-	0	0	-	0	-	N/A
Sinking Fund	-	0	0	-	-	-	-	-	-	-	0	-	N/A
Reserve Account	0	1,751	0	0	0	0	0	1,726	0	0	3,478	500	696%
Prepayment Account	0	0	-	-	-	-	-	-	-	-	0	-	N/A
Revenue Account	1	1	0	0	1	1	1	1	1	1	7	400	2%
General Redemption Account	0	0	0	0	0	0	0	0	0	0	0	-	N/A
<b>Special Assessment Revenue</b>													
Special Assessments - On-Roll	1,440	93,439	81,776	9,296	16,770	3,722	3,410	967	1,555	1	212,374	216,250	98%
Special Assessments - Off-Roll	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Special Assessments - Prepayments	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Operating Transfers In (To Other Funds)</b>													
Operating Transfers In (To Other Funds)	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Debt Proceeds</b>													
Debt Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Total Revenue and Other Sources:</b>	<b>\$ 1,441</b>	<b>\$ 95,191</b>	<b>\$ 81,776</b>	<b>\$ 9,296</b>	<b>\$ 16,771</b>	<b>\$ 3,723</b>	<b>\$ 3,411</b>	<b>\$ 2,693</b>	<b>\$ 1,556</b>	<b>\$ 2</b>	<b>215,860</b>	<b>\$ 217,150</b>	<b>N/A</b>
<b>Expenditures and Other Uses</b>													
Property Appraiser & Tax Collection Fees	-	-	-	-	-	-	-	-	782.36	-	782	\$ -	N/A
<b>Debt Service</b>													
<b>Principal Debt Service - Mandatory</b>													
Series 2015 Bonds (Phase 4)	-	55,000	-	-	-	-	-	-	-	-	55,000	\$ 55,000	100%
<b>Principal Debt Service - Early Redemptions</b>													
Series 2015 Bonds (Phase 4)	-	20,000	-	-	-	-	-	-	-	-	20,000	-	N/A
<b>Interest Expense</b>													
Series 2015 Bonds (Phase 4)	-	79,178	-	-	-	-	-	77,547	-	-	156,725	157,256	100%
<b>Operating Transfers Out (To Other Funds)</b>													
Operating Transfers Out (To Other Funds)	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Total Expenditures and Other Uses:</b>	<b>\$0</b>	<b>\$154,178</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$77,547</b>	<b>\$782</b>	<b>\$0</b>	<b>\$232,507</b>	<b>\$212,256</b>	<b>N/A</b>
Net Increase/ (Decrease) in Fund Balance	1,441	(58,987)	81,776	9,296	16,771	3,723	3,411	(74,854)	773	2	(16,648)	4,894	
Fund Balance - Beginning	346,002	347,443	288,456	370,232	379,528	396,299	400,022	403,433	328,580	329,353	346,002		
<b>Fund Balance - Ending</b>	<b>\$ 347,443</b>	<b>\$ 288,456</b>	<b>\$ 370,232</b>	<b>\$ 379,528</b>	<b>\$ 396,299</b>	<b>\$ 400,022</b>	<b>\$ 403,433</b>	<b>\$ 328,580</b>	<b>\$ 329,353</b>	<b>\$ 329,355</b>	<b>329,355</b>	<b>\$ 4,894</b>	

**Flowway Community Development District**  
**Debt Service Fund - Series 2016 (Phase 5)**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through July 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	Year to Date	Total Annual Budget	% of Budget
<b>Revenue and Other Sources</b>													
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -	N/A
<b>Interest Income</b>													
Interest Account	-	0	0	-	-	-	-	0	0	-	0	2	5%
Sinking Fund	-	0	0	-	-	-	-	-	-	-	0	-	N/A
Reserve Account	0	1,888	0	0	0	0	0	1,861	0	0	3,750	345	1087%
Prepayment Account	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Revenue Account	1	1	0	1	1	1	1	2	1	1	11	220	5%
<b>Special Assessment Revenue</b>													
Special Assessments - On-Roll	2,329	151,169	134,472	15,039	27,131	6,022	5,517	1,564	2,515	2	345,759	350,060	99%
Special Assessments - Off-Roll	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Debt Proceeds</b>													
Operating Transfers In (To Other Funds)	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Total Revenue and Other Sources:</b>	<b>\$ 2,330</b>	<b>\$ 153,058</b>	<b>\$ 134,472</b>	<b>\$ 15,040</b>	<b>\$ 27,133</b>	<b>\$ 6,023</b>	<b>\$ 5,518</b>	<b>\$ 3,426</b>	<b>\$ 2,516</b>	<b>\$ 3</b>	<b>349,520</b>	<b>\$ 350,627</b>	<b>N/A</b>
<b>Expenditures and Other Uses</b>													
Property Appraiser & Tax Collection Fees	-	-	-	-	-	-	-	-	1,265.73	-	1,266	\$ -	N/A
<b>Debt Service</b>													
<b>Principal Debt Service - Mandatory</b>													
Series 2016 Bonds (Phase 5)	-	100,000	-	-	-	-	-	-	-	-	100,000	\$ 95,000	105%
<b>Principal Debt Service - Early Redemptions</b>													
Series 2016 Bonds (Phase 5)	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Interest Expense</b>													
Series 2016 Bonds (Phase 5)	-	123,074	-	-	-	-	-	121,374	-	-	244,448	247,763	99%
Operating Transfers Out (To Other Funds)	0	1,888	0	0	0	0	0	1,861	0	0	3,750	-	N/A
<b>Total Expenditures and Other Uses:</b>	<b>\$0</b>	<b>\$224,962</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$123,234</b>	<b>\$1,266</b>	<b>\$0</b>	<b>\$349,463</b>	<b>\$342,763</b>	<b>N/A</b>
Net Increase/ (Decrease) in Fund Balance	2,330	(71,904)	134,472	15,039	27,133	6,023	5,518	(119,809)	1,251	3	57	7,864	
Fund Balance - Beginning	434,323	436,653	364,749	499,221	514,261	541,393	547,417	552,935	433,126	434,377	434,323		
<b>Fund Balance - Ending</b>	<b>\$ 436,653</b>	<b>\$ 364,749</b>	<b>\$ 499,221</b>	<b>\$ 514,261</b>	<b>\$ 541,393</b>	<b>\$ 547,417</b>	<b>\$ 552,935</b>	<b>\$ 433,126</b>	<b>\$ 434,377</b>	<b>\$ 434,380</b>	<b>434,380</b>	<b>\$ 7,864</b>	

**Flowway Community Development District**  
**Debt Service Fund - Series 2017 (Phase 6)**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through July 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	Year to Date	Total Annual Budget	% of Budget
<b>Revenue and Other Sources</b>													
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -	N/A
<b>Interest Income</b>													
Interest Account	-	0	0	-	-	-	-	0	0	-	0	-	N/A
Sinking Fund	-	0	0	-	-	-	-	-	-	-	0	-	N/A
Reserve Account	0	1,280	0	0	0	0	0	1,262	0	0	2,543	2,200	116%
Prepayment Account	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Revenue Account	1	1	0	0	1	1	1	1	1	1	7	1,100	1%
<b>Special Assessment Revenue</b>													
Special Assessments - On-Roll	1,570	101,890	90,636	10,136	18,287	4,059	3,718	1,054	1,695	1	233,047	235,848	99%
Special Assessments - Off-Roll	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Debt Proceeds</b>													
<b>Operating Transfers In (To Other Funds)</b>													
<b>Total Revenue and Other Sources:</b>	<b>\$ 1,571</b>	<b>\$ 103,171</b>	<b>\$ 90,636</b>	<b>\$ 10,137</b>	<b>\$ 18,288</b>	<b>\$ 4,060</b>	<b>\$ 3,719</b>	<b>\$ 2,317</b>	<b>\$ 1,696</b>	<b>\$ 2</b>	<b>235,597</b>	<b>\$ 239,148</b>	<b>N/A</b>
<b>Expenditures and Other Uses</b>													
Property Appraiser & Tax Collection Fees	-	-	-	-	-	-	-	-	853	-	853	\$ -	N/A
<b>Debt Service</b>													
<b>Principal Debt Service - Mandatory</b>													
Series 2017 Bonds (Phase 6)	-	65,000	-	-	-	-	-	-	-	-	65,000	\$ 65,000	100%
<b>Principal Debt Service - Early Redemptions</b>													
Series 2017 Bonds (Phase 6)	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Interest Expense</b>													
Series 2017 Bonds (Phase 6)	-	83,850	-	-	-	-	-	82,713	-	-	166,563	166,563	100%
<b>Debt Service-Other Costs</b>													
Operating Transfers Out (To Other Funds)	0	1,280	0	0	0	0	0	1,262	0	-	2,543	-	N/A
<b>Total Expenditures and Other Uses:</b>	<b>\$0</b>	<b>\$150,130</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$83,974</b>	<b>\$853</b>	<b>\$0</b>	<b>\$234,958</b>	<b>\$231,563</b>	<b>N/A</b>
Net Increase/ (Decrease) in Fund Balance	1,570	(46,959)	90,636	10,137	18,288	4,060	3,719	(81,658)	843	2	638	7,585	
Fund Balance - Beginning	282,164	283,735	236,776	327,412	337,549	355,837	359,896	363,616	281,958	282,801	282,164		
<b>Fund Balance - Ending</b>	<b>\$ 283,735</b>	<b>\$ 236,776</b>	<b>\$ 327,412</b>	<b>\$ 337,549</b>	<b>\$ 355,837</b>	<b>\$ 359,896</b>	<b>\$ 363,616</b>	<b>\$ 281,958</b>	<b>\$ 282,801</b>	<b>\$ 282,803</b>	<b>282,803</b>	<b>\$ 7,585</b>	

**Flowway Community Development District**  
**Debt Service Fund - Series 2019 (Phase 7, Phase 8 and Hatcher)**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through July 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	Year to Date	Total Annual Budget	% of Budget
<b>Revenue and Other Sources</b>													
Carryforward - Capitalized Interest	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	N/A
<b>Interest Income</b>													
Interest Account	-	0	0	-	-	-	-	1	0	-	1	-	N/A
Sinking Account	-	0	0	-	-	-	-	-	-	-	0	-	N/A
Reserve Account	1	1	1	1	1	1	1	-	1	1	11	2,700	0%
Prepayment Account	-	-	-	-	-	-	2	4	0	-	7	-	N/A
Revenue Account	2	2	0	1	2	2	2	2	2	2	16	1,100	1%
<b>Special Assessment Revenue</b>													
Special Assessments - On-Roll	3,851	249,964	222,355	24,867	44,863	9,958	9,122	2,586	4,159	3	571,727	578,774	99%
Special Assessments - Off-Roll	-	-	-	-	-	-	-	21,948	-	-	21,948	-	N/A
<b>Debt Proceeds</b>													
Operating Transfers In (To Other Funds)	-	-	-	-	-	1,037,102	-	-	-	-	1,037,102	-	N/A
<b>Total Revenue and Other Sources:</b>	<b>\$ 3,854</b>	<b>\$ 249,967</b>	<b>\$ 222,357</b>	<b>\$ 24,869</b>	<b>\$ 44,866</b>	<b>\$ 1,047,063</b>	<b>\$ 9,127</b>	<b>\$ 24,542</b>	<b>\$ 4,162</b>	<b>\$ 5</b>	<b>1,630,812</b>	<b>\$ 582,574</b>	<b>N/A</b>
<b>Expenditures and Other Uses</b>													
Property Appraiser & Tax Collection Fees	-	-	-	-	-	-	-	-	2,093	-	2,093	\$ -	N/A
<b>Debt Service</b>													
<b>Principal Debt Service - Mandatory</b>													
Series 2019 Bonds (Phase 7,8,Hatcher)	-	180,000	-	-	-	-	-	-	-	-	180,000	\$ 180,000	100%
<b>Principal Debt Service - Early Redemptions</b>													
Series 2019 Bonds (Phase 7,8,Hatcher)	-	-	-	-	-	-	-	1,075,000	-	-	1,075,000	-	N/A
<b>Interest Expense</b>													
Series 2019 Bonds (Phase 7,8,Hatcher)	-	199,387	-	-	-	-	-	196,372	-	-	395,759	395,759	100%
<b>Debt Service-Other Costs</b>													
Operating Transfers Out (To Other Funds)	1	1	64	1	1	1	1	1	957	1	1,030	-	N/A
<b>Total Expenditures and Other Uses:</b>	<b>\$1</b>	<b>\$379,388</b>	<b>\$64</b>	<b>\$1</b>	<b>\$1</b>	<b>\$1</b>	<b>\$1</b>	<b>\$1,271,373</b>	<b>\$3,050</b>	<b>\$1</b>	<b>\$1,653,882</b>	<b>\$575,759</b>	<b>N/A</b>
Net Increase/ (Decrease) in Fund Balance	3,853	(129,421)	222,293	24,868	44,865	1,047,062	9,126	(1,246,831)	1,112	4	(23,070)	6,815	
Fund Balance - Beginning	671,391	675,244	545,823	768,116	792,984	837,849	1,884,910	1,894,036	647,205	648,317	671,391		
<b>Fund Balance - Ending</b>	<b>\$ 675,244</b>	<b>\$ 545,823</b>	<b>\$ 768,116</b>	<b>\$ 792,984</b>	<b>\$ 837,849</b>	<b>\$ 1,884,910</b>	<b>\$ 1,894,036</b>	<b>\$ 647,205</b>	<b>\$ 648,317</b>	<b>\$ 648,321</b>	<b>648,321</b>	<b>\$ 6,815</b>	

**Flowway Community Development District  
Capital Project Fund - Series 2016 (Phase 5)  
Statement of Revenues, Expenditures and Changes in Fund Balance  
Through July 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	Year to Date	Total Annual Budget
<b>Revenue and Other Sources</b>												
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -
<b>Interest Income</b>												
Construction Account	0	0	0	0	0	0	0	0	0	0	1	-
Cost of Issuance	-	-	-	-	-	-	-	-	-	-	-	-
<b>Debt Proceeds</b>												
Operating Transfers In (From Other Funds)	0	1,888	0	0	0	0	0	1,861	0	0	3,750	-
<b>Total Revenue and Other Sources:</b>	<b>\$ 0</b>	<b>\$ 1,888</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 1,861</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 3,751</b>	<b>\$ -</b>
<b>Expenditures and Other Uses</b>												
<b>Executive</b>												
Professional Management	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Other Contractual Services</b>												
Trustee Services	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Printing &amp; Binding</b>												
Legal Services	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Legal Services</b>												
Legal - Series 2016 Bonds (Phase 5)	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Other General Government Services</b>												
Stormwater Mgmt-Construction	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Capital Outlay</b>												
Construction in Progress	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Cost of Issuance</b>												
Series 2016 Bonds (Phase 5)	-	-	-	-	-	-	-	-	-	-	-	\$ -
Underwriter's Discount	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Operating Transfers Out (To Other Funds)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Total Expenditures and Other Uses:</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
Net Increase/ (Decrease) in Fund Balance	0	1,888	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,861	\$ 0	\$ 0	\$ 3,751	-
Fund Balance - Beginning	18,059	18,059	\$ 19,947	\$ 19,948	\$ 19,948	\$ 19,948	\$ 19,948	\$ 19,948	\$ 21,809	\$ 21,809	18,059	-
<b>Fund Balance - Ending</b>	<b>\$ 18,059</b>	<b>\$ 19,947</b>	<b>\$ 19,948</b>	<b>\$ 19,948</b>	<b>\$ 19,948</b>	<b>\$ 19,948</b>	<b>\$ 19,948</b>	<b>\$ 21,809</b>	<b>\$ 21,809</b>	<b>\$ 21,810</b>	<b>\$ 21,810</b>	<b>\$ -</b>



Flowway Community Development District  
 Capital Project Fund - Series 2017 (Phase 6)  
 Statement of Revenues, Expenditures and Changes in Fund Balance  
 Through July 31, 2021

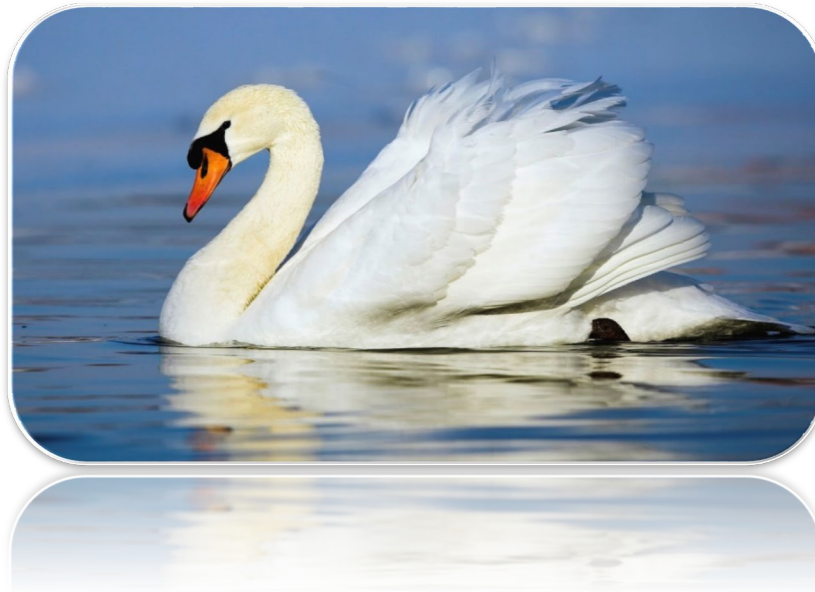
Description	October	November	December	January	February	March	April	May	June	July	Year to Date	Total Annual Budget
<b>Revenue and Other Sources</b>												
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -
<b>Interest Income</b>												
Construction Account	0	0	0	0	0	0	0	0	0	0	1	-
Cost of Issuance	-	-	-	-	-	-	-	-	-	-	-	-
<b>Debt Proceeds</b>												
Operating Transfers In (From Other Funds)	0	1,280	0	0	0	0	0	1,262	0	0	2,543	-
<b>Total Revenue and Other Sources:</b>	<b>\$ 0</b>	<b>\$ 1,280</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 1,262</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 2,543</b>	<b>\$ -</b>
<b>Expenditures and Other Uses</b>												
<b>Executive</b>												
Professional Management	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Other Contractual Services</b>												
Trustee Services	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Printing &amp; Binding</b>												
-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Legal Services</b>												
Legal - Series 2016 Bonds (Phase 5)	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Capital Outlay</b>												
Water-Sewer Combination-Construction	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Stormwater Mgmt-Construction	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Off-Site Improvements-CR 951 Extension	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Construction in Progress</b>												
-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Cost of Issuance</b>												
Series 2017 Bonds (Phase 6)	-	-	-	-	-	-	-	-	-	-	-	\$ -
<b>Underwriter's Discount</b>												
-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Operating Transfers Out (To Other Funds)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Total Expenditures and Other Uses:</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
Net Increase/ (Decrease) in Fund Balance	0	1,280	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 1,262	\$ 0	\$ 0	\$ 2,543	-
Fund Balance - Beginning	11,693	11,693	\$ 12,974	\$ 12,974	\$ 12,974	\$ 12,974	\$ 12,974	\$ 12,974	\$ 14,236	\$ 14,236	11,693	-
<b>Fund Balance - Ending</b>	<b>\$ 11,693</b>	<b>\$ 12,974</b>	<b>\$ 12,974</b>	<b>\$ 12,974</b>	<b>\$ 12,974</b>	<b>\$ 12,974</b>	<b>\$ 12,974</b>	<b>\$ 14,236</b>	<b>\$ 14,236</b>	<b>\$ 14,236</b>	<b>\$ 14,236</b>	<b>\$ -</b>

Flowway Community Development District  
 Capital Project Fund - Series 2019 (Phase 7, Phase 8 and Hatcher)  
 Statement of Revenues, Expenditures and Changes in Fund Balance  
 Through July 31, 2021

Description	October	November	December	January	February	March	April	May	June	July	Year to Date	Total Annual Budget
<b>Revenue and Other Sources</b>												
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Interest Income</b>												
Construction Account	0	0	0	0	0	0	0	0	0	0	1	-
Cost of Issuance	-	-	-	-	-	-	-	-	-	-	-	-
Retainage Account	4	4	4	4	4	6	-	-	-	-	28	-
<b>Debt Proceeds</b>												
<b>Contributions from Private Sources</b>												
Operating Transfers In (From Other Funds)	1	1	64	1	1	1	1	1	957	1	1,030	-
<b>Total Revenue and Other Sources:</b>	<b>\$ 6</b>	<b>\$ 6</b>	<b>\$ 68</b>	<b>\$ 6</b>	<b>\$ 6</b>	<b>\$ 8</b>	<b>\$ 1</b>	<b>\$ 1</b>	<b>\$ 957</b>	<b>\$ 1</b>	<b>\$ 1,060</b>	<b>\$ -</b>
<b>Expenditures and Other Uses</b>												
<b>Executive</b>												
Professional Management	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Other Contractual Services</b>												
Trustee Services	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Printing &amp; Binding</b>												
Legal Services	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Legal - Series 2019 Bonds (Ph 7, Ph 8 & Hatcher)	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Capital Outlay</b>												
Water-Sewer Combination-Construction	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Stormwater Mgmt-Construction	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Off-Site Improvements-CR 951 Extension	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Construction in Progress</b>												
<b>Cost of Issuance</b>												
Series 2016 Bonds (Phase 5)	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Underwriter's Discount</b>												
Operating Transfers Out (To Other Funds)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,037,102	\$ -	\$ -	\$ -	\$ -	\$ 1,037,102	\$ -
<b>Total Expenditures and Other Uses:</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 1,037,102</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 1,037,102</b>	<b>\$ -</b>
Net Increase/ (Decrease) in Fund Balance	\$ 6	\$ 6	\$ 68	\$ 6	\$ 6	\$ (1,037,094)	\$ 1	\$ 1	\$ 957	\$ 1	\$ (1,036,042)	\$ -
Fund Balance - Beginning	1,070,321	1,070,326	\$ 1,070,332	\$ 1,070,400	\$ 1,070,406	\$ 1,070,412	\$ 33,317	\$ 33,319	\$ 33,320	\$ 34,277	1,070,321	\$ -
<b>Fund Balance - Ending</b>	<b>\$ 1,070,326</b>	<b>\$ 1,070,332</b>	<b>\$ 1,070,400</b>	<b>\$ 1,070,406</b>	<b>\$ 1,070,412</b>	<b>\$ 33,317</b>	<b>\$ 33,319</b>	<b>\$ 33,320</b>	<b>\$ 34,277</b>	<b>\$ 34,278</b>	<b>\$ 34,278</b>	<b>\$ -</b>

# **FLOW WAY COMMUNITY DEVELOPMENT DISTRICT**

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## **FINANCIAL STATEMENTS - AUGUST 2021**

FISCAL YEAR 2021

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PREPARED BY:

JPWARD & ASSOCIATES, LLC, 2301 NORTHEAST 37<sup>TH</sup> STREET, FORT LAUDERDALE, FL 33308

T: 954-658-4900 E: [JimWard@JPWardAssociates.com](mailto:JimWard@JPWardAssociates.com)

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*Flow Way Community Development District*

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*JPWard & Associates, LLC*  
*2301 Northeast 37th Street*  
*Fort Lauderdale, Florida 33308*

**Flowway Community Development District  
Balance Sheet  
for the Period Ending August 31, 2021**

	Governmental Funds			Debt Service Funds				Capital Projects Funds			Account Groups	Totals
	General Fund	Series 2013	Series 2015 (Phase 3)	Series 2015 (Phase 4)	Series 2016 (Phase 5)	Series 2017 (Phase 6)	Series 2019 (Phase 7 8 Hatcher)	Series 2016 (Phase 5)	Series 2017 (Phase 6)	Series 2019 (Phase 7 8 Hatcher)	General Long Term Debt	(Memorandum Only)
<b>Assets</b>												
<b>Cash and Investments</b>												
General Fund - Invested Cash	\$ 455,716	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 455,716
Debt Service Fund												
Interest Account	-	-	-	-	-	-	-	-	-	-	-	-
Sinking Account	-	-	-	-	-	-	-	-	-	-	-	-
Reserve Account	-	539,000	245,306	160,913	174,589	118,375	256,422	-	-	-	-	1,494,604
Revenue	-	431,812	255,366	160,905	259,792	164,428	391,896	-	-	-	-	1,664,199
Prepayment Account	-	-	881	-	-	-	5	-	-	-	-	886
General Redemption Account	-	-	-	2,471	-	-	-	-	-	-	-	2,471
Construction	-	-	-	-	-	-	-	21,810	14,237	34,280	-	70,326
Cost of Issuance	-	-	-	-	-	-	-	-	-	-	-	-
Retainage Account	-	-	-	-	-	-	-	-	-	-	-	-
<b>Due from Other Funds</b>												
General Fund	-	-	-	-	-	-	-	-	-	-	-	-
Debt Service Fund(s)	-	-	-	-	-	-	-	-	-	-	-	-
Capital Projects Fund(s)	-	-	-	-	-	-	-	-	-	-	-	-
<b>Market Valuation Adjustments</b>												
Accrued Interest Receivable	-	-	-	-	-	-	-	-	-	-	-	-
Assessments Receivable/Deposits	-	-	-	-	-	-	-	-	-	-	-	-
Amount Available in Debt Service Funds	-	-	-	-	-	-	-	-	-	-	2,879,357	2,879,357
Amount to be Provided by Debt Service Funds	-	-	-	-	-	-	-	-	-	-	26,780,643	26,780,643
Investment in General Fixed Assets (net of depreciation)	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Assets</b>	<b>\$ 455,716</b>	<b>\$ 970,812</b>	<b>\$ 501,554</b>	<b>\$ 324,288</b>	<b>\$ 434,381</b>	<b>\$ 282,803</b>	<b>\$ 648,323</b>	<b>\$ 21,810</b>	<b>\$ 14,237</b>	<b>\$ 34,280</b>	<b>\$ 29,660,000</b>	<b>\$ 33,348,203</b>

**Flowway Community Development District  
Balance Sheet  
for the Period Ending August 31, 2021**

	Governmental Funds		Debt Service Funds				Capital Projects Funds				Account Groups		Totals (Memorandum Only)
	General Fund	Series 2013	Series 2015 (Phase 3)	Series 2015 (Phase 4)	Series 2016 (Phase 5)	Series 2017 (Phase 6)	Series 2019 (Phase 7 8 Hatcher)	Series 2016 (Phase 5)	Series 2017 (Phase 6)	Series 2019 (Phase 7 8 Hatcher)	General Long Term Debt		
<b>Liabilities</b>													
Accounts Payable & Payroll Liabilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Due to Other Funds</b>													
General Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Debt Service Fund(s)	-	-	-	-	-	-	-	-	-	-	-	-	-
Capital Projects Fund(s)	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Bonds Payable</b>													
Current Portion	-	-	-	-	-	-	-	-	-	-	-	-	-
Long Term	-	-	-	-	-	-	-	-	-	-	-	29,660,000	29,660,000
Unamortized Prem/Disc on Bds Pybl	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Liabilities</b>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 29,660,000</u>	<u>\$ 29,660,000</u>
<b>Fund Equity and Other Credits</b>													
Investment in General Fixed Assets	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Fund Balance</b>													
<b>Restricted</b>													
Beginning: October 1, 2020 (Audited)	-	960,943	486,992	346,002	434,323	282,803	671,391	18,059	11,693	1,070,321	-	-	4,282,528
Results from Current Operations	-	9,869	14,562	(21,714)	58	-	(23,069)	3,751	2,543	(1,036,041)	-	-	(1,050,041)
<b>Unassigned</b>													
Beginning: October 1, 2020 (Audited)	366,305	-	-	-	-	-	-	-	-	-	-	-	366,305
Results from Current Operations	89,412	-	-	-	-	-	-	-	-	-	-	-	89,412
<b>Total Fund Equity and Other Credits</b>	<u>\$ 455,716</u>	<u>\$ 970,812</u>	<u>\$ 501,554</u>	<u>\$ 324,288</u>	<u>\$ 434,381</u>	<u>\$ 282,803</u>	<u>\$ 648,323</u>	<u>\$ 21,810</u>	<u>\$ 14,237</u>	<u>\$ 34,280</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 3,688,203</u>
<b>Total Liabilities, Fund Equity and Other Credits</b>	<u>\$ 455,716</u>	<u>\$ 970,812</u>	<u>\$ 501,554</u>	<u>\$ 324,288</u>	<u>\$ 434,381</u>	<u>\$ 282,803</u>	<u>\$ 648,323</u>	<u>\$ 21,810</u>	<u>\$ 14,237</u>	<u>\$ 34,280</u>	<u>\$ 29,660,000</u>	<u>\$ -</u>	<u>\$ 33,348,203</u>

**Flowway Community Development District**  
**General Fund**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through August 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	August	Year to Date	Total Annual Budget	% of Budget
<b>Revenue and Other Sources</b>														
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ 100,000	0%
<b>Interest</b>														
Interest - General Checking	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Special Assessment Revenue</b>														
Special Assessments - On-Roll	3,858	250,441	222,779	24,914	44,948	9,977	9,139	2,591	4,167	3	-	572,816	579,690	99%
Special Assessments - Off-Roll	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Contributions Private Sources</b>														
Miscellaneous Revenue	-	-	-	-	944	-	-	-	-	-	-	944	-	N/A
<b>Intragovernmental Transfer In</b>														
	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Total Revenue and Other Sources:</b>	<b>\$ 3,858</b>	<b>\$ 250,441</b>	<b>\$ 222,779</b>	<b>\$ 24,914</b>	<b>\$ 45,892</b>	<b>\$ 9,977</b>	<b>\$ 9,139</b>	<b>\$ 2,591</b>	<b>\$ 4,167</b>	<b>\$ 3</b>	<b>\$ -</b>	<b>573,760</b>	<b>\$ 679,690</b>	<b>84%</b>
<b>Expenditures and Other Uses</b>														
<b>Legislative</b>														
Board of Supervisor's Fees	-	-	1,600	1,600	-	1,000	-	-	1,800	800	-	6,800	2,400	283%
<b>Executive</b>														
Professional Management	3,333	3,333	3,333	3,333	3,333	3,333	3,333	3,333	3,333	3,333	3,333	36,667	40,000	92%
<b>Financial and Administrative</b>														
Audit Services	-	-	-	-	-	4,400	13,800	-	-	-	-	18,200	4,400	414%
Accounting Services	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	14,667	16,000	92%
Assessment Roll Services	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	1,333	14,667	16,000	92%
Arbitrage Rebate Services	500	-	-	-	500	500	-	500	-	-	-	2,000	3,000	67%
<b>Other Contractual Services</b>														
Recording and Transcription	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Legal Advertising	-	1,166	-	-	315	630	252	-	287	-	2,081	4,731	3,500	135%
Trustee Services	-	-	-	7,159	4,327	-	8,654	-	-	-	4,031	24,171	25,450	95%
Dissemination Agent Services	5,600	-	-	-	500	-	-	-	-	100	-	6,200	5,500	113%
Property Appraiser Fees	9,966	-	-	-	-	-	-	-	(7,869)	-	-	2,097	16,000	13%
Bank Services	-	-	-	-	-	-	-	-	-	-	-	-	400	0%
<b>Travel and Per Diem</b>														
	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Communications &amp; Freight Services</b>														
Postage, Freight & Messenger	19	-	30	7	222	75	-	14	-	-	-	367	600	61%
<b>Rentals &amp; Leases</b>														
Meeting Room Rental	-	-	200	-	-	-	-	-	-	-	-	200	-	N/A
<b>Computer Services - Website Development</b>														
	50	50	-	-	-	-	-	-	-	-	-	100	2,000	5%
<b>Insurance</b>														
	6,503	-	-	-	-	-	-	-	-	-	-	6,503	6,300	103%
<b>Printing &amp; Binding</b>														
	-	-	152	-	-	295	-	-	-	-	-	447	750	60%

**Flowway Community Development District**  
**General Fund**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through August 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	August	Year to Date	Total Annual Budget	% of Budget
<b>Office Supplies</b>	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Subscription &amp; Memberships</b>	175	-	-	-	-	-	-	-	-	-	-	175	175	100%
<b>Legal Services</b>														
Legal - General Counsel	2,135	-	613	683	7,503	2,152	2,671	-	1,066	2,622	1,591	21,034	10,000	210%
Boundary Expansion	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
SFWMD - Permit Objection	-	-	185	-	5,615	-	-	-	598	-	-	6,397	-	N/A
Special Counsel - Litigation	-	-	-	-	14,720	6,492	25,056	-	22,818	32,302	17,681	119,068	100,000	119%
<b>Other General Government Services</b>														
Engineering Services - General Fund	150	-	-	1,468	-	-	14,226	4,815	7,534	4,523	1,988	34,703	5,000	694%
Miscellaneous Services	-	613	-	-	-	-	-	-	-	-	-	613	-	N/A
Boardwalk & Golf Cart Review	-	-	-	-	-	-	-	1,125	375	1,538	-	3,037.50	-	N/A
Asset Evaluation	-	-	-	-	-	-	-	6,183	1,426	-	-	7,608.75	-	N/A
Capital Outlay	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Stormwater Management Services</b>														
<b>Preserve Area Maintenance</b>														
<b>Environmental Engineering Consultant</b>														
Task 1 - Bid Documents	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Task 2 - Monthly site visits	-	-	-	-	-	-	-	-	-	-	-	-	13,350	0%
Task 3 - Reporting to Regulatory Agencies	-	-	-	-	-	-	-	-	-	-	-	-	8,000	0%
Task 4 - Fish Sampling to US Fish & Wildlife	-	-	-	-	-	-	-	-	-	-	-	-	10,350	0%
Task 5 - Attendance at Board Meeting	-	-	-	-	-	-	-	-	-	-	-	-	1,000	N/A
Clearing Downed Trees/Cleanup	-	-	-	-	-	-	-	-	-	-	-	-	1,000	0%
Code Enforcement for Incursion into Preserve	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Contingencies	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Repairs and Maintenance</b>														
Wading Bird Foraging Areas	-	-	-	-	-	-	-	-	-	-	-	-	1,522	0%
Internal Preserves	-	-	-	-	-	-	-	-	-	-	-	-	6,598	0%
Western Preserve	-	-	-	-	-	-	-	-	-	-	33,215	33,215	33,215	100%
Northern Preserve Area 1	-	-	-	-	-	-	-	-	-	-	-	-	64,560	0%
Northern Preserve Area 2	-	-	-	-	-	-	-	-	-	21,875	57,575	79,450	113,120	70%
Northern Preserve Areas 1&2	-	-	-	-	-	-	-	-	-	-	34,615	34,615	-	N/A
Clearing Downed Trees/Cleanup	-	-	-	-	-	-	-	-	-	-	-	-	5,000	0%
Code Enforcement for Incursion into Preserve	-	-	-	-	-	-	-	-	-	-	-	-	2,500	0%
No Trespassing Signs	-	-	-	618	-	-	-	-	-	-	-	618	-	N/A
<b>Lake, Lake Bank and Littoral Shelf Maintenance</b>														
<b>Professional Services</b>														
Asset Management	-	-	-	-	-	-	-	-	-	-	-	-	15,000	0%
Repairs & Maintenance	-	-	-	-	-	-	-	-	-	-	-	-	-	-



**Flowway Community Development District**  
**General Fund**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through August 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	August	Year to Date	Total Annual Budget	% of Budget
Aquatic Weed Control	-	-	-	-	-	-	-	-	-	-	-	-	35,000	0%
Lake Bank Maintenance	-	-	-	-	-	-	-	-	-	-	-	-	15,000	0%
Water Quality Testing	-	-	-	-	-	-	-	-	-	-	-	-	5,000	0%
Littortal Shelf Planting	-	-	-	-	-	-	-	-	-	-	-	-	10,000	0%
Aeration System	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Water Control Structures	-	-	-	-	-	-	-	-	-	-	6,000	6,000	-	N/A
<b>Capital Outlay</b>														
Aeration Systems	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Littortal Shelf Replanting	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Lake Bank Restoration	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Erosion Restoration	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Contingencies	-	-	-	-	-	-	-	-	-	-	-	-	1,600	0%
<b>Landscaping Services</b>														
Professional Services														
Asset Management	-	-	-	-	-	-	-	-	-	-	-	-	5,000	0%
Utility Services														
Electric	-	-	-	-	-	-	-	-	-	-	-	-	2,400	0%
Irrigation Water	-	-	-	-	-	-	-	-	-	-	-	-	3,000	0%
Repairs & Maintenance														
Public Area Landscaping	-	-	-	-	-	-	-	-	-	-	-	-	30,000	0%
Irrigation System	-	-	-	-	-	-	-	-	-	-	-	-	25,000	0%
Well System	-	-	-	-	-	-	-	-	-	-	-	-	10,000	0%
Plant Replacement	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Operating Supplies														
Mulch	-	-	-	-	-	-	-	-	-	-	-	-	5,000	0%
Capital Outlay	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Lake Bank Restoration	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Reserves for Future Operations</b>														
Future Operations/Restorations	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Intragovernmental Transfer Out</b>														
<b>Sub-Total:</b>	<b>31,098</b>	<b>7,829</b>	<b>8,780</b>	<b>17,533</b>	<b>39,701</b>	<b>21,543</b>	<b>70,660</b>	<b>18,636</b>	<b>34,033</b>	<b>69,758</b>	<b>164,776</b>	<b>484,349</b>	<b>679,690</b>	<b>71%</b>
<b>Total Expenditures and Other Uses:</b>	<b>\$ 31,098</b>	<b>\$ 7,829</b>	<b>\$ 8,780</b>	<b>\$ 17,533</b>	<b>\$ 39,701</b>	<b>\$ 21,543</b>	<b>\$ 70,660</b>	<b>\$ 18,636</b>	<b>\$ 34,033</b>	<b>\$ 69,758</b>	<b>\$ 164,776</b>	<b>\$ 484,349</b>	<b>\$ 679,690</b>	<b>71%</b>
Net Increase/ (Decrease) in Fund Balance	(27,240)	242,612	213,998	7,381	6,191	(11,566)	(61,520)	(16,046)	(29,866)	(69,756)	(164,776)	89,412	-	
Fund Balance - Beginning	366,305	339,065	581,677	795,675	803,056	809,247	797,681	736,161	720,115	690,249	620,493	366,305	-	
<b>Fund Balance - Ending</b>	<b>\$ 339,065</b>	<b>\$ 581,677</b>	<b>\$ 795,675</b>	<b>\$ 803,056</b>	<b>\$ 809,247</b>	<b>\$ 797,681</b>	<b>\$ 736,161</b>	<b>\$ 720,115</b>	<b>\$ 690,249</b>	<b>\$ 620,493</b>	<b>\$ 455,716</b>	<b>455,716</b>	<b>\$ -</b>	

**Flowway Community Development District**  
**Debt Service Fund - Series 2013**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through August 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	August	Year to Date	Total Annual Budget	% of Budget
<b>Revenue and Other Sources</b>														
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -	N/A
<b>Interest Income</b>														
Interest Account	-	0	0	-	-	-	-	0	0	-	-	0	8	2%
Sinking Fund	-	0	0	-	-	-	-	-	-	-	-	0	-	N/A
Reserve Account	1	5,830	0	0	0	0	0	5,744	0	0	0	11,578	1,600	724%
Prepayment Account	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Revenue Account	2	2	0	1	2	2	2	3	2	2	2	20	975	2%
<b>Special Assessment Revenue</b>														
Special Assessments - On-Roll	3,589	232,943	207,214	23,174	41,808	9,280	8,501	2,410	3,876	2	-	532,796	539,344	99%
Special Assessments - Off-Roll	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Intragovernmental Transfer In</b>														
	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Total Revenue and Other Sources:</b>	<b>\$ 3,591</b>	<b>\$ 238,774</b>	<b>\$ 207,215</b>	<b>\$ 23,176</b>	<b>\$ 41,811</b>	<b>\$ 9,282</b>	<b>\$ 8,504</b>	<b>\$ 8,156</b>	<b>\$ 3,878</b>	<b>\$ 5</b>	<b>\$ 2</b>	<b>544,394</b>	<b>\$ 541,927</b>	<b>N/A</b>
<b>Expenditures and Other Uses</b>														
Property Appraiser & Tax Collection Fees	-	-	-	-	-	-	-	-	1,950.42	-	-	1,950	\$ -	N/A
<b>Debt Service</b>														
<b>Principal Debt Service - Mandatory</b>														
Series 2013 Bonds	-	115,000	-	-	-	-	-	-	-	-	-	115,000	\$ 115,000	100%
<b>Principal Debt Service - Early Redemptions</b>														
Series 2013 Bonds	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Interest Expense</b>														
Series 2013 Bonds	-	210,513	-	-	-	-	-	207,063	-	-	-	417,575	417,575	100%
<b>Operating Transfers Out (To Other Funds)</b>														
	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Total Expenditures and Other Uses:</b>	<b>\$0</b>	<b>\$325,513</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$207,063</b>	<b>\$1,950</b>	<b>\$0</b>	<b>\$0</b>	<b>\$534,525</b>	<b>\$532,575</b>	<b>N/A</b>
Net Increase/ (Decrease) in Fund Balance	3,591	(86,738)	207,215	23,176	41,811	9,282	8,504	(198,906)	1,928	5	2	9,869	9,352	
Fund Balance - Beginning	960,943	964,535	877,797	1,085,011	1,108,187	1,149,997	1,159,280	1,167,783	968,877	970,805	970,810	960,943		
<b>Fund Balance - Ending</b>	<b>\$ 964,535</b>	<b>\$ 877,797</b>	<b>\$ 1,085,011</b>	<b>\$ 1,108,187</b>	<b>\$ 1,149,997</b>	<b>\$ 1,159,280</b>	<b>\$ 1,167,783</b>	<b>\$ 968,877</b>	<b>\$ 970,805</b>	<b>\$ 970,810</b>	<b>\$ 970,812</b>	<b>970,812</b>	<b>\$ 9,352</b>	

**Flowway Community Development District**  
**Debt Service Fund - Series 2015 (Phase 3)**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through August 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	August	Year to Date	Total Annual Budget	% of Budget
<b>Revenue and Other Sources</b>														
<b>Carryforward</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -	N/A
<b>Interest Income</b>														
Interest Account	-	0	0	-	-	-	-	0	0	-	-	0	-	N/A
Sinking Fund	-	0	0	-	-	-	-	-	-	-	-	0	-	N/A
Reserve Account	0	2,663	0	0	0	0	0	2,624	0	0	0	5,288	550	962%
Prepayment Account	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Revenue Account	1	1	0	1	1	1	1	1	1	1	1	11	300	4%
<b>Special Assessment Revenue</b>														
Special Assessments - On-Roll	1,702	110,460	98,260	10,989	19,825	4,400	4,031	1,143	1,838	1	-	252,649	255,873	99%
Special Assessments - Off-Roll	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Special Assessments - Prepayment	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Intragovernmental Transfers In</b>														
<b>Debt Proceeds</b>	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Total Revenue and Other Sources:</b>	<b>\$ 1,703</b>	<b>\$ 113,124</b>	<b>\$ 98,260</b>	<b>\$ 10,990</b>	<b>\$ 19,827</b>	<b>\$ 4,402</b>	<b>\$ 4,033</b>	<b>\$ 3,768</b>	<b>\$ 1,839</b>	<b>\$ 2</b>	<b>\$ 1</b>	<b>257,949</b>	<b>\$ 256,723</b>	<b>N/A</b>
<b>Expenditures and Other Uses</b>														
Property Appraiser & Tax Collection Fees	-	-	-	-	-	-	-	-	924.88	-	-	925	\$ -	N/A
<b>Debt Service</b>														
<b>Principal Debt Service - Mandatory</b>														
Series 2015 Bonds (Phase 3)	-	70,000	-	-	-	-	-	-	-	-	-	70,000	\$ 70,000	100%
<b>Principal Debt Service - Early Redemptions</b>														
Series 2015 Bonds (Phase 3)	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Interest Expense</b>														
Series 2015 Bonds (Phase 3)	-	86,975	-	-	-	-	-	85,488	-	-	-	172,463	172,463	100%
<b>Operating Transfers Out (To Other Funds)</b>														
<b>Total Expenditures and Other Uses:</b>	<b>\$0</b>	<b>\$156,975</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$85,488</b>	<b>\$925</b>	<b>\$0</b>	<b>\$0</b>	<b>\$243,387</b>	<b>\$242,463</b>	<b>N/A</b>
Net Increase/ (Decrease) in Fund Balance	1,703	(43,851)	98,260	10,990	19,827	4,402	4,033	(81,720)	914	2	1	14,562	14,260	
Fund Balance - Beginning	486,992	488,695	444,844	543,105	554,095	573,921	578,323	582,356	500,636	501,550	501,552	486,992	-	
<b>Fund Balance - Ending</b>	<b>\$ 488,695</b>	<b>\$ 444,844</b>	<b>\$ 543,105</b>	<b>\$ 554,095</b>	<b>\$ 573,921</b>	<b>\$ 578,323</b>	<b>\$ 582,356</b>	<b>\$ 500,636</b>	<b>\$ 501,550</b>	<b>\$ 501,552</b>	<b>\$ 501,554</b>	<b>501,554</b>	<b>\$ 14,260</b>	

**Flowway Community Development District**  
**Debt Service Fund - Series 2015 (Phase 4)**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through August 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	August	Year to Date	Total Annual Budget	% of Budget
<b>Revenue and Other Sources</b>														
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -	N/A
<b>Interest Income</b>														
Interest Account	-	0	0	-	-	-	-	0	0	-	-	0	-	N/A
Sinking Fund	-	0	0	-	-	-	-	-	-	-	-	0	-	N/A
Reserve Account	0	1,751	0	0	0	0	0	1,726	0	0	0	3,478	500	696%
Prepayment Account	0	0	-	-	-	-	-	-	-	-	0	0	-	N/A
Revenue Account	1	1	0	0	1	1	1	1	1	1	1	8	400	2%
General Redemption Account	0	0	0	0	0	0	0	0	0	0	0	0	-	N/A
<b>Special Assessment Revenue</b>														
Special Assessments - On-Roll	1,440	93,439	81,776	9,296	16,770	3,722	3,410	967	1,555	1	-	212,374	216,250	98%
Special Assessments - Off-Roll	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Special Assessments - Prepayments	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Operating Transfers In (To Other Funds)</b>														
Debt Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Total Revenue and Other Sources:</b>	<b>\$ 1,441</b>	<b>\$ 95,191</b>	<b>\$ 81,776</b>	<b>\$ 9,296</b>	<b>\$ 16,771</b>	<b>\$ 3,723</b>	<b>\$ 3,411</b>	<b>\$ 2,693</b>	<b>\$ 1,556</b>	<b>\$ 2</b>	<b>\$ 1</b>	<b>215,861</b>	<b>\$ 217,150</b>	<b>N/A</b>
<b>Expenditures and Other Uses</b>														
Property Appraiser & Tax Collection Fees	-	-	-	-	-	-	-	-	782.36	-	-	782	\$ -	N/A
<b>Debt Service</b>														
<b>Principal Debt Service - Mandatory</b>														
Series 2015 Bonds (Phase 4)	-	55,000	-	-	-	-	-	-	-	-	-	55,000	\$ 55,000	100%
<b>Principal Debt Service - Early Redemptions</b>														
Series 2015 Bonds (Phase 4)	-	20,000	-	-	-	-	-	-	-	-	5,000	25,000	-	N/A
<b>Interest Expense</b>														
Series 2015 Bonds (Phase 4)	-	79,178	-	-	-	-	-	77,547	-	-	67	156,792	157,256	100%
<b>Operating Transfers Out (To Other Funds)</b>														
<b>Total Expenditures and Other Uses:</b>	<b>\$0</b>	<b>\$154,178</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$77,547</b>	<b>\$782</b>	<b>\$0</b>	<b>\$5,067</b>	<b>\$237,575</b>	<b>\$212,256</b>	<b>N/A</b>
Net Increase/ (Decrease) in Fund Balance	1,441	(58,987)	81,776	9,296	16,771	3,723	3,411	(74,854)	773	2	(5,066)	(21,714)	4,894	
Fund Balance - Beginning	346,002	347,443	288,456	370,232	379,528	396,299	400,022	403,433	328,580	329,353	329,355	346,002		
<b>Fund Balance - Ending</b>	<b>\$ 347,443</b>	<b>\$ 288,456</b>	<b>\$ 370,232</b>	<b>\$ 379,528</b>	<b>\$ 396,299</b>	<b>\$ 400,022</b>	<b>\$ 403,433</b>	<b>\$ 328,580</b>	<b>\$ 329,353</b>	<b>\$ 329,355</b>	<b>\$ 324,288</b>	<b>324,288</b>	<b>\$ 4,894</b>	

**Flowway Community Development District**  
**Debt Service Fund - Series 2016 (Phase 5)**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through August 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	August	Year to Date	Total Annual Budget	% of Budget
<b>Revenue and Other Sources</b>														
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -	N/A
<b>Interest Income</b>														
Interest Account	-	0	0	-	-	-	-	0	0	-	-	0	2	5%
Sinking Fund	-	0	0	-	-	-	-	-	-	-	-	0	-	N/A
Reserve Account	0	1,888	0	0	0	0	0	1,861	0	0	0	3,750	345	1087%
Prepayment Account	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Revenue Account	1	1	0	1	1	1	1	2	1	1	1	12	220	5%
<b>Special Assessment Revenue</b>														
Special Assessments - On-Roll	2,329	151,169	134,472	15,039	27,131	6,022	5,517	1,564	2,515	2	-	345,759	350,060	99%
Special Assessments - Off-Roll	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Debt Proceeds</b>														
<b>Operating Transfers In (To Other Funds)</b>														
<b>Total Revenue and Other Sources:</b>	<b>\$ 2,330</b>	<b>\$ 153,058</b>	<b>\$ 134,472</b>	<b>\$ 15,040</b>	<b>\$ 27,133</b>	<b>\$ 6,023</b>	<b>\$ 5,518</b>	<b>\$ 3,426</b>	<b>\$ 2,516</b>	<b>\$ 3</b>	<b>\$ 1</b>	<b>349,521</b>	<b>\$ 350,627</b>	<b>N/A</b>
<b>Expenditures and Other Uses</b>														
Property Appraiser & Tax Collection Fees	-	-	-	-	-	-	-	-	1,265.73	-	-	1,266	\$ -	N/A
<b>Debt Service</b>														
<b>Principal Debt Service - Mandatory</b>														
Series 2016 Bonds (Phase 5)	-	100,000	-	-	-	-	-	-	-	-	-	100,000	\$ 95,000	105%
<b>Principal Debt Service - Early Redemptions</b>														
Series 2016 Bonds (Phase 5)	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Interest Expense</b>														
Series 2016 Bonds (Phase 5)	-	123,074	-	-	-	-	-	121,374	-	-	-	244,448	247,763	99%
<b>Operating Transfers Out (To Other Funds)</b>														
<b>Total Expenditures and Other Uses:</b>	<b>\$0</b>	<b>\$224,962</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$123,234</b>	<b>\$1,266</b>	<b>\$0</b>	<b>\$0</b>	<b>\$349,464</b>	<b>\$342,763</b>	<b>N/A</b>
Net Increase/ (Decrease) in Fund Balance	2,330	(71,904)	134,472	15,039	27,133	6,023	5,518	(119,809)	1,251	3	1	58	7,864	
Fund Balance - Beginning	434,323	436,653	364,749	499,221	514,261	541,393	547,417	552,935	433,126	434,377	434,380	434,323		
<b>Fund Balance - Ending</b>	<b>\$ 436,653</b>	<b>\$ 364,749</b>	<b>\$ 499,221</b>	<b>\$ 514,261</b>	<b>\$ 541,393</b>	<b>\$ 547,417</b>	<b>\$ 552,935</b>	<b>\$ 433,126</b>	<b>\$ 434,377</b>	<b>\$ 434,380</b>	<b>\$ 434,381</b>	<b>434,381</b>	<b>\$ 7,864</b>	

**Flowway Community Development District**  
**Debt Service Fund - Series 2017 (Phase 6)**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through August 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	August	Year to Date	Total Annual Budget	% of Budget
<b>Revenue and Other Sources</b>														
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -	N/A
<b>Interest Income</b>														
Interest Account	-	0	0	-	-	-	-	0	0	-	-	0	-	N/A
Sinking Fund	-	0	0	-	-	-	-	-	-	-	-	0	-	N/A
Reserve Account	0	1,280	0	0	0	0	0	1,262	0	0	0	2,543	2,200	116%
Prepayment Account	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
Revenue Account	1	1	0	0	1	1	1	1	1	1	1	7	1,100	1%
<b>Special Assessment Revenue</b>														
Special Assessments - On-Roll	1,570	101,890	90,636	10,136	18,287	4,059	3,718	1,054	1,695	1	-	233,047	235,848	99%
Special Assessments - Off-Roll	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Debt Proceeds</b>														
<b>Operating Transfers In (To Other Funds)</b>														
<b>Total Revenue and Other Sources:</b>	<b>\$ 1,571</b>	<b>\$ 103,171</b>	<b>\$ 90,636</b>	<b>\$ 10,137</b>	<b>\$ 18,288</b>	<b>\$ 4,060</b>	<b>\$ 3,719</b>	<b>\$ 2,317</b>	<b>\$ 1,696</b>	<b>\$ 2</b>	<b>\$ 1</b>	<b>235,597</b>	<b>\$ 239,148</b>	<b>N/A</b>
<b>Expenditures and Other Uses</b>														
Property Appraiser & Tax Collection Fees	-	-	-	-	-	-	-	-	853	-	-	853	\$ -	N/A
<b>Debt Service</b>														
<b>Principal Debt Service - Mandatory</b>														
Series 2017 Bonds (Phase 6)	-	65,000	-	-	-	-	-	-	-	-	-	65,000	\$ 65,000	100%
<b>Principal Debt Service - Early Redemptions</b>														
Series 2017 Bonds (Phase 6)	-	-	-	-	-	-	-	-	-	-	-	-	-	N/A
<b>Interest Expense</b>														
Series 2017 Bonds (Phase 6)	-	83,850	-	-	-	-	-	82,713	-	-	-	166,563	166,563	100%
<b>Debt Service-Other Costs</b>														
Operating Transfers Out (To Other Funds)	0	1,280	0	0	0	0	0	1,262	0	-	0	2,543	-	N/A
<b>Total Expenditures and Other Uses:</b>	<b>\$0</b>	<b>\$150,130</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$83,974</b>	<b>\$853</b>	<b>\$0</b>	<b>\$0</b>	<b>\$234,958</b>	<b>\$231,563</b>	<b>N/A</b>
Net Increase/ (Decrease) in Fund Balance	1,570	(46,959)	90,636	10,137	18,288	4,060	3,719	(81,658)	843	2	1	639	7,585	
Fund Balance - Beginning	282,164	283,735	236,776	327,412	337,549	355,837	359,896	363,616	281,958	282,801	282,803	282,164		
<b>Fund Balance - Ending</b>	<b>\$ 283,735</b>	<b>\$ 236,776</b>	<b>\$ 327,412</b>	<b>\$ 337,549</b>	<b>\$ 355,837</b>	<b>\$ 359,896</b>	<b>\$ 363,616</b>	<b>\$ 281,958</b>	<b>\$ 282,801</b>	<b>\$ 282,803</b>	<b>\$ 282,803</b>	<b>282,803</b>	<b>\$ 7,585</b>	

**Flowway Community Development District**  
**Debt Service Fund - Series 2019 (Phase 7, Phase 8 and Hatcher)**  
**Statement of Revenues, Expenditures and Changes in Fund Balance**  
**Through August 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	August	Year to Date	Total Annual Budget	% of Budget
<b>Revenue and Other Sources</b>														
Carryforward - Capitalized Interest	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -	N/A
<b>Interest Income</b>														
Interest Account	-	0	0	-	-	-	-	1	0	-	-	1	-	N/A
Sinking Account	-	0	0	-	-	-	-	-	-	-	-	0	-	N/A
Reserve Account	1	1	1	1	1	1	1	-	1	1	1	12	2,700	0%
Prepayment Account	-	-	-	-	-	-	2	4	0	-	-	7	-	N/A
Revenue Account	2	2	0	1	2	2	2	2	2	2	2	17	1,100	2%
<b>Special Assessment Revenue</b>														
Special Assessments - On-Roll	3,851	249,964	222,355	24,867	44,863	9,958	9,122	2,586	4,159	3	-	571,727	578,774	99%
Special Assessments - Off-Roll	-	-	-	-	-	-	-	21,948	-	-	-	21,948	-	N/A
<b>Debt Proceeds</b>														
Operating Transfers In (To Other Funds)	-	-	-	-	-	1,037,102	-	-	-	-	-	1,037,102	-	N/A
<b>Total Revenue and Other Sources:</b>	<b>\$ 3,854</b>	<b>\$ 249,967</b>	<b>\$ 222,357</b>	<b>\$ 24,869</b>	<b>\$ 44,866</b>	<b>\$ 1,047,063</b>	<b>\$ 9,127</b>	<b>\$ 24,542</b>	<b>\$ 4,162</b>	<b>\$ 5</b>	<b>\$ 3</b>	<b>1,630,815</b>	<b>\$ 582,574</b>	<b>N/A</b>
<b>Expenditures and Other Uses</b>														
Property Appraiser & Tax Collection Fees	-	-	-	-	-	-	-	-	2,093	-	-	2,093	\$ -	N/A
<b>Debt Service</b>														
<b>Principal Debt Service - Mandatory</b>														
Series 2019 Bonds (Phase 7,8,Hatcher)	-	180,000	-	-	-	-	-	-	-	-	-	180,000	\$ 180,000	100%
<b>Principal Debt Service - Early Redemptions</b>														
Series 2019 Bonds (Phase 7,8,Hatcher)	-	-	-	-	-	-	-	1,075,000	-	-	-	1,075,000	-	N/A
<b>Interest Expense</b>														
Series 2019 Bonds (Phase 7,8,Hatcher)	-	199,387	-	-	-	-	-	196,372	-	-	-	395,759	395,759	100%
<b>Debt Service-Other Costs</b>														
Operating Transfers Out (To Other Funds)	1	1	64	1	1	1	1	1	957	1	1	1,031	-	N/A
<b>Total Expenditures and Other Uses:</b>	<b>\$1</b>	<b>\$379,388</b>	<b>\$64</b>	<b>\$1</b>	<b>\$1</b>	<b>\$1</b>	<b>\$1</b>	<b>\$1,271,373</b>	<b>\$3,050</b>	<b>\$1</b>	<b>\$1</b>	<b>\$1,653,883</b>	<b>\$575,759</b>	<b>N/A</b>
Net Increase/ (Decrease) in Fund Balance	3,853	(129,421)	222,293	24,868	44,865	1,047,062	9,126	(1,246,831)	1,112	4	2	(23,069)	6,815	
Fund Balance - Beginning	671,391	675,244	545,823	768,116	792,984	837,849	1,884,910	1,894,036	647,205	648,317	648,321	671,391		
<b>Fund Balance - Ending</b>	<b>\$ 675,244</b>	<b>\$ 545,823</b>	<b>\$ 768,116</b>	<b>\$ 792,984</b>	<b>\$ 837,849</b>	<b>\$ 1,884,910</b>	<b>\$ 1,894,036</b>	<b>\$ 647,205</b>	<b>\$ 648,317</b>	<b>\$ 648,321</b>	<b>\$ 648,323</b>	<b>648,323</b>	<b>\$ 6,815</b>	

**Flowway Community Development District  
Capital Project Fund - Series 2016 (Phase 5)  
Statement of Revenues, Expenditures and Changes in Fund Balance  
Through August 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	August	Year to Date	Total Annual Budget
<b>Revenue and Other Sources</b>													
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Interest Income													
Construction Account	0	0	0	0	0	0	0	0	0	0	0	1	-
Cost of Issuance	-	-	-	-	-	-	-	-	-	-	-	-	-
Debt Proceeds												\$ -	-
Operating Transfers In (From Other Funds)	0	1,888	0	0	0	0	0	1,861	0	0	0	3,750	-
<b>Total Revenue and Other Sources:</b>	<b>\$ 0</b>	<b>\$ 1,888</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 1,861</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 3,751</b>	<b>\$ -</b>
<b>Expenditures and Other Uses</b>													
Executive													
Professional Management	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Other Contractual Services													
Trustee Services	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Printing & Binding	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Legal Services													
Legal - Series 2016 Bonds (Phase 5)	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Other General Government Services													
Stormwater Mgmt-Construction	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Capital Outlay													
Construction in Progress	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Cost of Issuance													
Series 2016 Bonds (Phase 5)	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Underwriter's Discount	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Operating Transfers Out (To Other Funds)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Total Expenditures and Other Uses:</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
Net Increase/ (Decrease) in Fund Balance	0	1,888	0	0	0	0	0	1,861	0	0	0	3,751	-
Fund Balance - Beginning	18,059	18,059	19,947	19,948	19,948	19,948	19,948	19,948	21,809	21,809	21,810	18,059	-
<b>Fund Balance - Ending</b>	<b>\$ 18,059</b>	<b>\$ 19,947</b>	<b>\$ 19,948</b>	<b>\$ 19,948</b>	<b>\$ 19,948</b>	<b>\$ 19,948</b>	<b>\$ 19,948</b>	<b>\$ 21,809</b>	<b>\$ 21,809</b>	<b>\$ 21,810</b>	<b>\$ 21,810</b>	<b>\$ 21,810</b>	<b>\$ -</b>



**Flowway Community Development District  
Capital Project Fund - Series 2017 (Phase 6)  
Statement of Revenues, Expenditures and Changes in Fund Balance  
Through August 31, 2021**

Description	October	November	December	January	February	March	April	May	June	July	August	Year to Date	Total Annual Budget
<b>Revenue and Other Sources</b>													
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -
<b>Interest Income</b>													
Construction Account	0	0	0	0	0	0	0	0	0	0	0	1	-
Cost of Issuance	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Debt Proceeds</b>													
Operating Transfers In (From Other Funds)	0	1,280	0	0	0	0	0	1,262	0	0	0	2,543	-
<b>Total Revenue and Other Sources:</b>	<b>\$ 0</b>	<b>\$ 1,280</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 1,262</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 2,543</b>	<b>\$ -</b>
<b>Expenditures and Other Uses</b>													
<b>Executive</b>													
Professional Management	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Other Contractual Services</b>													
Trustee Services	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Printing &amp; Binding</b>													
Legal Services	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Legal - Series 2016 Bonds (Phase 5)	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Capital Outlay</b>													
Water-Sewer Combination-Construction	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Stormwater Mgmt-Construction	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Off-Site Improvements-CR 951 Extension	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Construction in Progress</b>	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Cost of Issuance</b>													
Series 2017 Bonds (Phase 6)	-	-	-	-	-	-	-	-	-	-	-	-	\$ -
<b>Underwriter's Discount</b>	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Operating Transfers Out (To Other Funds)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Total Expenditures and Other Uses:</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
Net Increase/ (Decrease) in Fund Balance	0	1,280	0	0	0	0	0	1,262	0	0	0	2,543	-
Fund Balance - Beginning	11,693	11,693	12,974	12,974	12,974	12,974	12,974	12,974	14,236	14,236	14,236	11,693	-
<b>Fund Balance - Ending</b>	<b>\$ 11,693</b>	<b>\$ 12,974</b>	<b>\$ 12,974</b>	<b>\$ 12,974</b>	<b>\$ 12,974</b>	<b>\$ 12,974</b>	<b>\$ 12,974</b>	<b>\$ 14,236</b>	<b>\$ 14,236</b>	<b>\$ 14,236</b>	<b>\$ 14,237</b>	<b>\$ 14,237</b>	<b>\$ -</b>

Flowway Community Development District  
 Capital Project Fund - Series 2019 (Phase 7, Phase 8 and Hatcher)  
 Statement of Revenues, Expenditures and Changes in Fund Balance  
 Through August 31, 2021

Description	October	November	December	January	February	March	April	May	June	July	August	Year to Date	Total Annual Budget
<b>Revenue and Other Sources</b>													
Carryforward	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	\$ -
<b>Interest Income</b>													
Construction Account	0	0	0	0	0	0	0	0	0	0	0	2	-
Cost of Issuance	-	-	-	-	-	-	-	-	-	-	-	-	-
Retainage Account	4	4	4	4	4	6	-	-	-	-	-	28	-
<b>Debt Proceeds</b>													
<b>Contributions from Private Sources</b>													
Operating Transfers In (From Other Funds)	1	1	64	1	1	1	1	1	957	1	1	1,031	-
<b>Total Revenue and Other Sources:</b>	<b>\$ 6</b>	<b>\$ 6</b>	<b>\$ 68</b>	<b>\$ 6</b>	<b>\$ 6</b>	<b>\$ 8</b>	<b>\$ 1</b>	<b>\$ 1</b>	<b>\$ 957</b>	<b>\$ 1</b>	<b>\$ 1</b>	<b>\$ 1,061</b>	<b>\$ -</b>
<b>Expenditures and Other Uses</b>													
<b>Executive</b>													
Professional Management	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Other Contractual Services</b>													
Trustee Services	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Printing &amp; Binding</b>													
Legal Services	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Capital Outlay</b>													
Water-Sewer Combination-Construction	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Stormwater Mgmt-Construction	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Off-Site Improvements-CR 951 Extension	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
<b>Construction in Progress</b>													
<b>Cost of Issuance</b>													
Series 2016 Bonds (Phase 5)	-	-	-	-	-	-	-	-	-	-	-	-	\$ -
Underwriter's Discount	-	-	-	-	-	-	-	-	-	-	-	\$ -	\$ -
Operating Transfers Out (To Other Funds)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,037,102	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,037,102	\$ -
<b>Total Expenditures and Other Uses:</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 1,037,102</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 1,037,102</b>	<b>\$ -</b>
Net Increase/ (Decrease) in Fund Balance	\$ 6	\$ 6	\$ 68	\$ 6	\$ 6	\$ (1,037,094)	\$ 1	\$ 1	\$ 957	\$ 1	\$ 1	\$ (1,036,041)	-
Fund Balance - Beginning	1,070,321	1,070,326	\$ 1,070,332	\$ 1,070,400	\$ 1,070,406	\$ 1,070,412	\$ 33,317	\$ 33,319	\$ 33,320	\$ 34,277	\$ 34,278	1,070,321	-
<b>Fund Balance - Ending</b>	<b>\$ 1,070,326</b>	<b>\$ 1,070,332</b>	<b>\$ 1,070,400</b>	<b>\$ 1,070,406</b>	<b>\$ 1,070,412</b>	<b>\$ 33,317</b>	<b>\$ 33,319</b>	<b>\$ 33,320</b>	<b>\$ 34,277</b>	<b>\$ 34,278</b>	<b>\$ 34,280</b>	<b>\$ 34,280</b>	<b>\$ -</b>